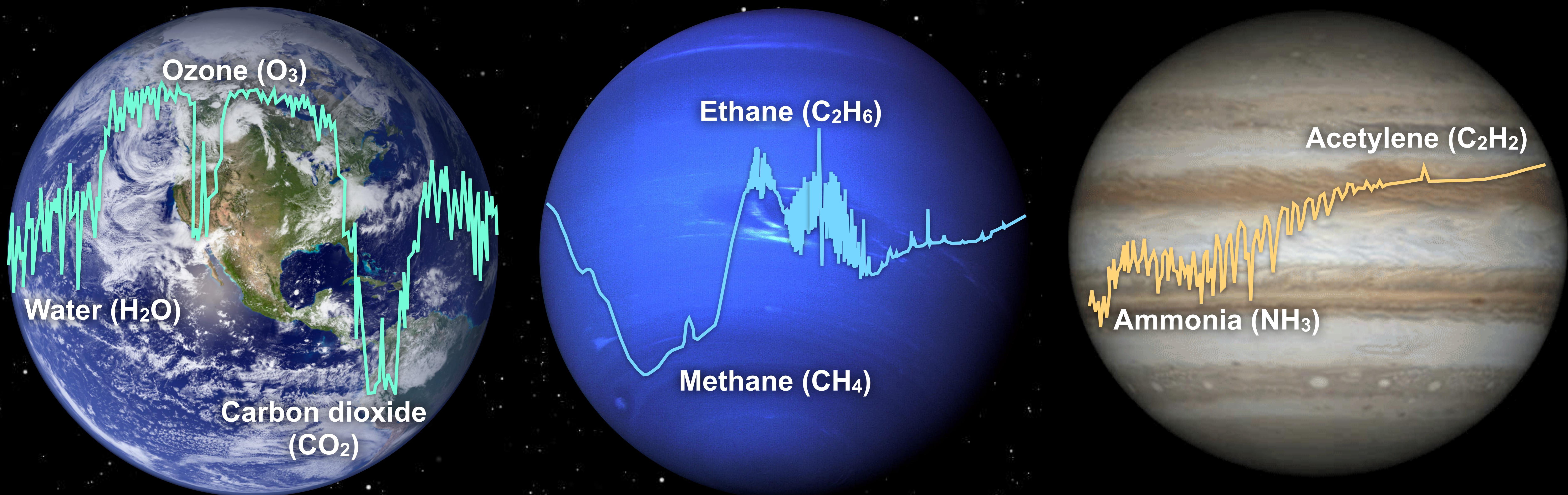
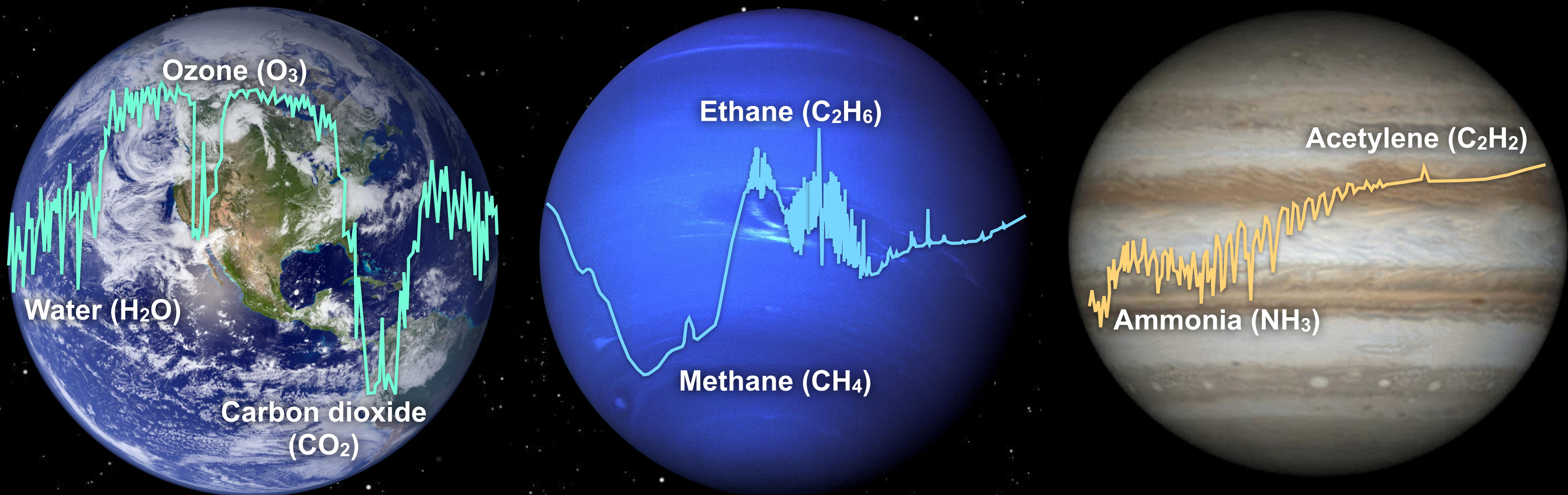


# High-resolution Spectroscopy of Exoplanet Atmospheres



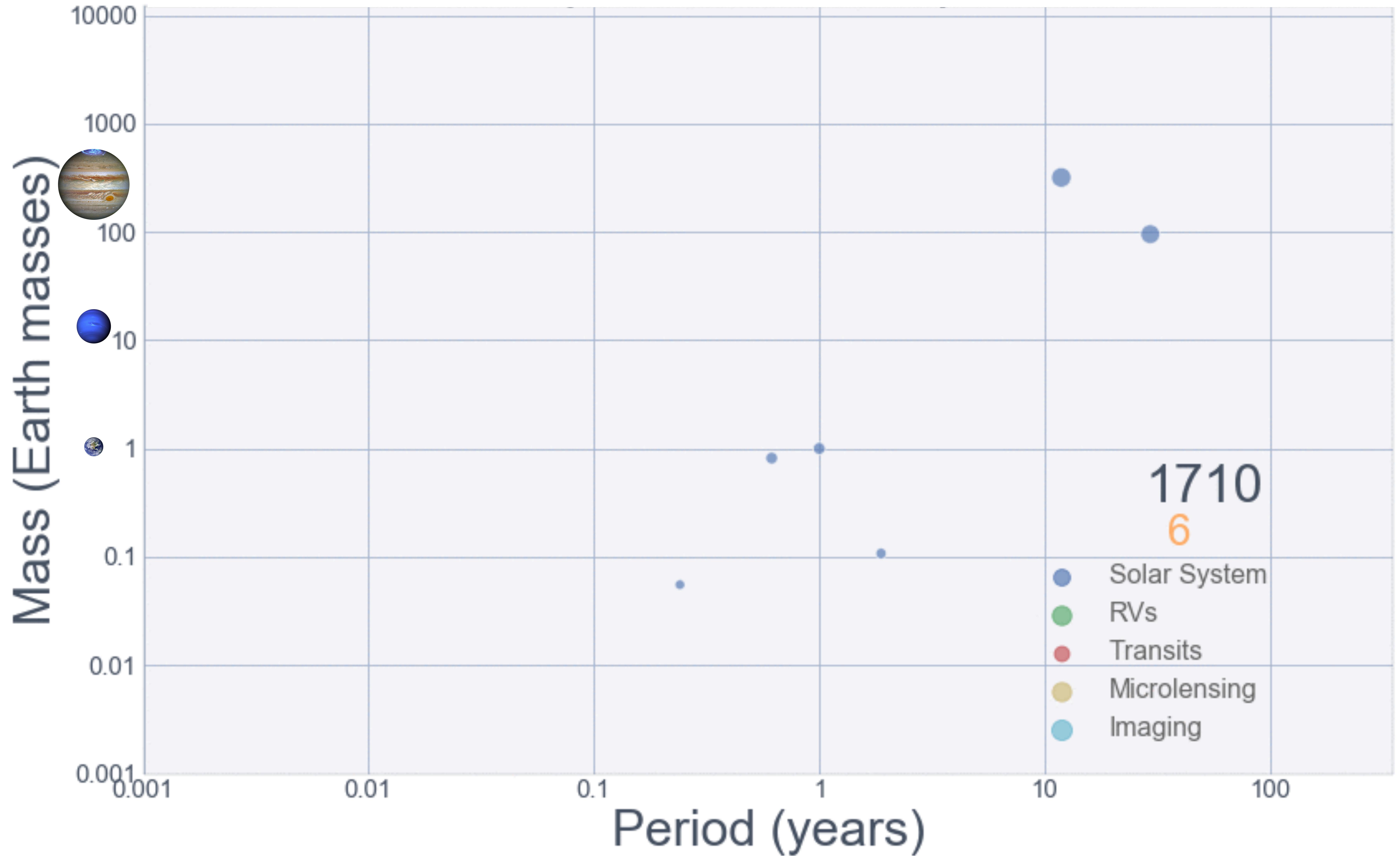
Jayne Birkby  
University of Oxford

# High-resolution Spectroscopy of Exoplanet Atmospheres

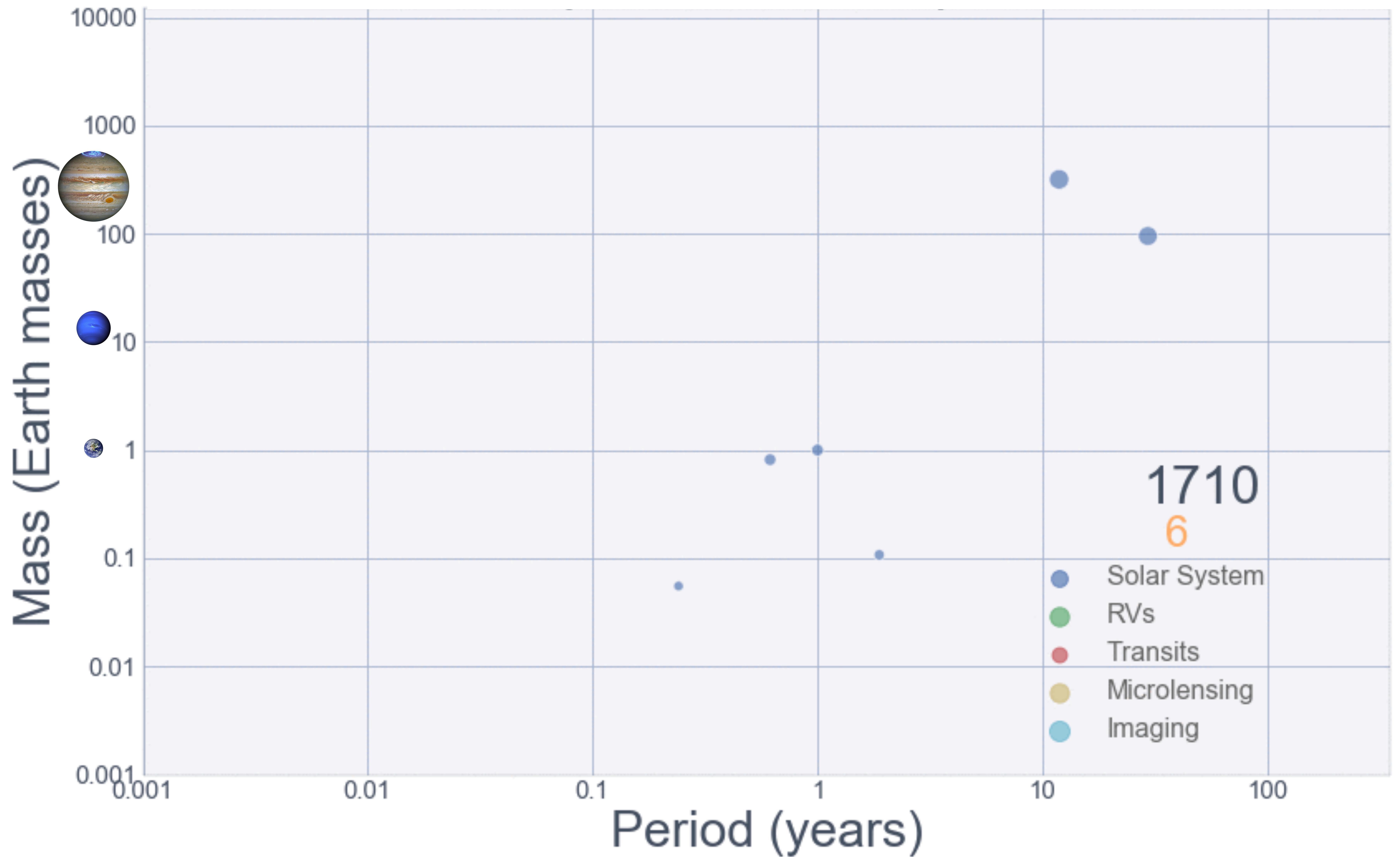


Jayne Birkby  
University of Oxford

# The exoplanet zoo is incredibly diverse

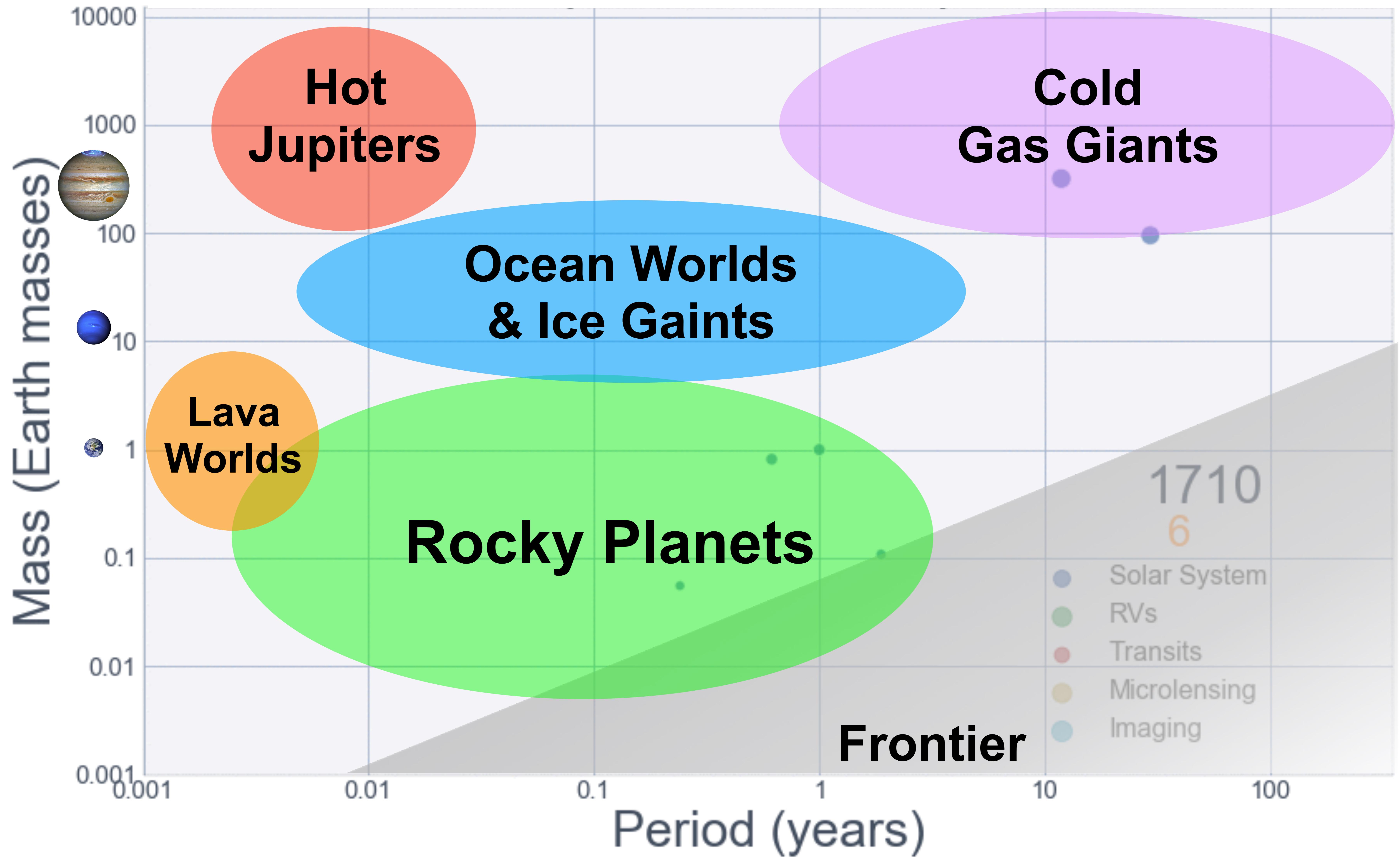


# The exoplanet zoo is incredibly diverse



# Animation by Hugh Osborn

# The exoplanet zoo is incredibly diverse



# The EPRV Exoplanet Atmosphere Connection

To study exoplanet atmospheres at high spectral resolution we need:

- High spectral resolution
- Stability

What we can get away with (a bit):

- wavelength calibration from telluric lines
- stellar activity but flares and pulsations ( $\delta$  Scuti) still an issue

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CRIRES VLT 8-m

NIRSPEC Keck 10-m

ARIES/MMT 6.5-m

GIANO-B TNG 3.5-m

IGRINS DCT/McDonald 4/2.7-m

iSHELL IRTF 3.5-m

SPIRou CFHT 4-m

CARMENES CAHA 3.5-m

HDS Subaru 8-m

UVES VLT 8-m

EXPRES DCT 4-m

HARPS/HARPS-N ESO/TNG 3.5-m

## Astrophysics > Earth and Planetary Astrophysics

[Submitted on 12 Jun 2018]

# Exoplanet Atmospheres at High Spectral Resolution

J. L. Birkby

The spectrum of an exoplanet reveals the physical, chemical, and biological processes that have shaped its history and govern its future. However, observations of exoplanet spectra are complicated by the overwhelming glare of their host stars. This review chapter focuses on high resolution spectroscopy (HRS;  $R=25,000\text{--}100,000$ ), which helps to disentangle and isolate the exoplanet's spectrum. At high spectral resolution, molecular features are resolved into a dense forest of individual lines in a pattern that is unique for a given molecule. For close-in planets, the spectral lines undergo large Doppler shifts during the planet's orbit, while the host star and Earth's spectral features remain essentially stationary, enabling a velocity separation of the planet. For slower-moving, wide-orbit planets, HRS aided by high contrast imaging instead isolates their spectra using their spatial separation. The lines in the exoplanet spectrum are detected by comparing them with high resolution spectra from atmospheric modelling codes; essentially a form of fingerprinting for exoplanet atmospheres. This measures the planet's orbital velocity, and helps define its true mass and orbital inclination. Consequently, HRS can detect both transiting and non-transiting planets. It also simultaneously characterizes the planet's atmosphere due to its sensitivity to the depth, shape, and position of the planet's spectral lines. These are altered by the planet's atmospheric composition, structure, clouds, and dynamics, including day-to-night winds and its rotation period. This chapter describes the HRS technique in detail, highlighting its successes in exoplanet detection and characterization, and concludes with the future prospects of using HRS to identify biomarkers on nearby rocky worlds, and map features in the atmospheres of giant exoplanets.

Comments: 24 pages, 5 figures, author's expanded version of invited review chapter accepted for publication in the Handbook of Exoplanets under title "Spectroscopic direct detection of exoplanets"

Subjects: **Earth and Planetary Astrophysics (astro-ph.EP)**; Instrumentation and Methods for Astrophysics (astro-ph.IM)

Cite as: [arXiv:1806.04617 \[astro-ph.EP\]](https://arxiv.org/abs/1806.04617)  
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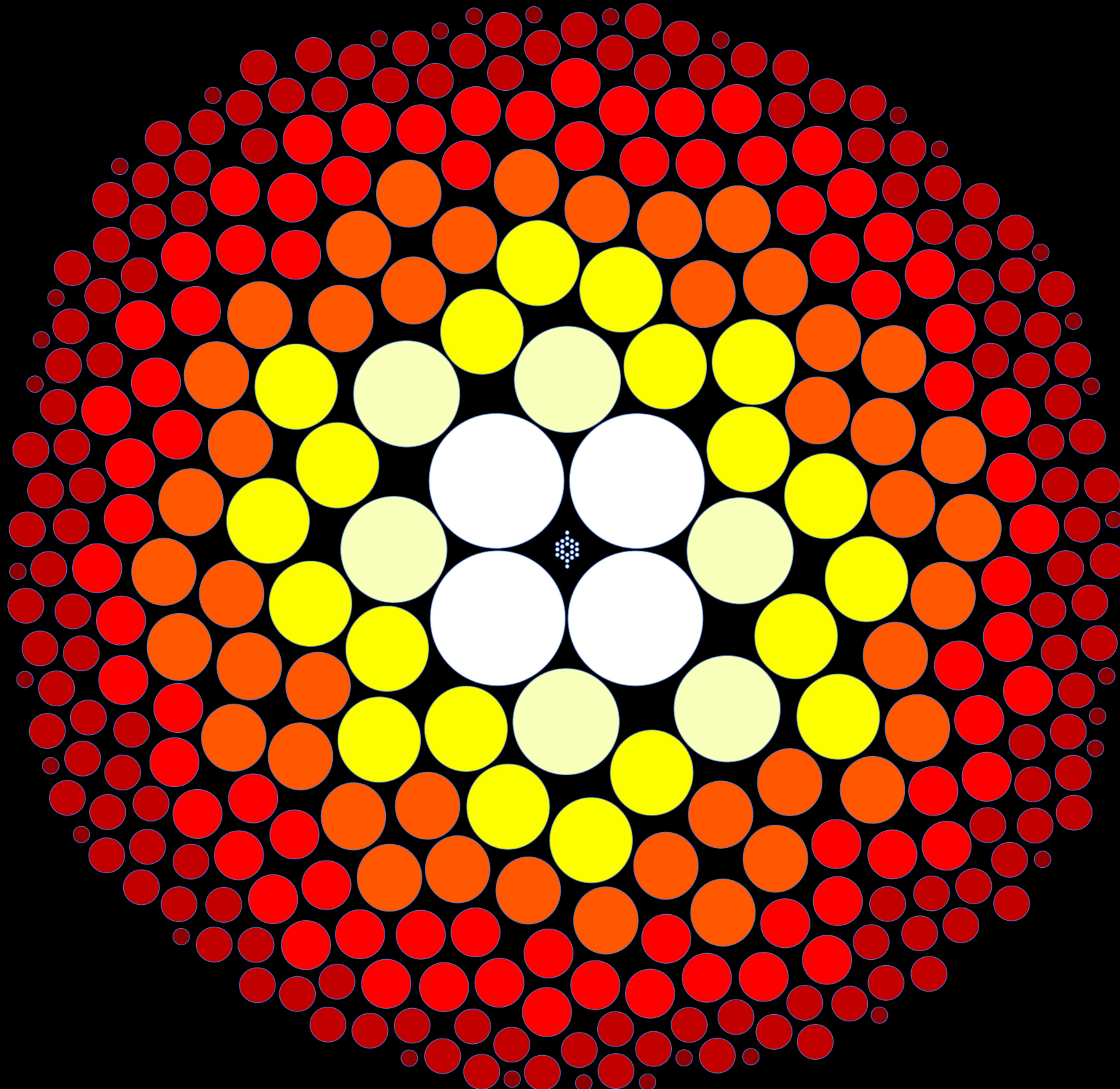
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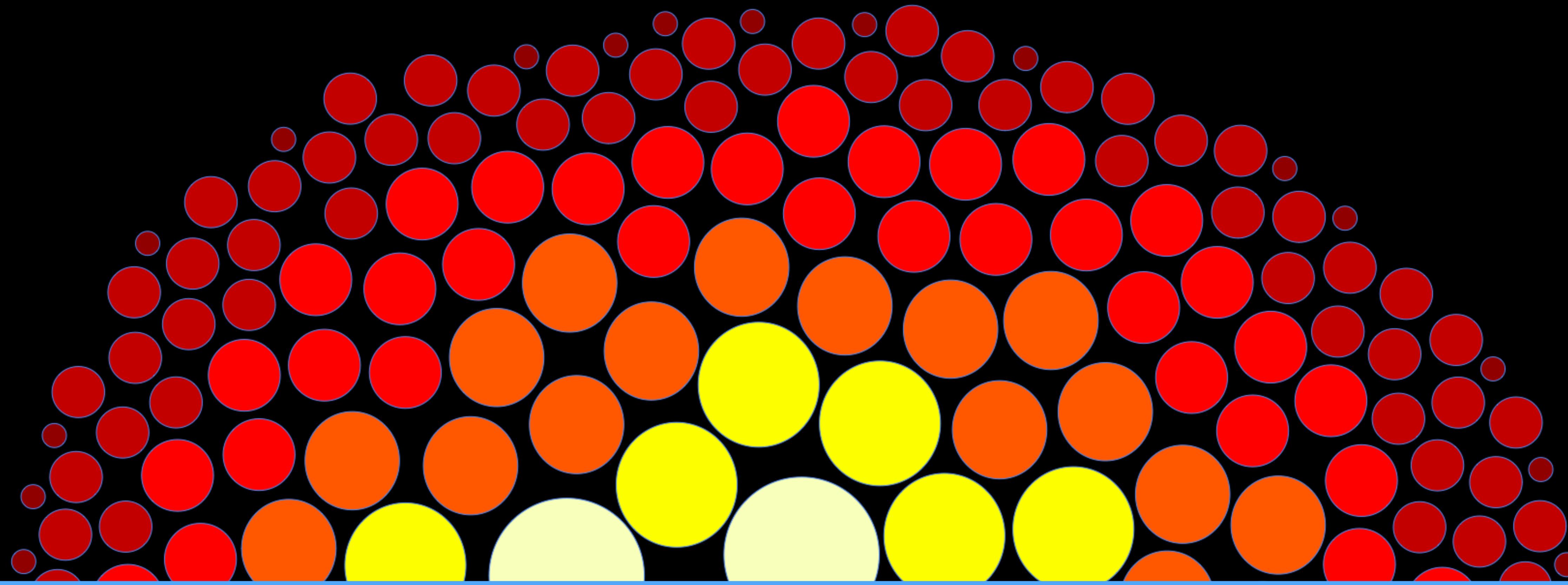
Graduate-level introduction to observing exoplanet atmospheres with high resolution spectroscopy

There are ~300 stars within 10 pc

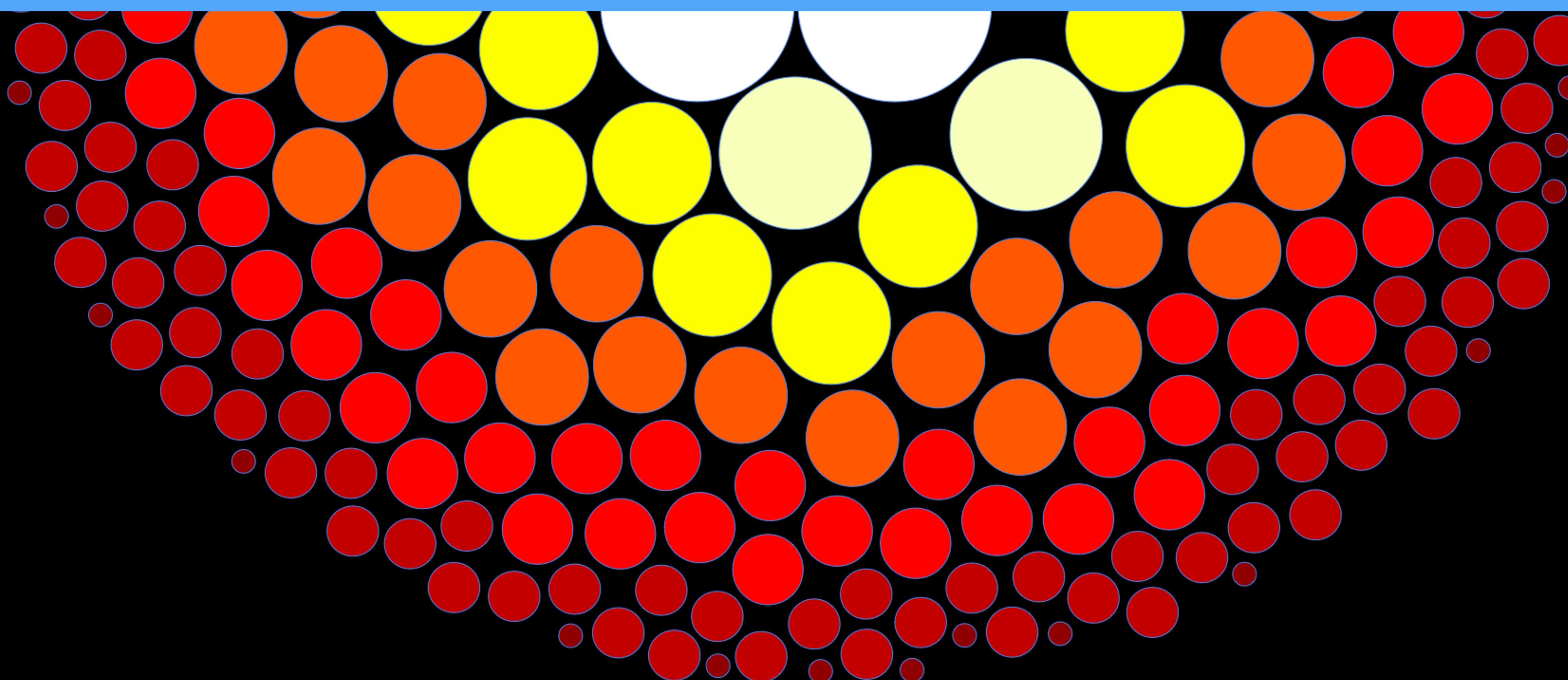


10 pc (33 lyr) sample from RECONS (T. Henry)

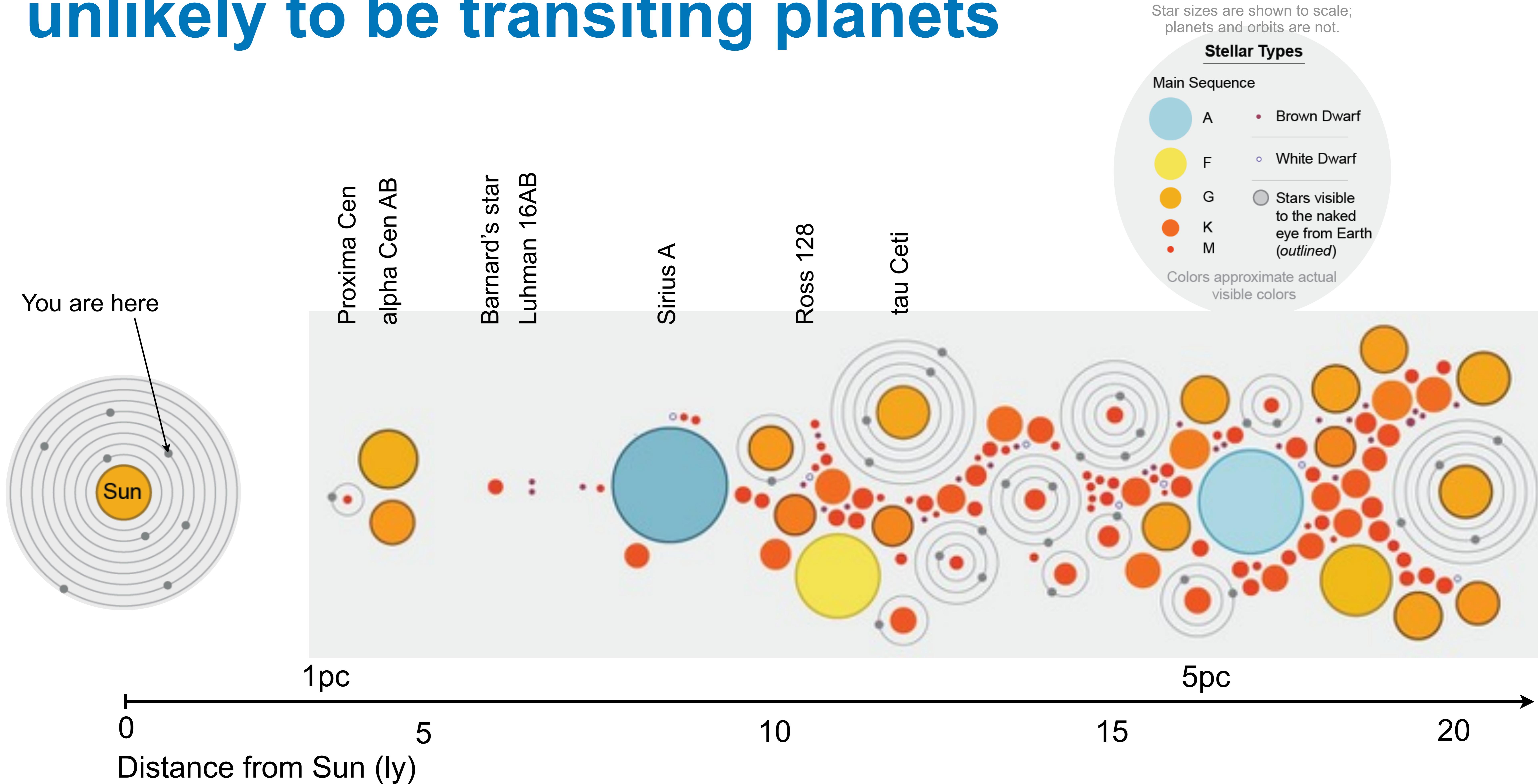
# There are ~300 stars within 10 pc



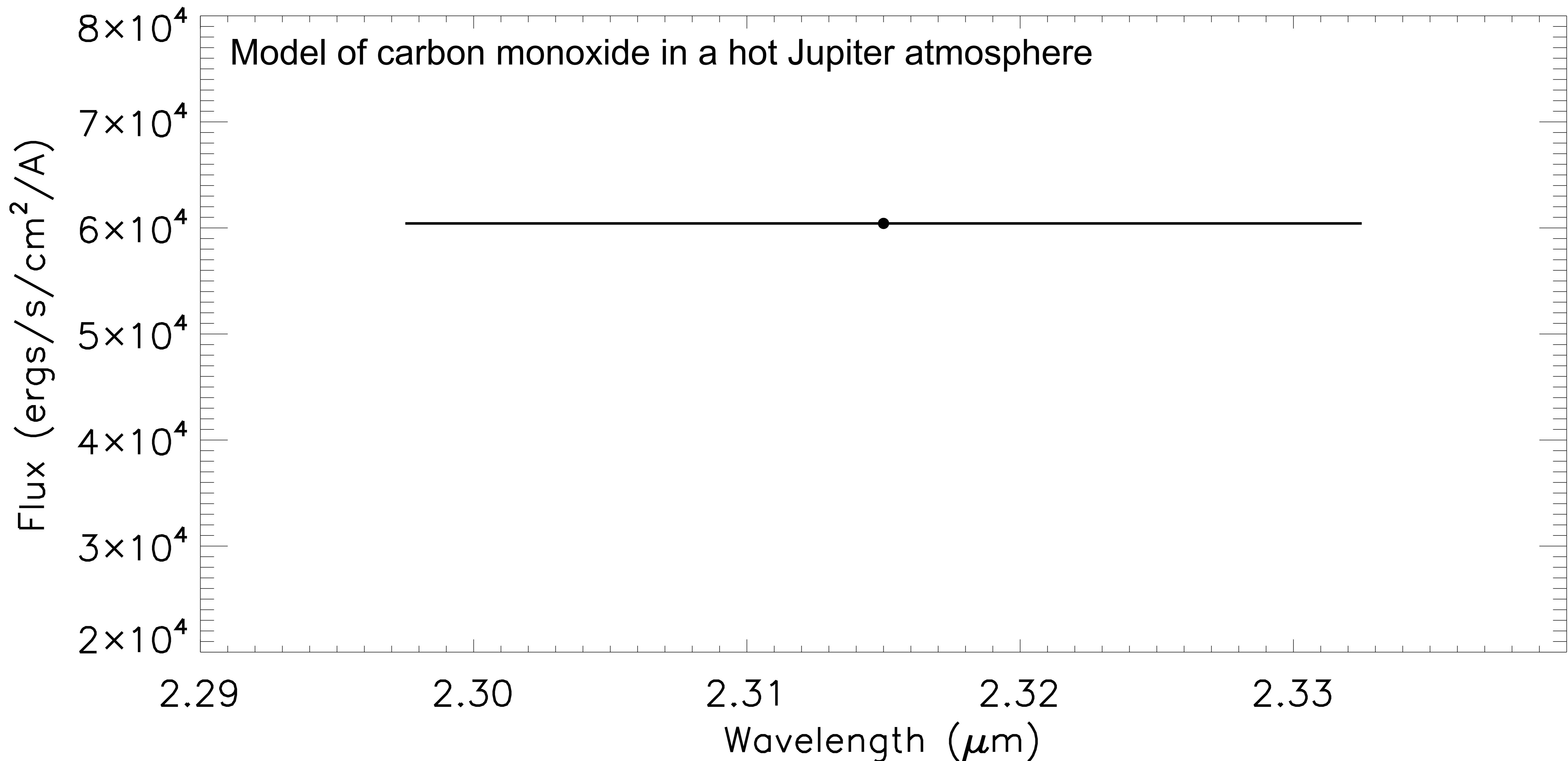
Probability of habitable zone transit  
 $P(R_s/a) < 2\%$



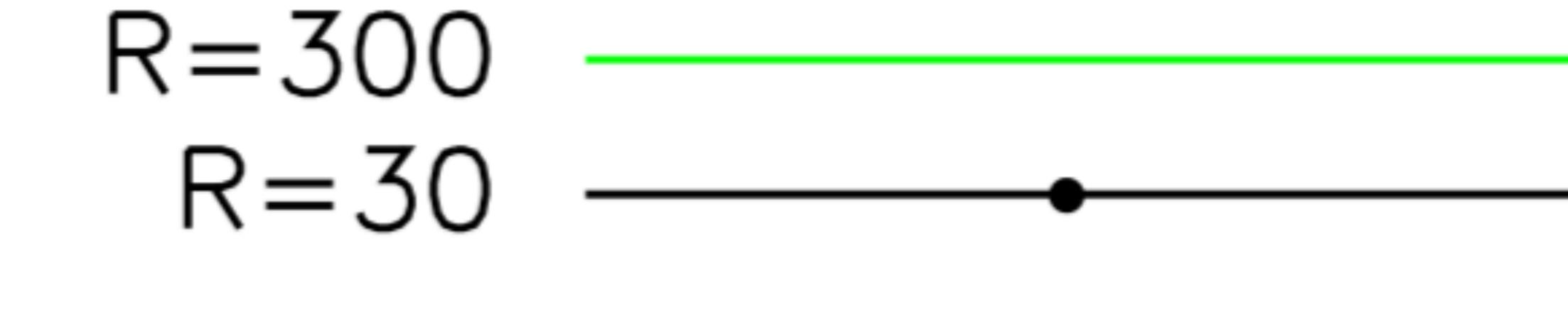
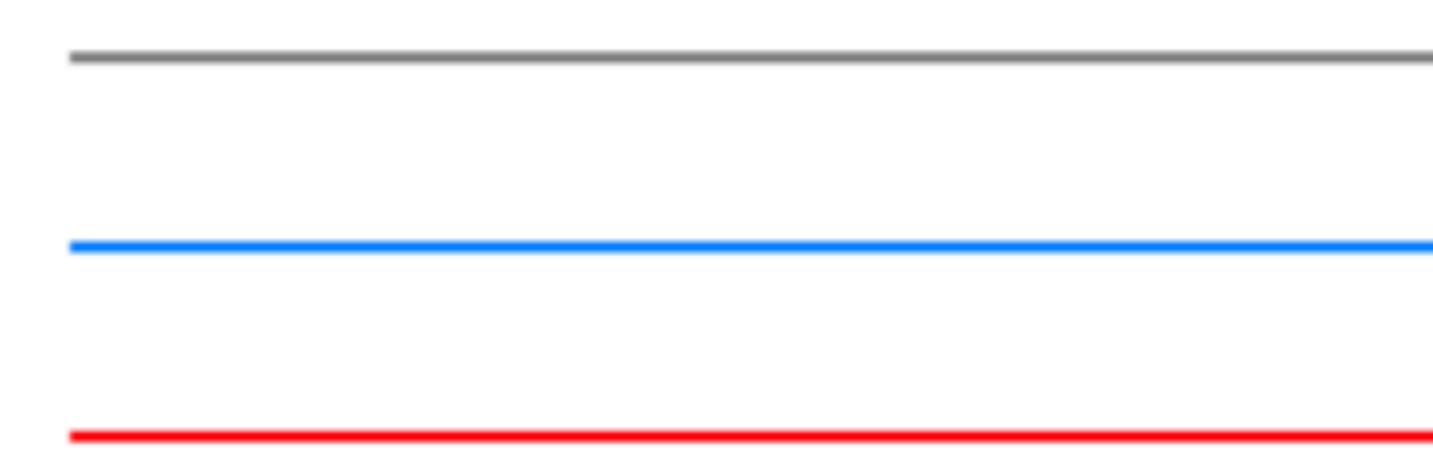
# Nearby habitable worlds, mostly M-dwarf hosts, unlikely to be transiting planets



# Molecules have unique patterns of many spectral lines that are difficult to mimic with systematics

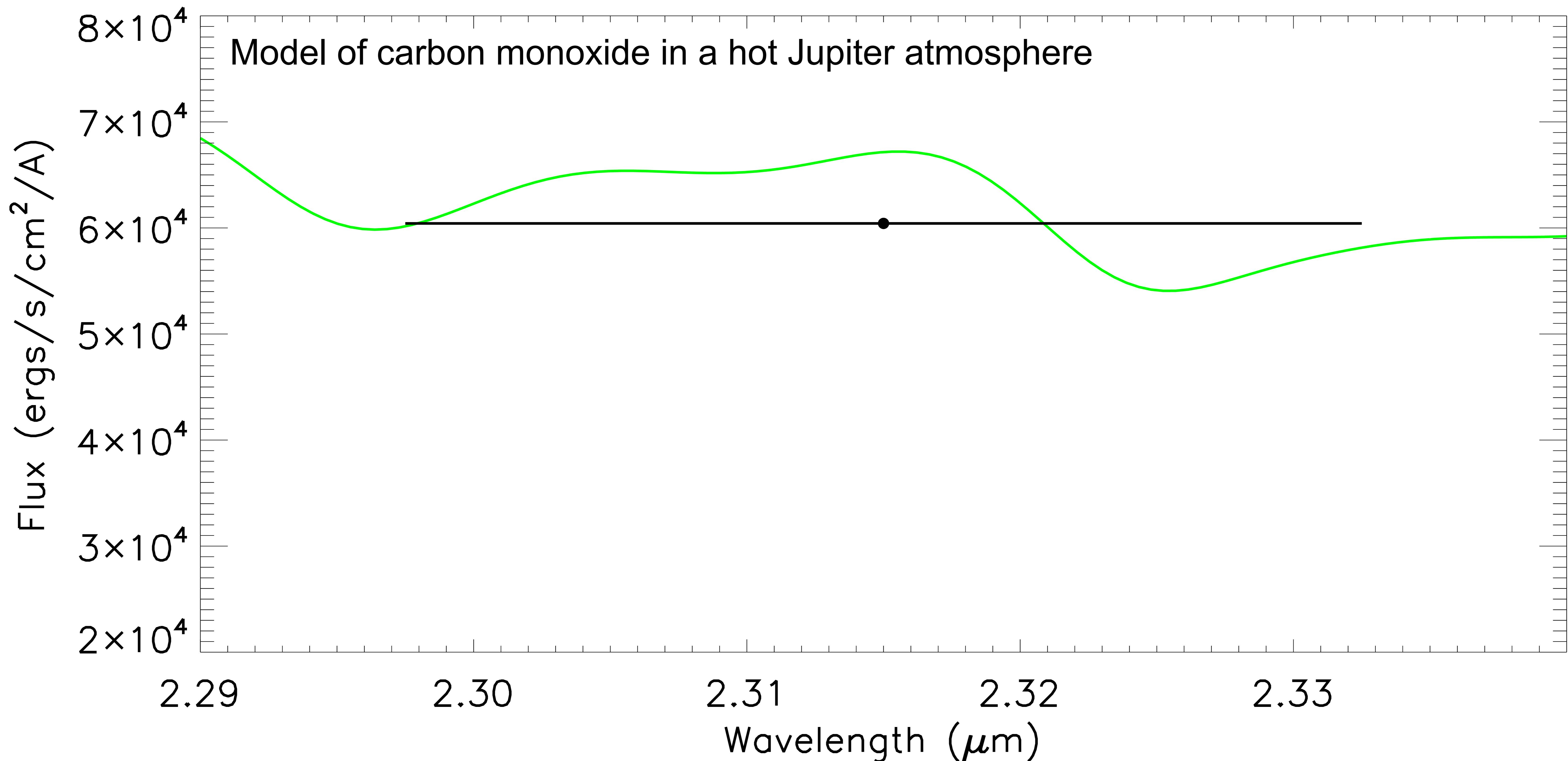


CRIRES(+), METIS R=100,000  
ARIES, NIRSPEC R=30,000  
SINFONI, OSIRIS R=5,000

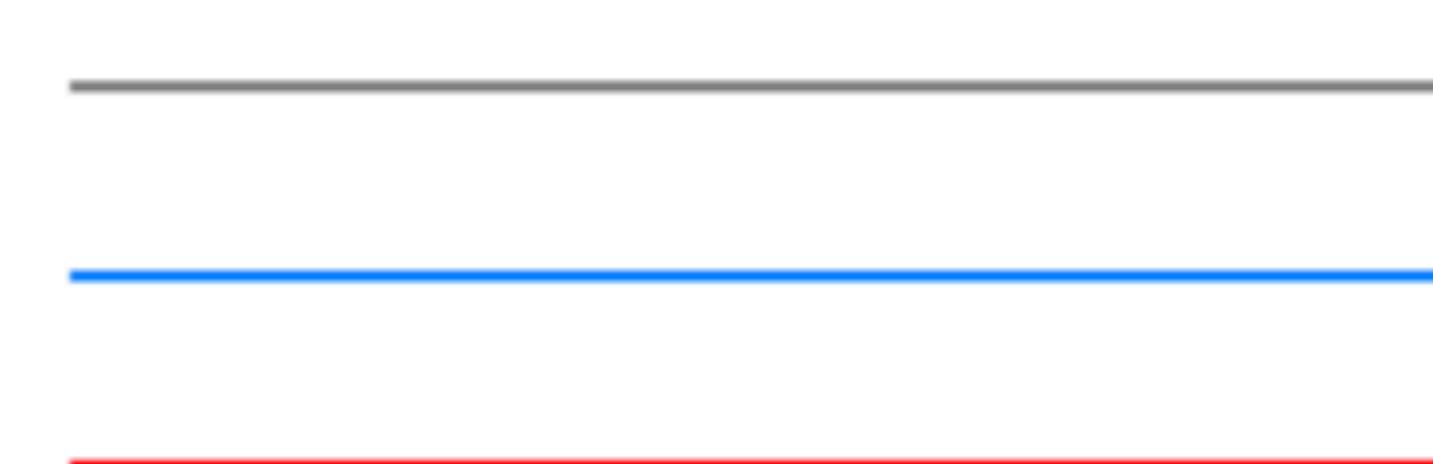


**HST**

# Molecules have unique patterns of many spectral lines that are difficult to mimic with systematics

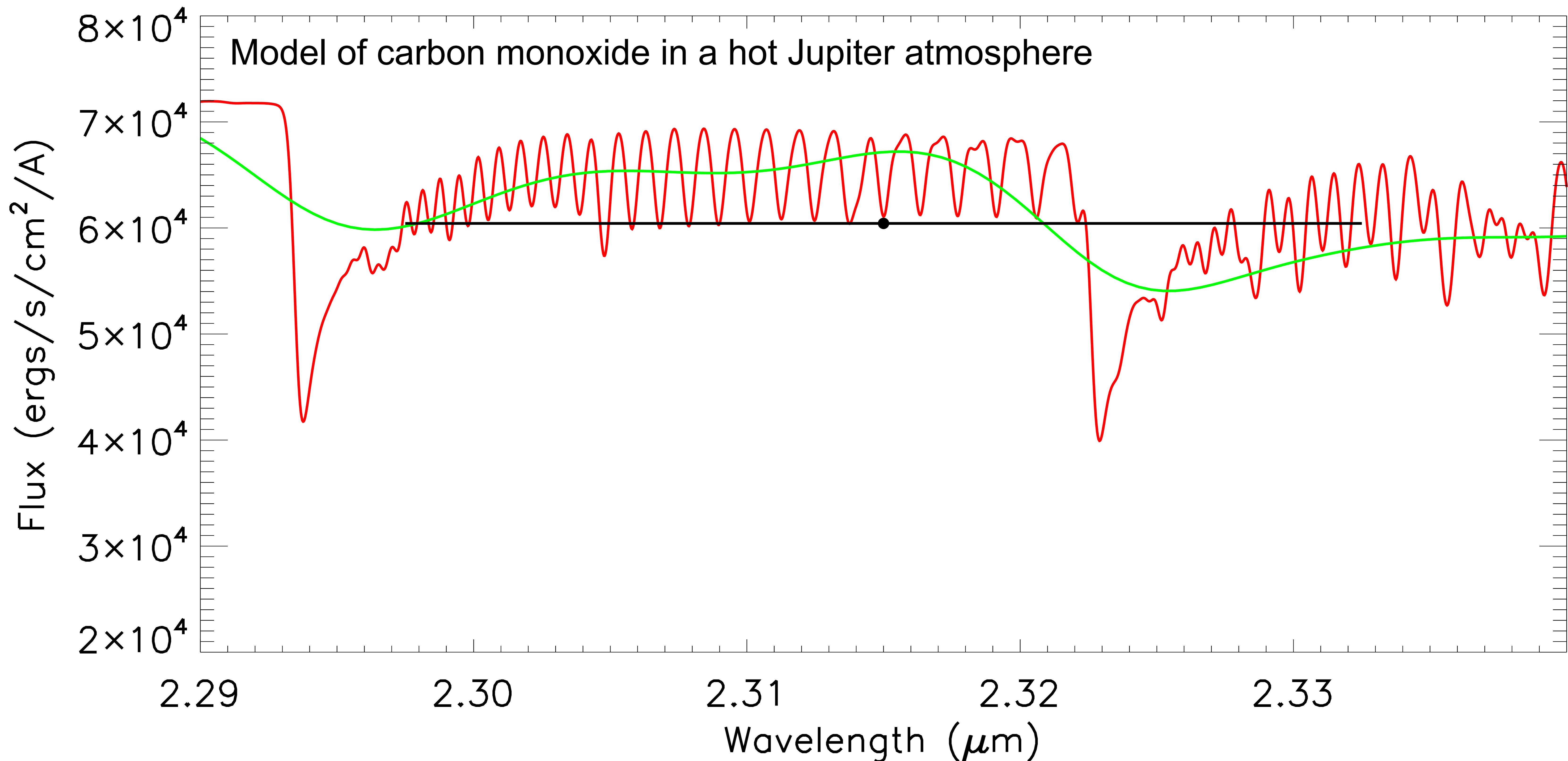


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SINFONI, OSIRIS R=5,000

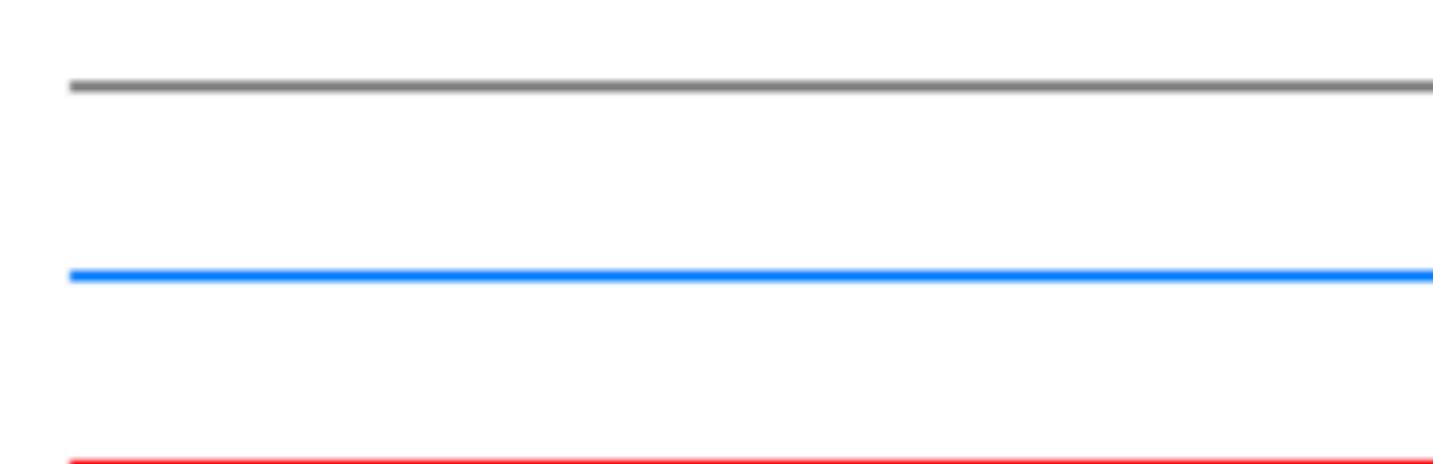


R=300  
R=30  
HST

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CRIRES(+), METIS R=100,000  
ARIES, NIRSPEC R=30,000  
SINFONI, OSIRIS R=5,000

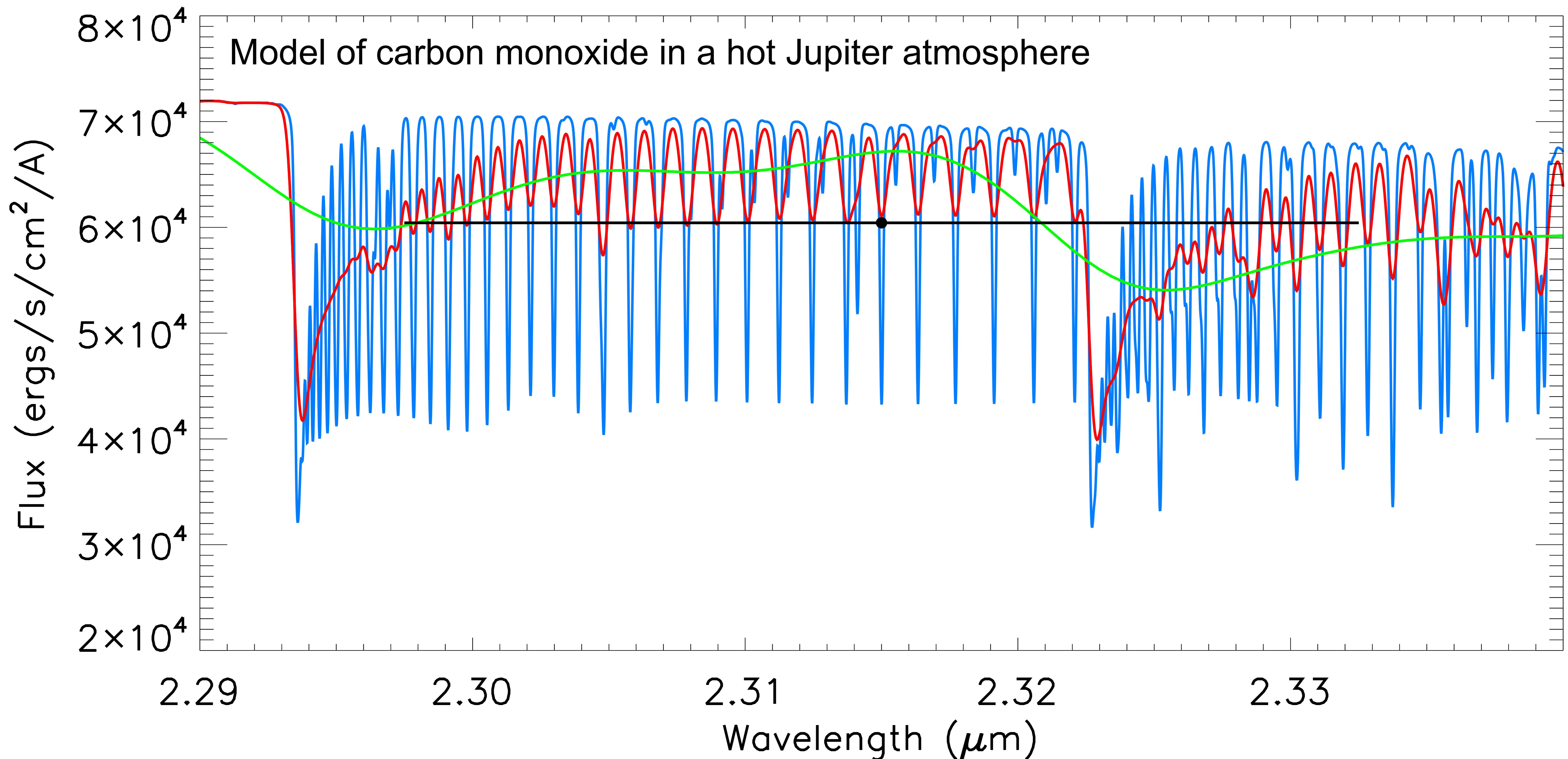


R=300  
R=30



HST

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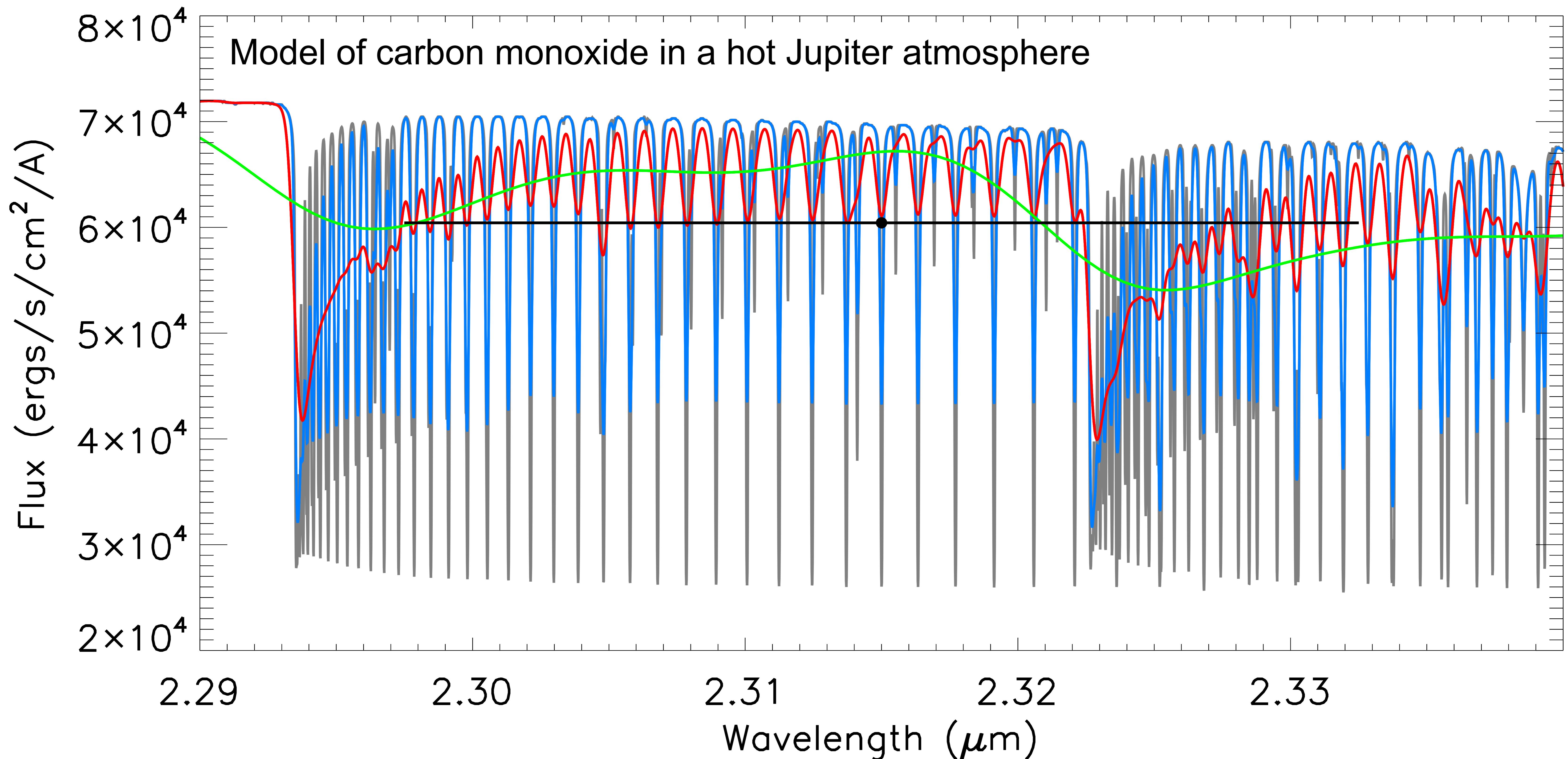


CRIRES(+), METIS R=100,000  
ARIES, NIRSPEC R=30,000  
SINFONI, OSIRIS R=5,000

— R=300  
— R=30

— HST

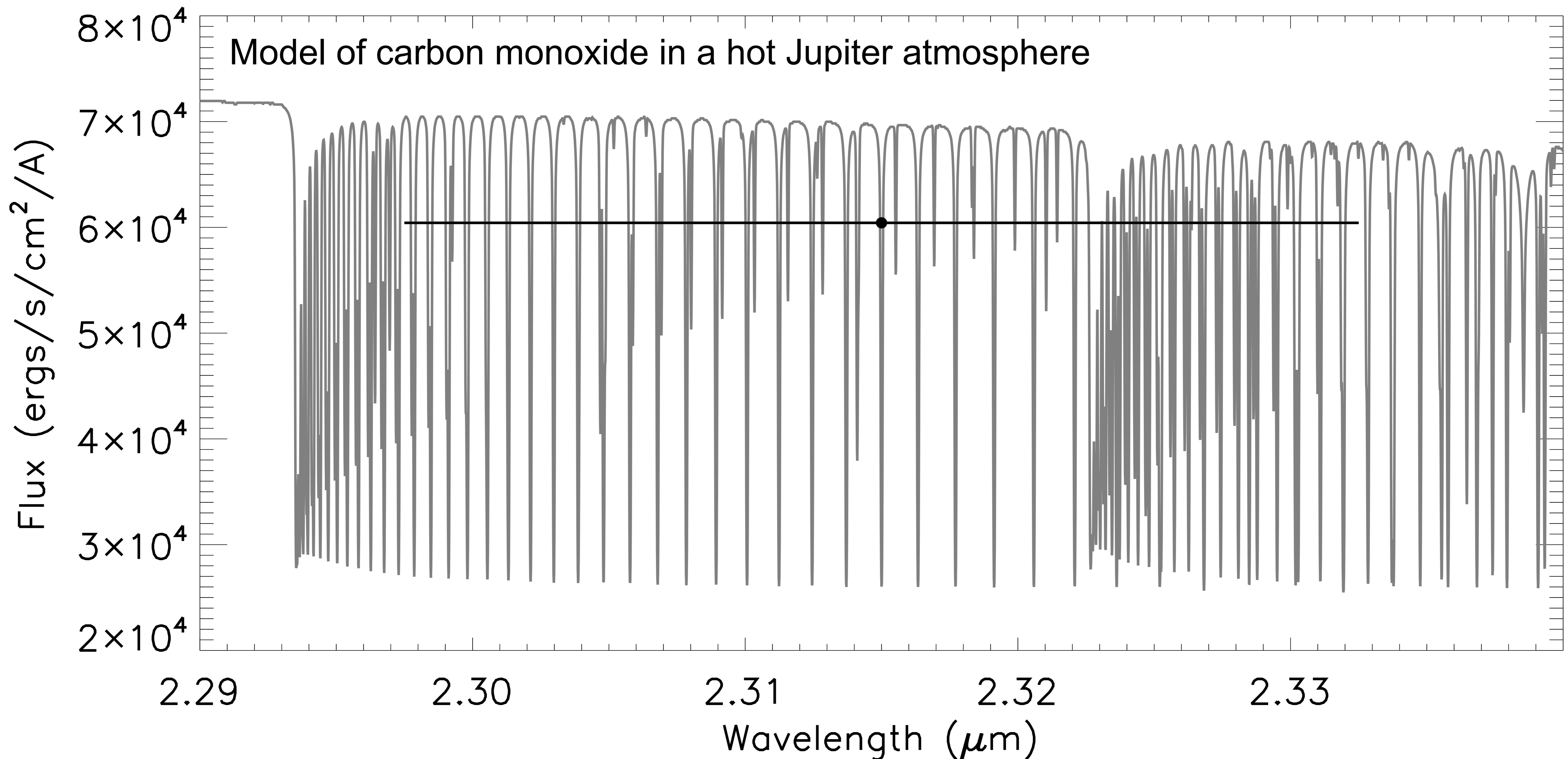
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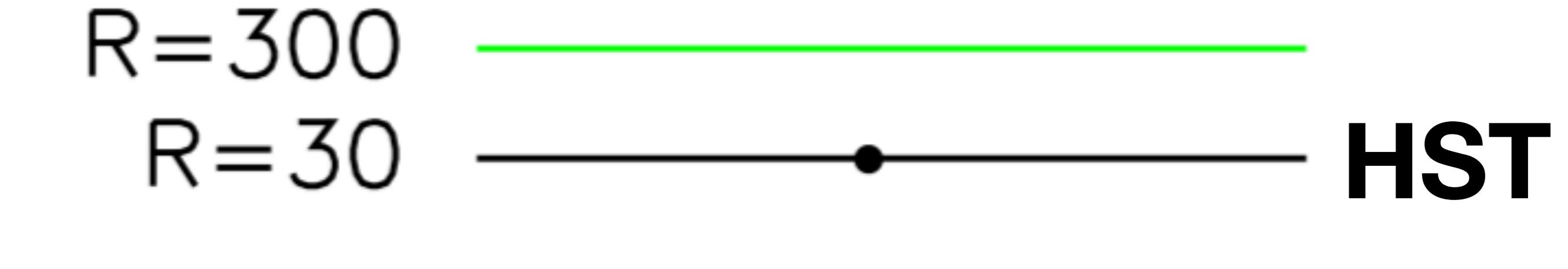
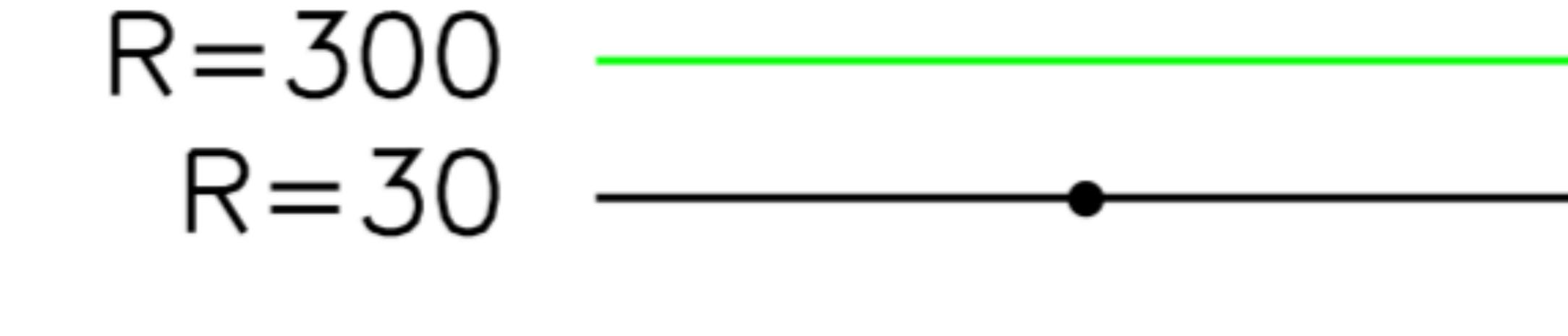
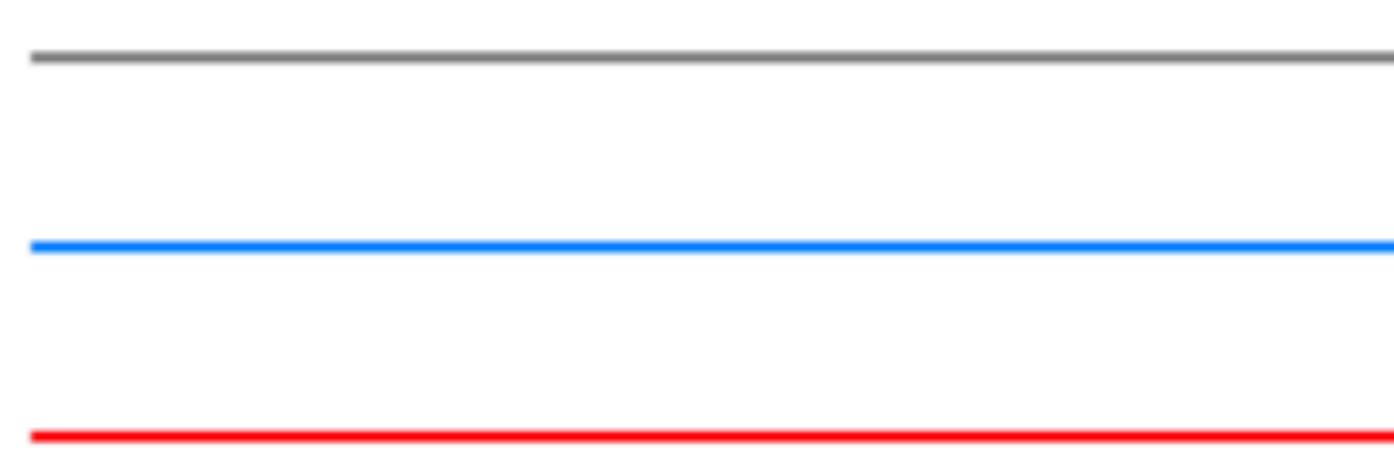
CRIRES(+), METIS R=100,000  
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R=300  
R=30 HST

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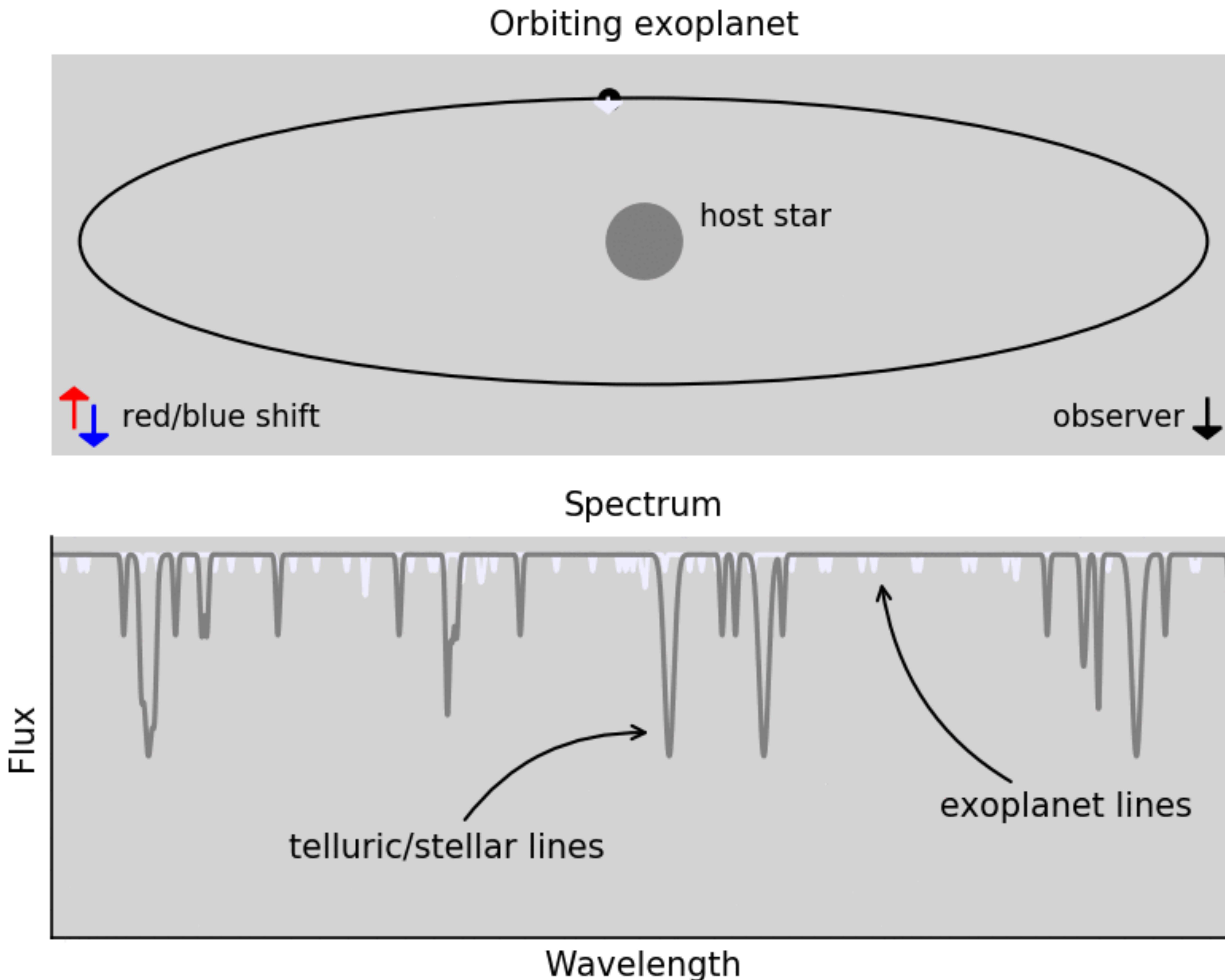


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SINFONI, OSIRIS R=5,000



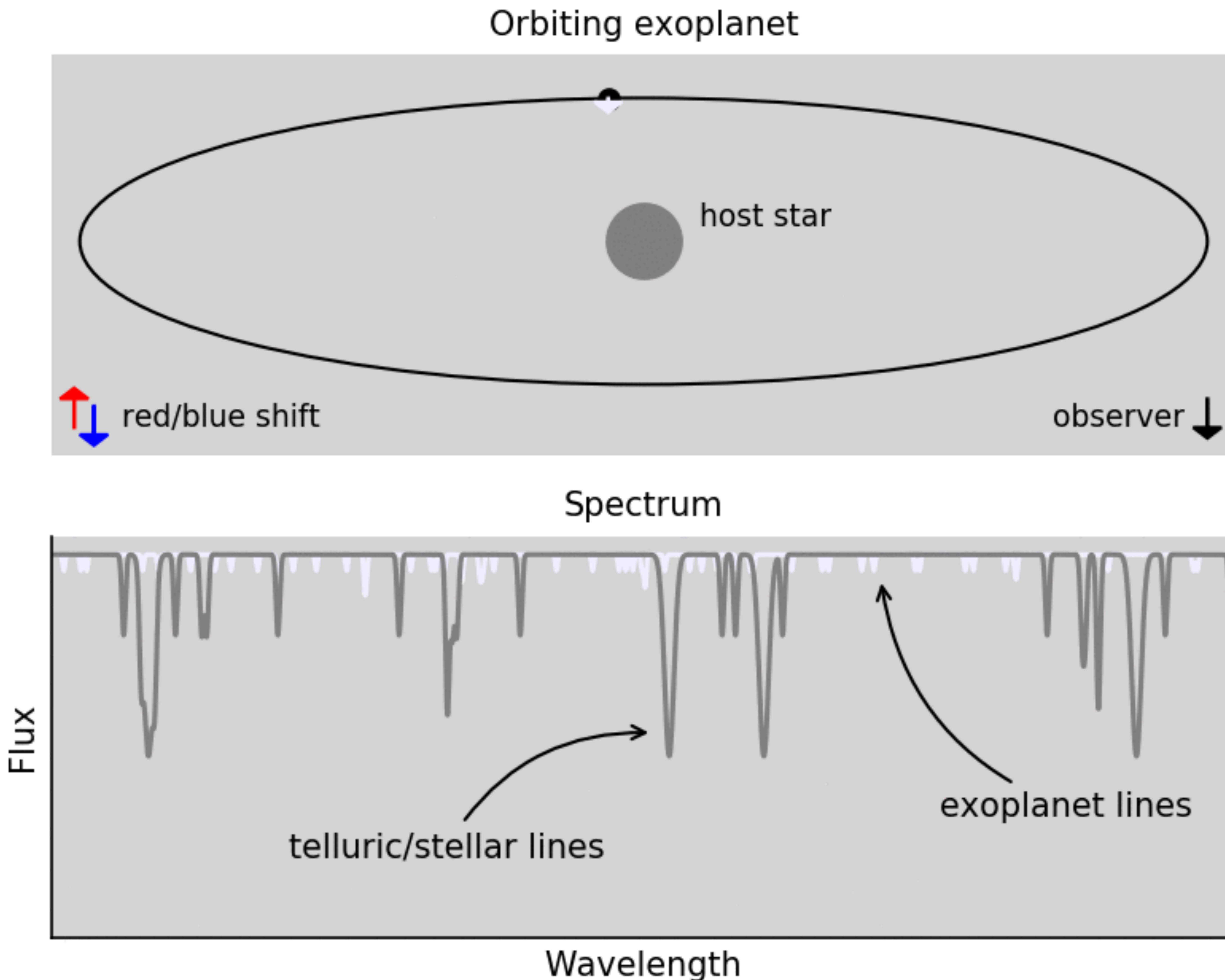
HST

# Use the large Doppler-shift of the planet to disentangle its spectrum from the ~static host star and Earth's atmosphere



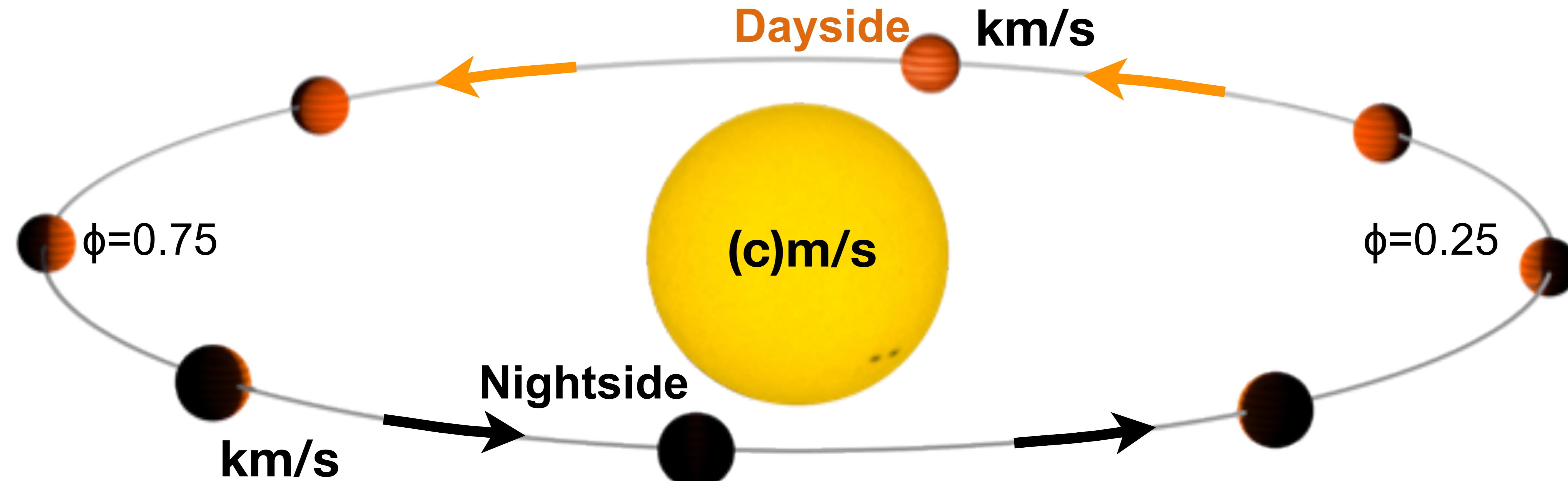
Video credit: Lennart van Sluijs

# Use the large Doppler-shift of the planet to disentangle its spectrum from the ~static host star and Earth's atmosphere

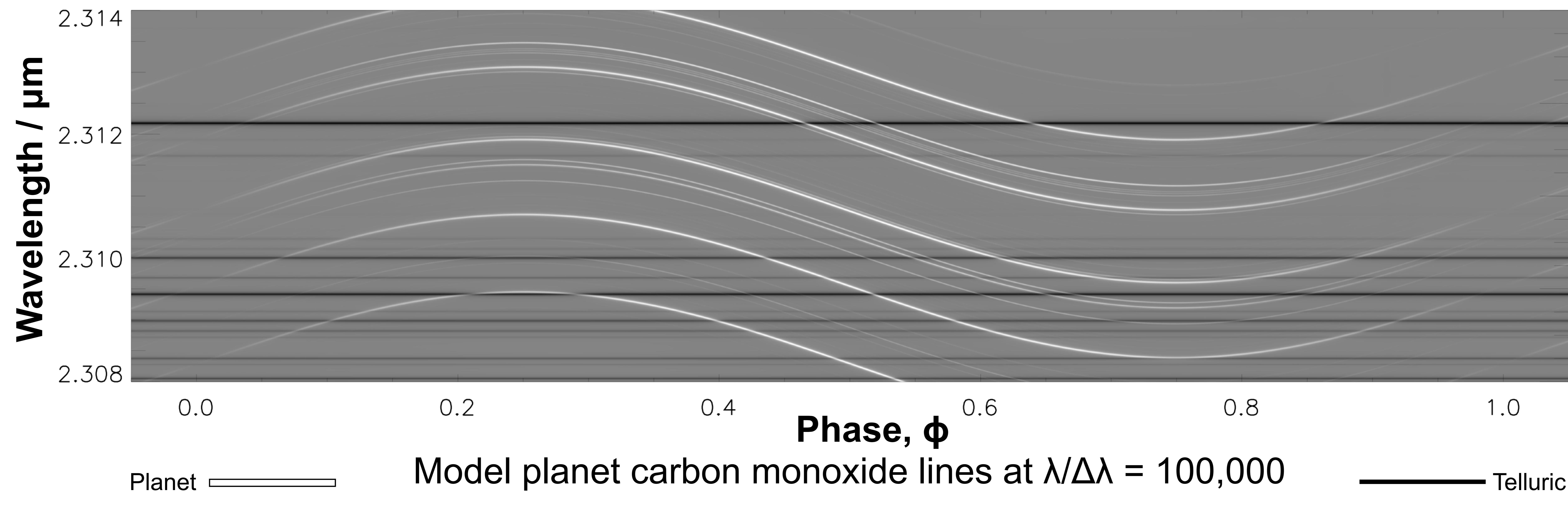
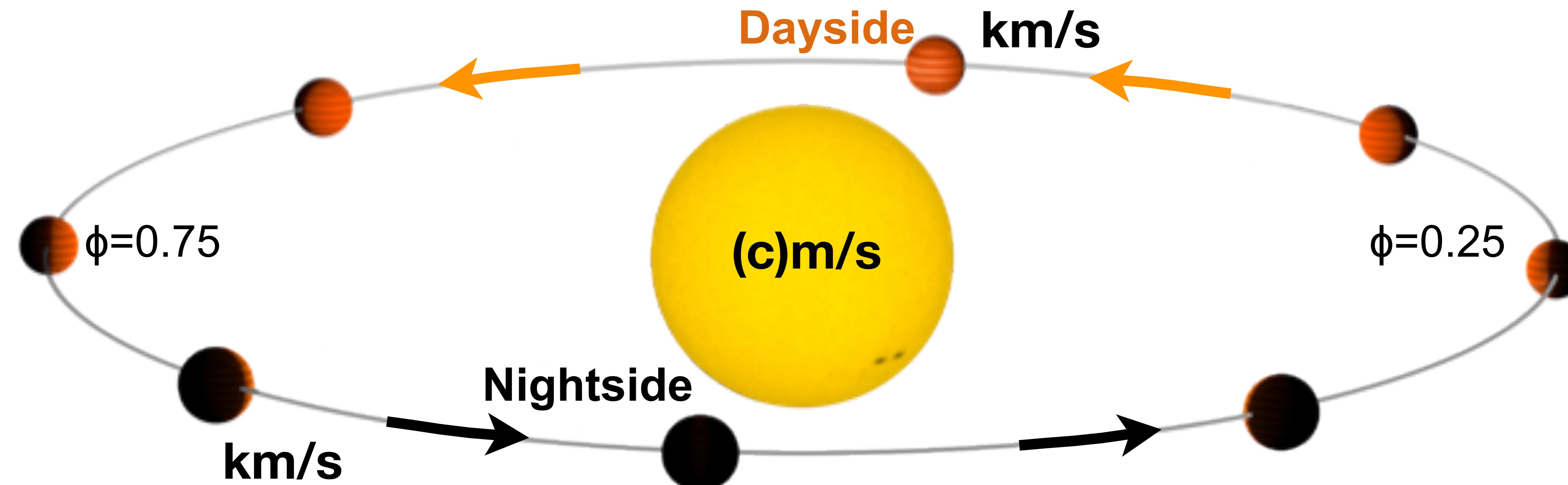


Video credit: Lennart van Sluijs

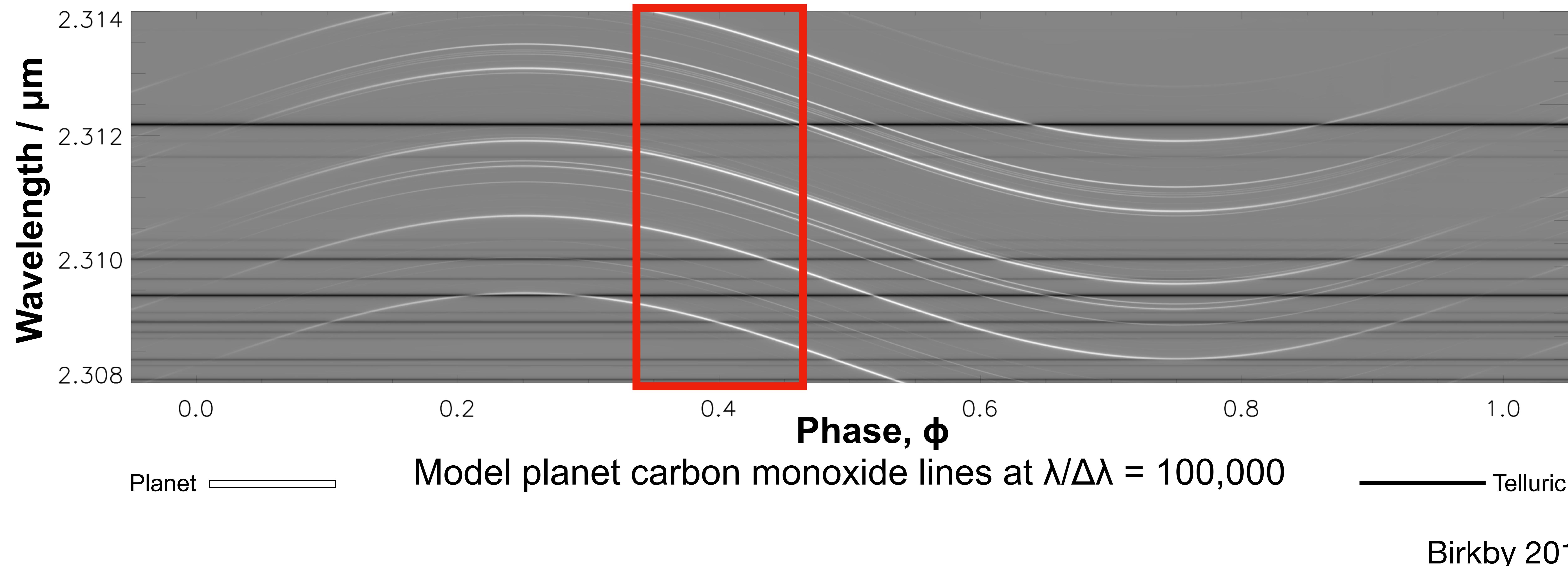
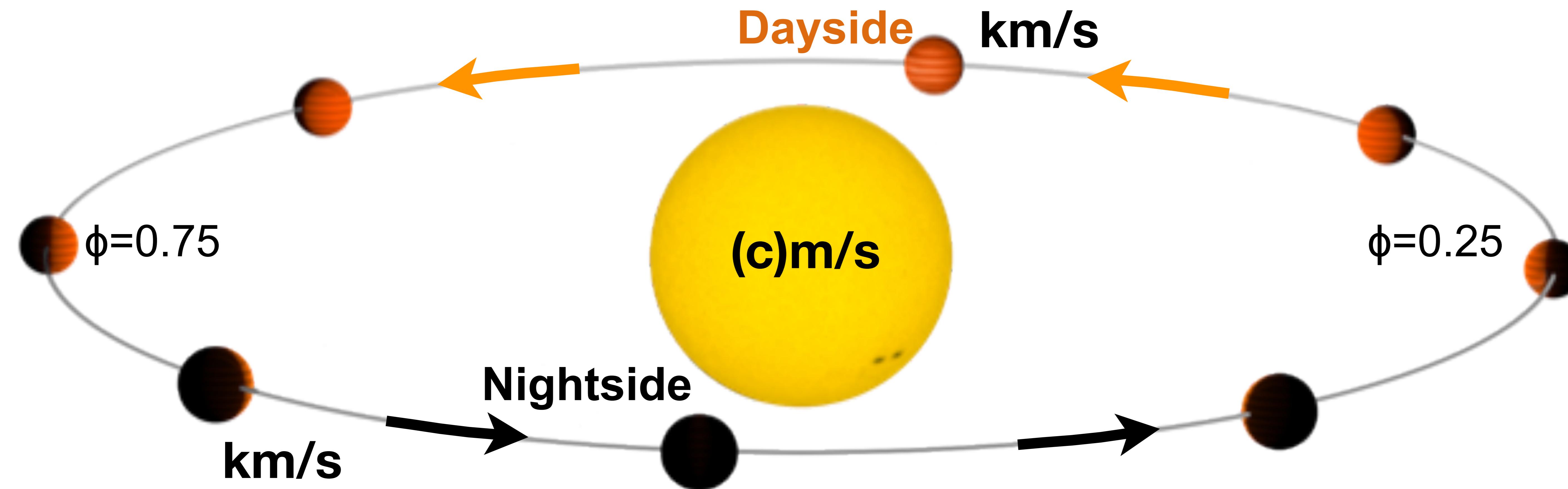
# Use the large Doppler-shift of the planet to disentangle its spectrum from the ~static host star and Earth's atmosphere



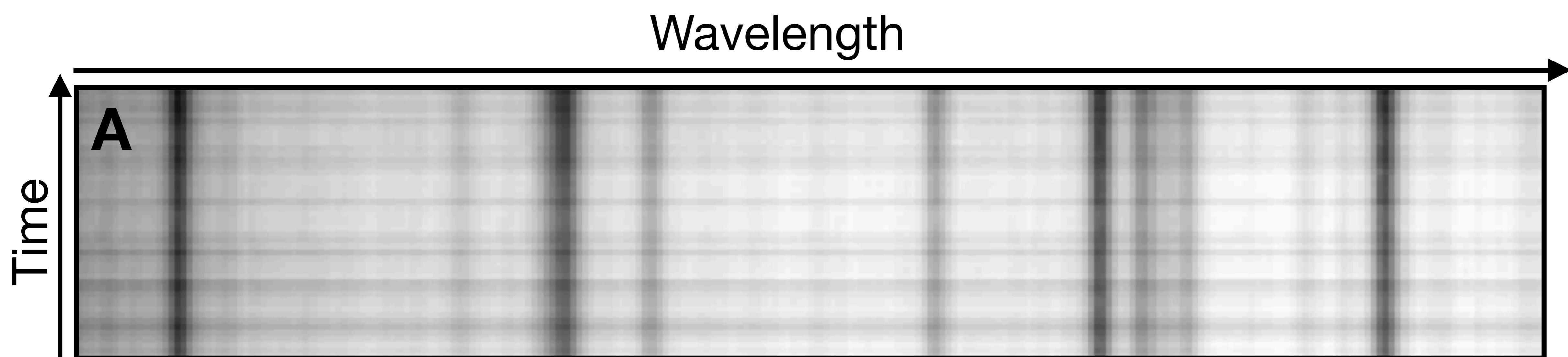
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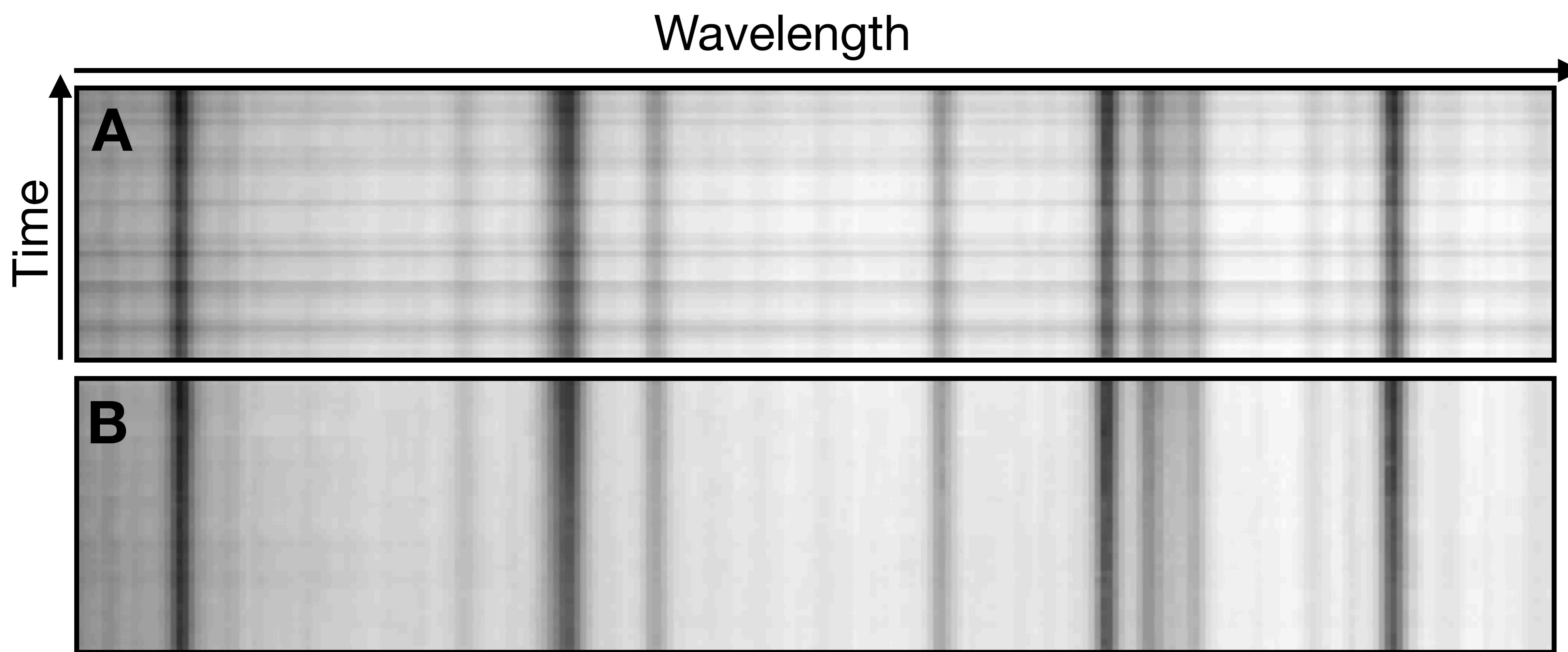
# Remove features that are stationary over time



**Goal:** remove star and telluric spectra such that only **photon noise** remains (plus planet spectrum buried in noise)

Extracted ARIES spectra

# Remove features that are stationary over time

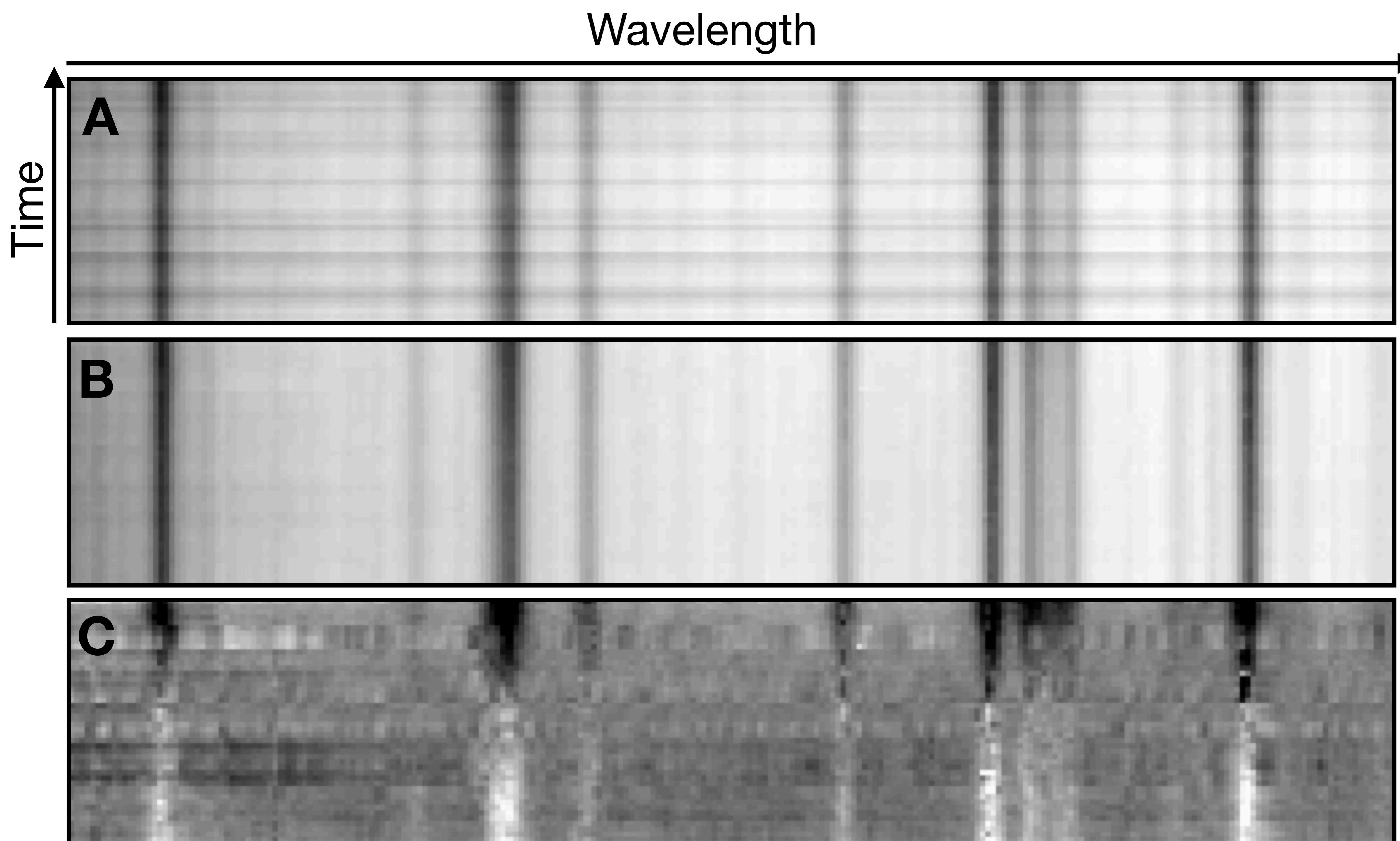


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Extracted ARIES spectra

Normalised (continuum information lost)

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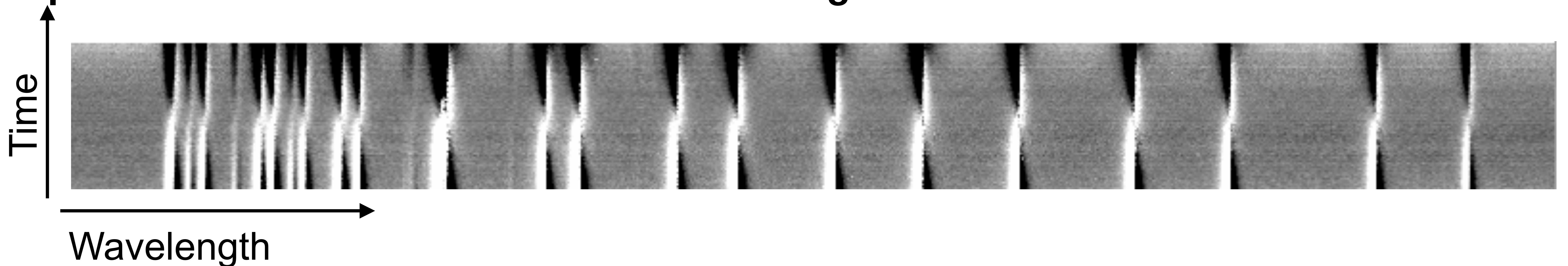
Extracted ARIES spectra

Normalised (continuum information lost)

After first common mode removed

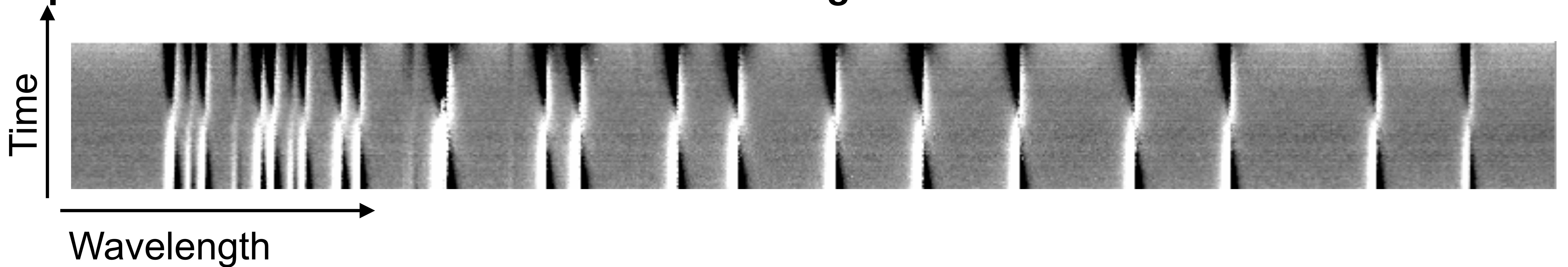
# Aligning the spectral is crucial for removing the tellurics/stellar lines

**Spectra where lines drift over time due to e.g. instrument or Earth motion**

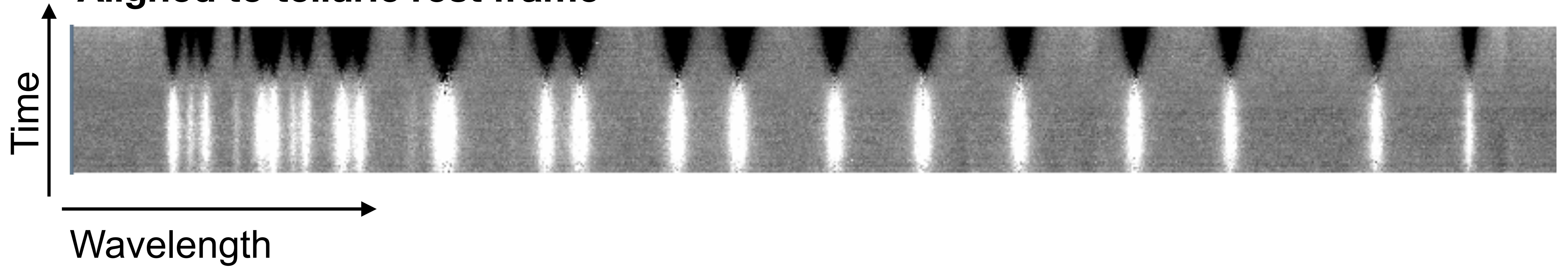


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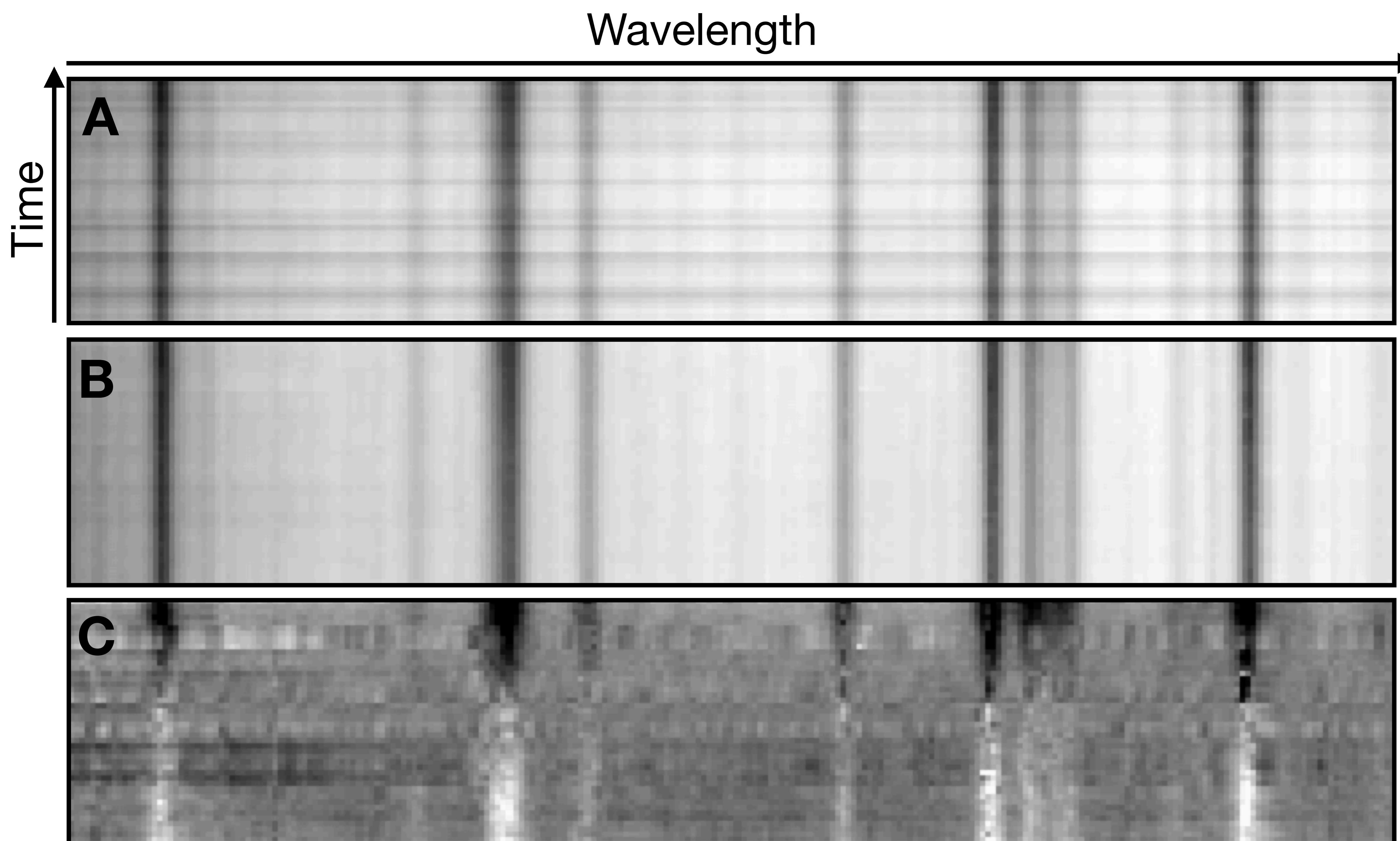
Spectra where lines drift over time due to e.g. instrument or Earth motion



Aligned to telluric rest frame



# Remove features that are stationary over time



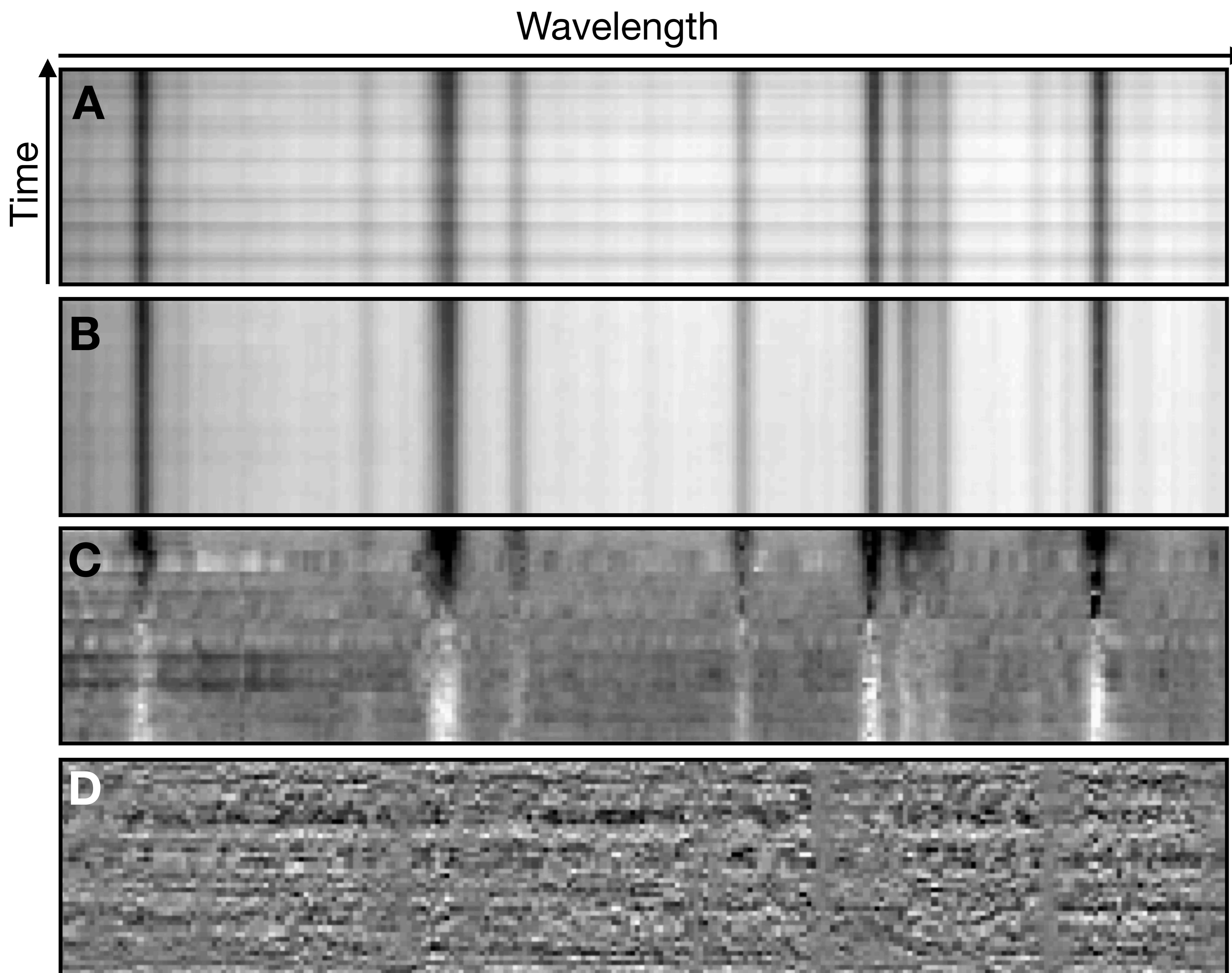
**Goal:** remove star and telluric spectra such that only **photon noise** remains (plus planet spectrum buried in noise)

Extracted ARIES spectra

Normalised (continuum information lost)

After first common mode removed

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**Goal:** remove star and telluric spectra such that only **photon noise** remains (plus planet spectrum buried in noise)

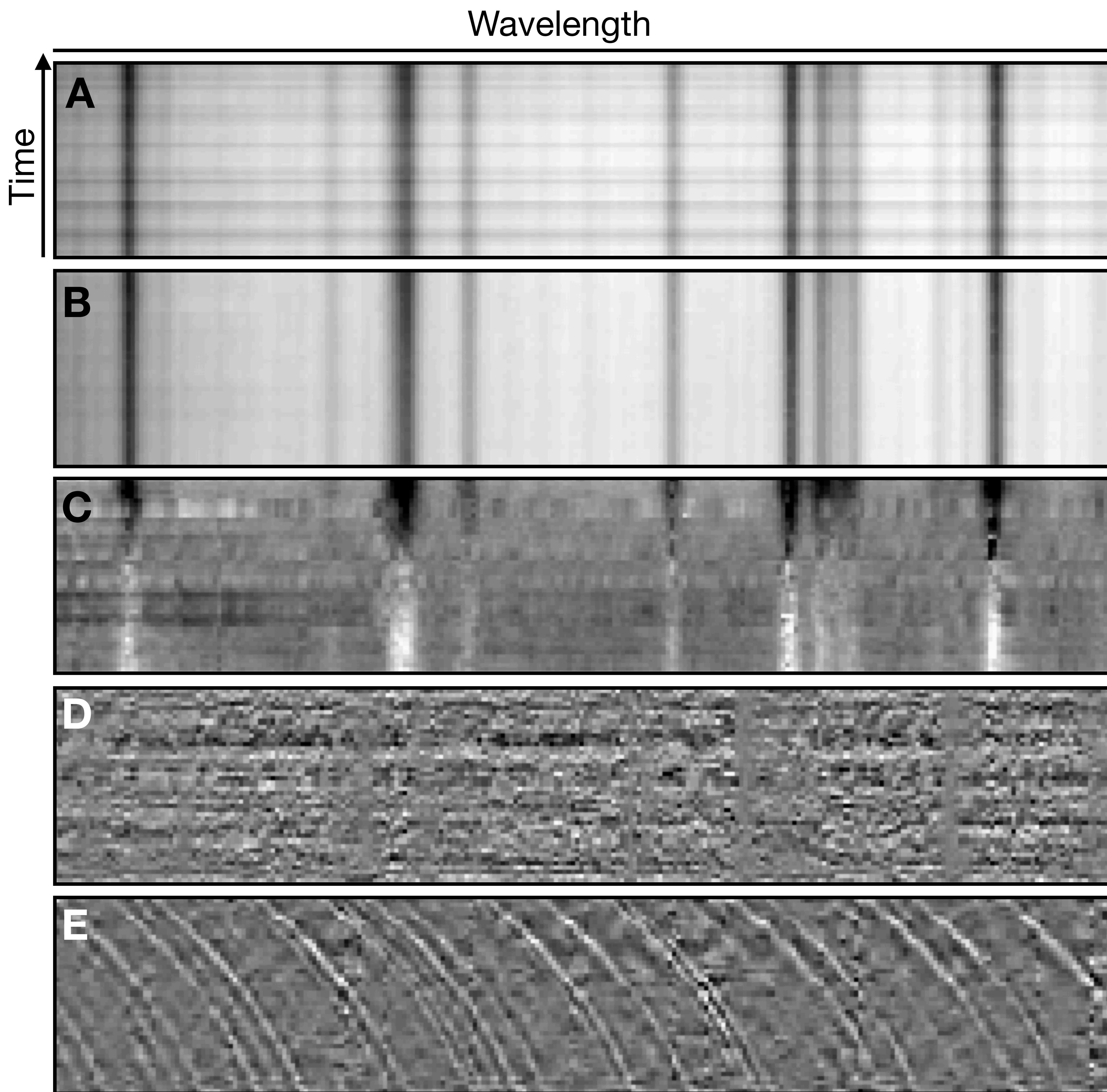
Extracted ARIES spectra

Normalised (continuum information lost)

After first common mode removed

After optimal common modes removed  
(Did we 'hit the photon noise'?)

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Extracted ARIES spectra

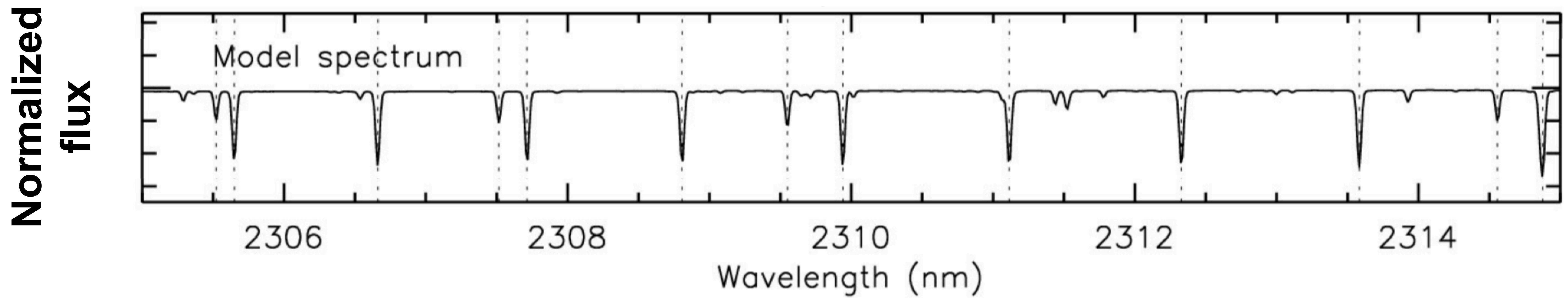
Normalised (continuum information lost)

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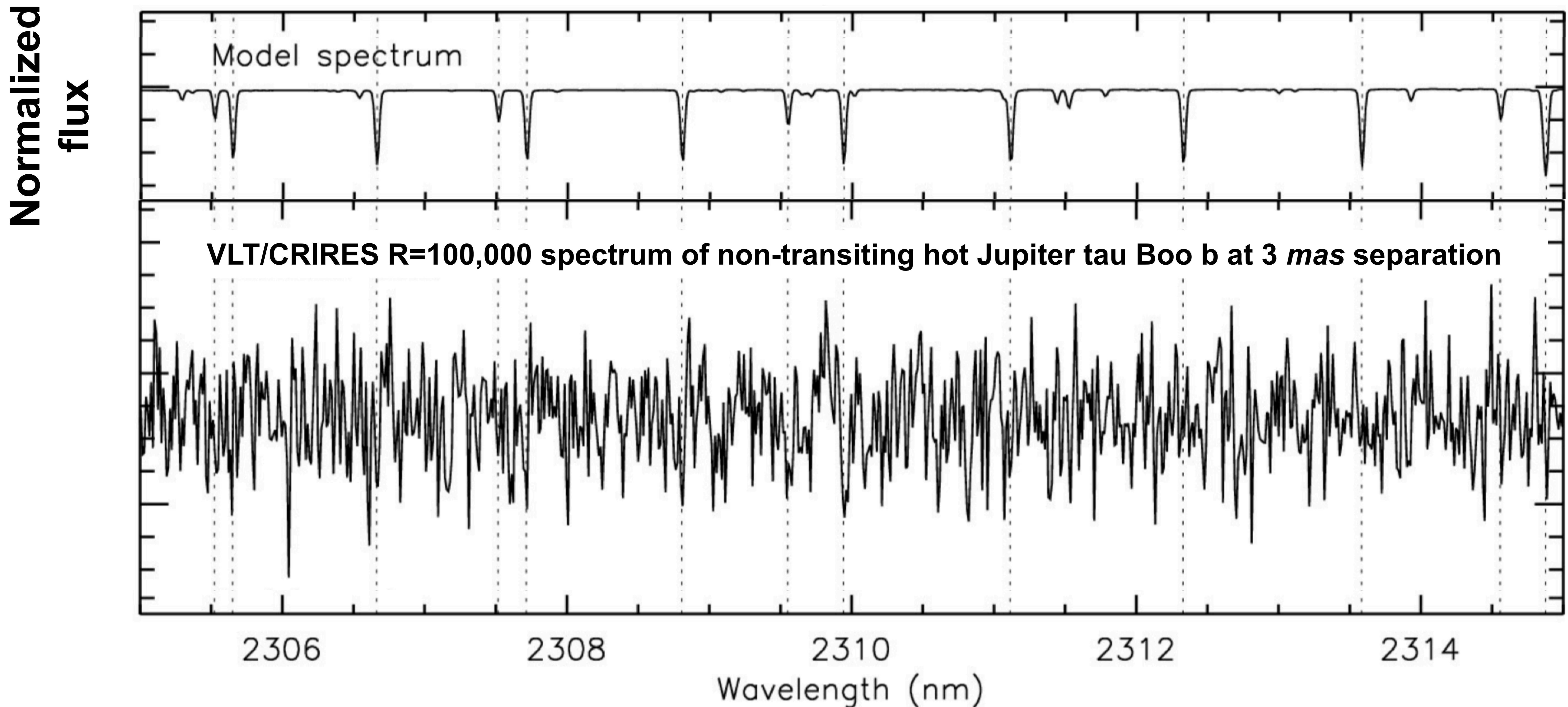
After optimal common modes removed  
(Did we ‘hit the photon noise’?)

Model injected x100

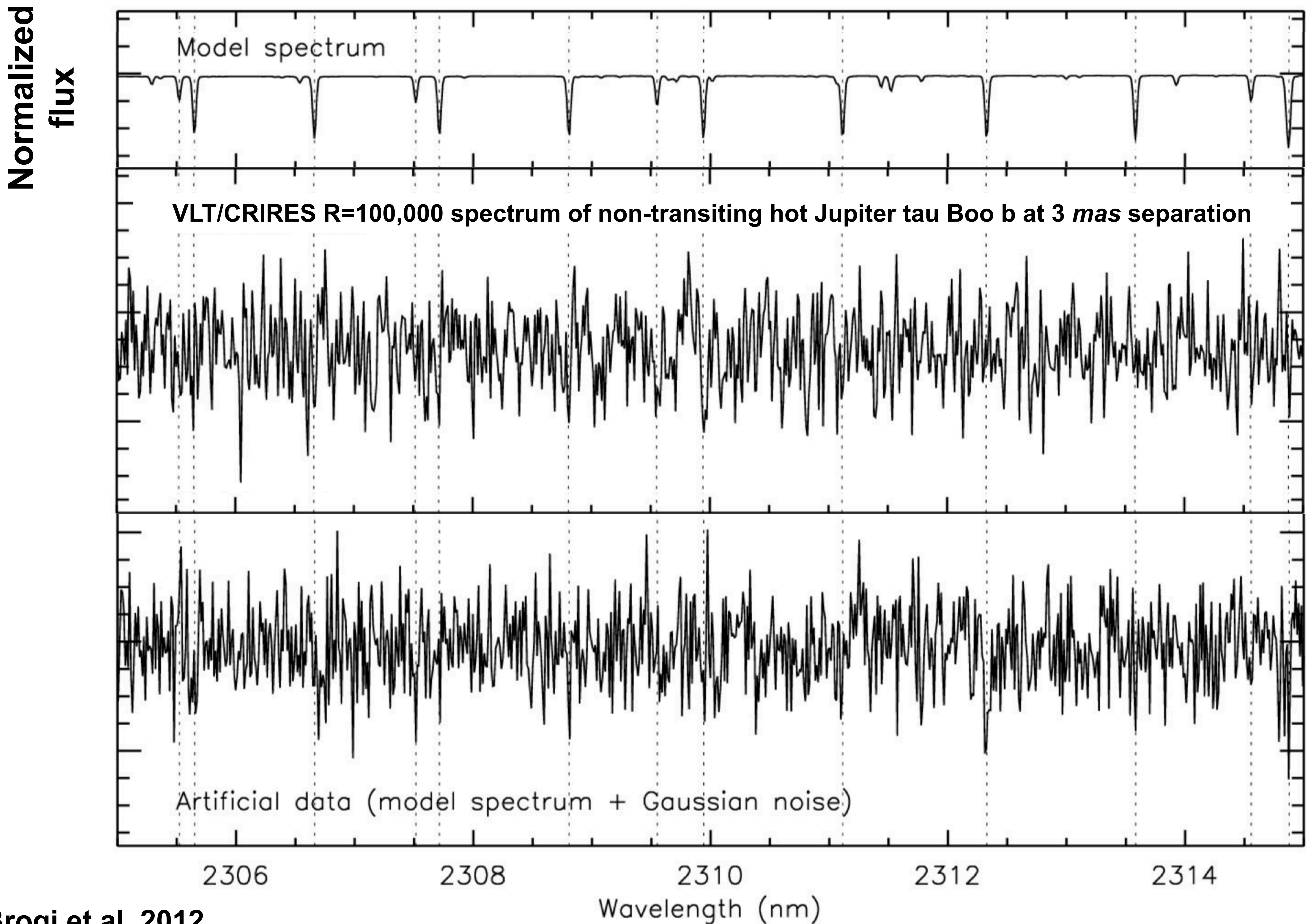
# Final extracted spectrum of planet is very noisy



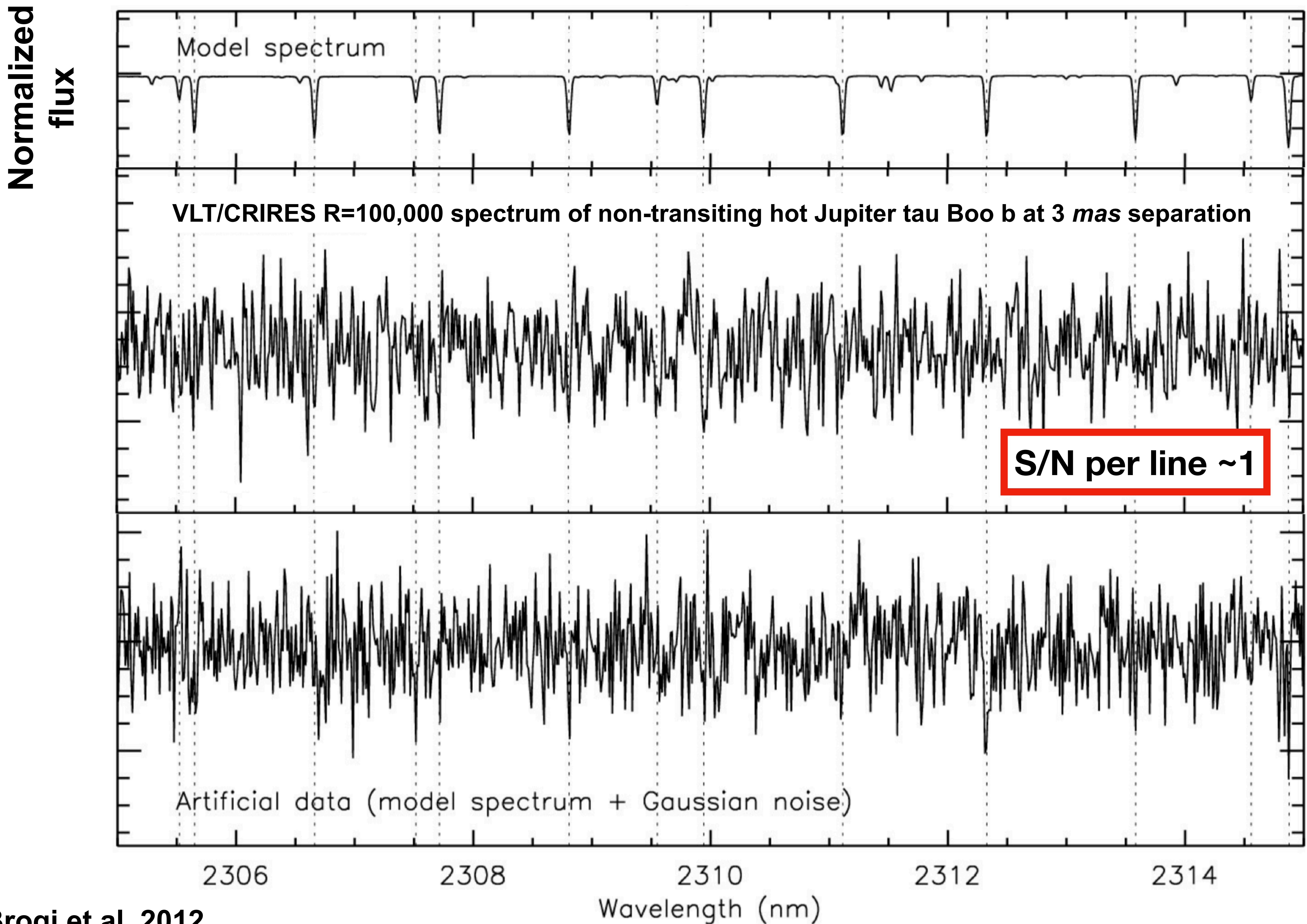
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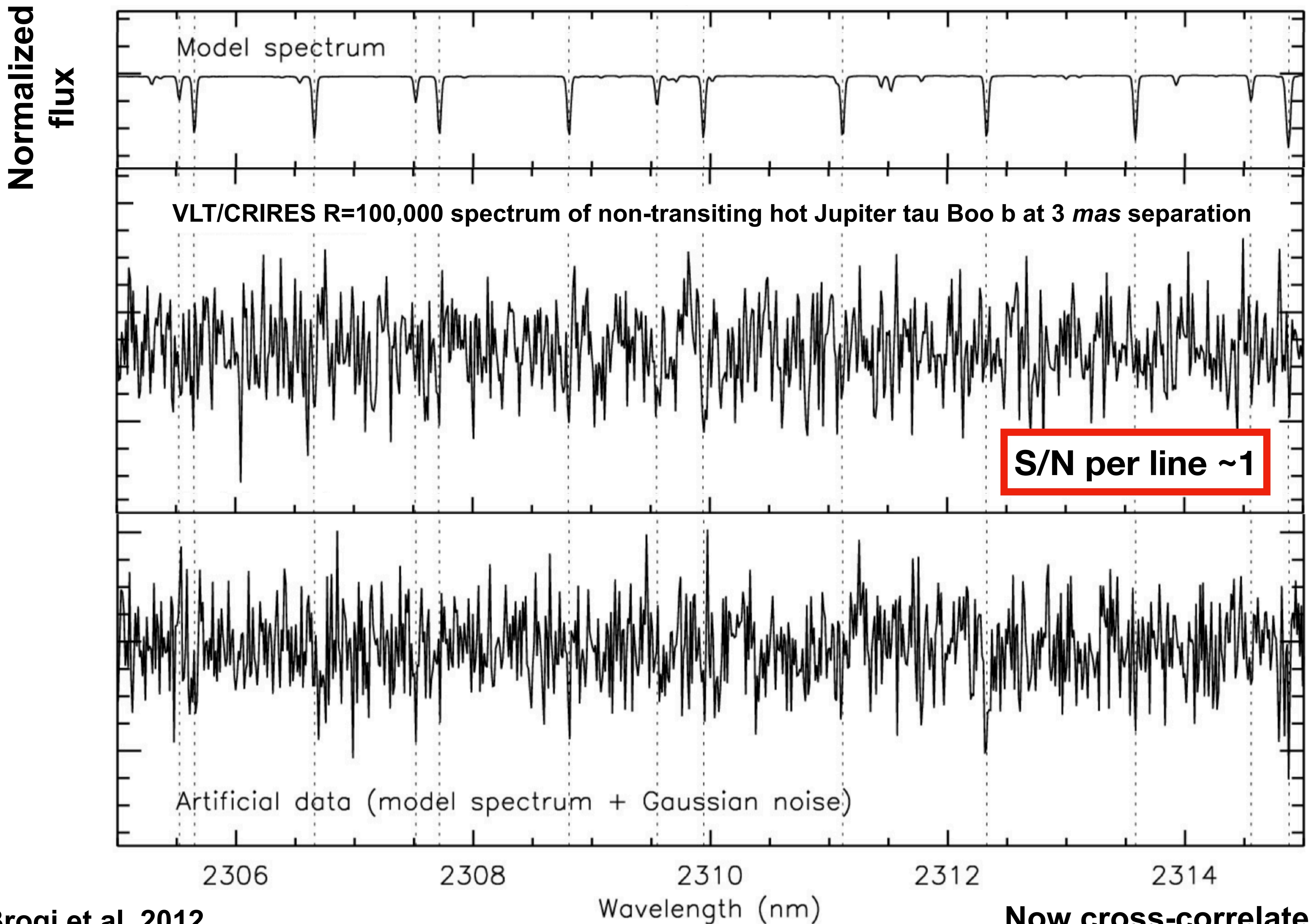
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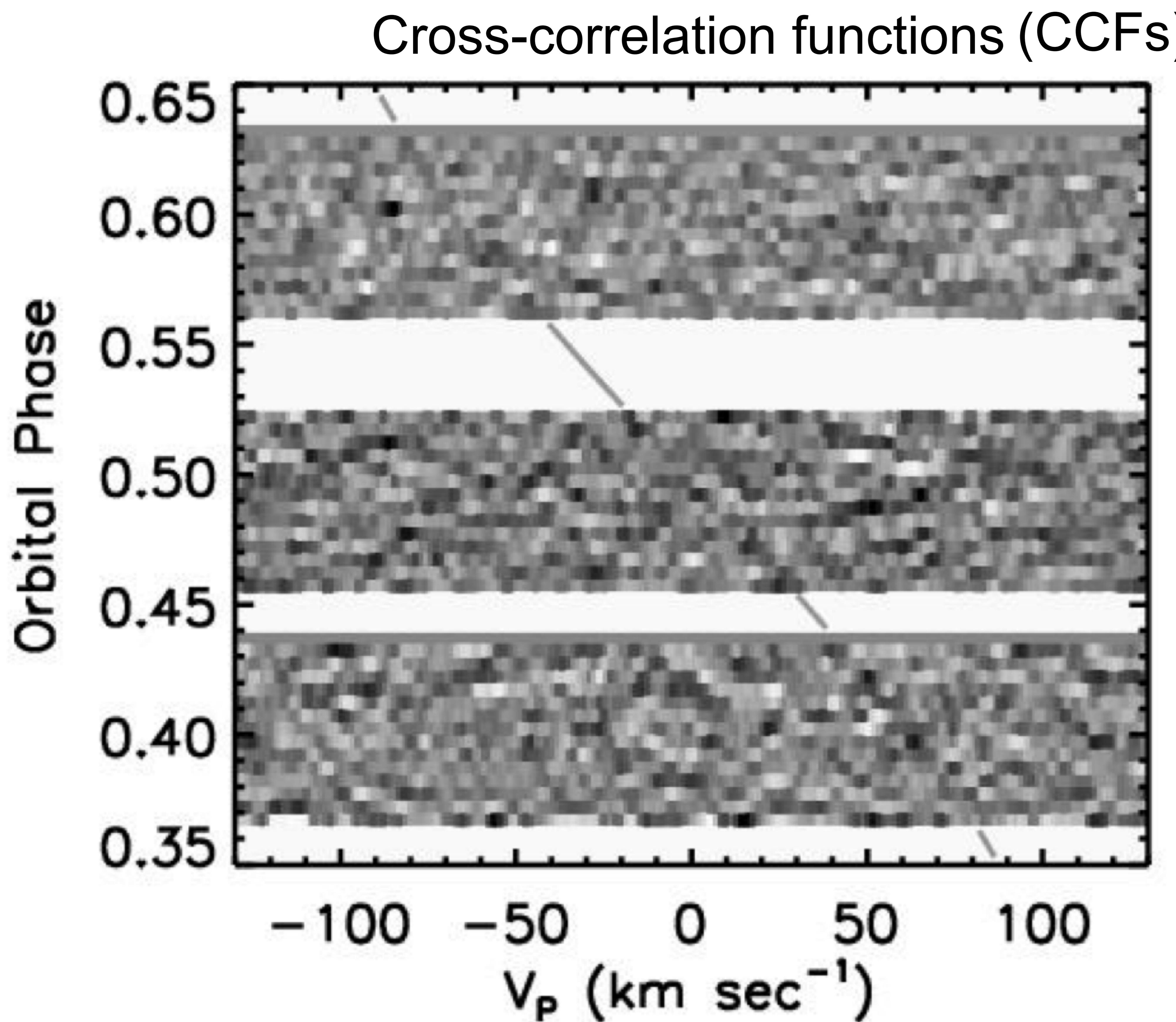
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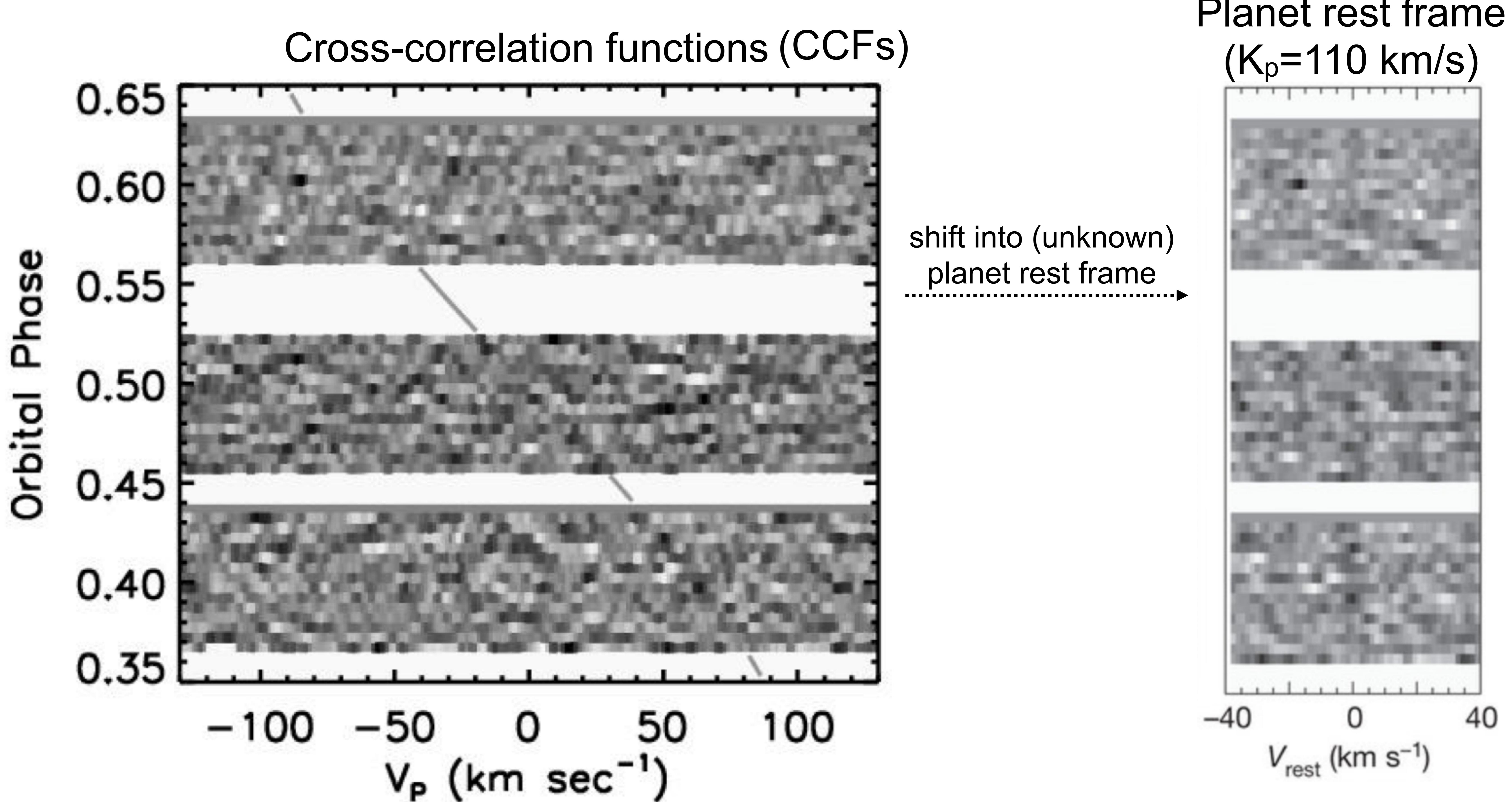
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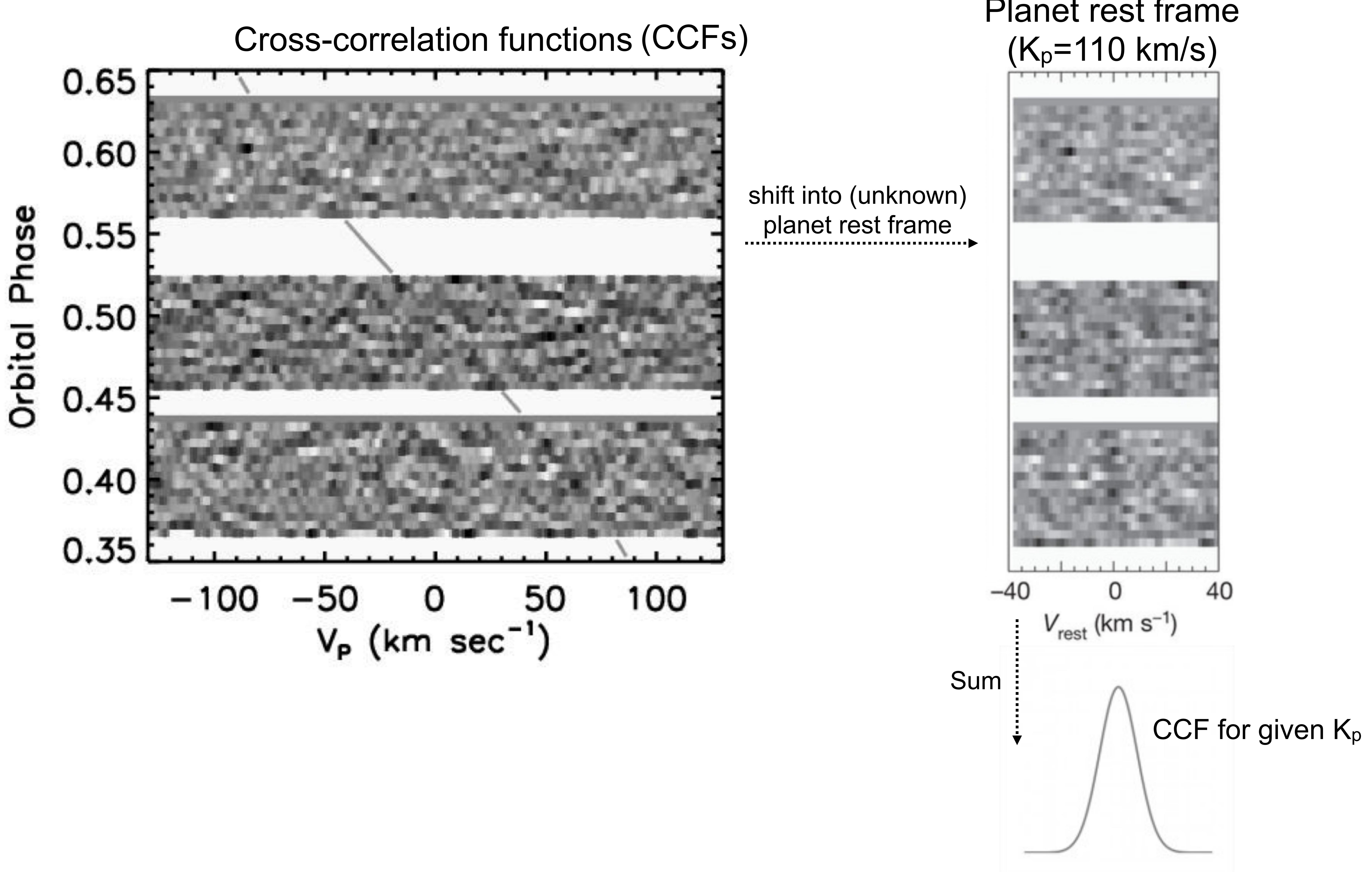
# Each cleaned spectrum is cross-correlated with a model of the planet atmosphere



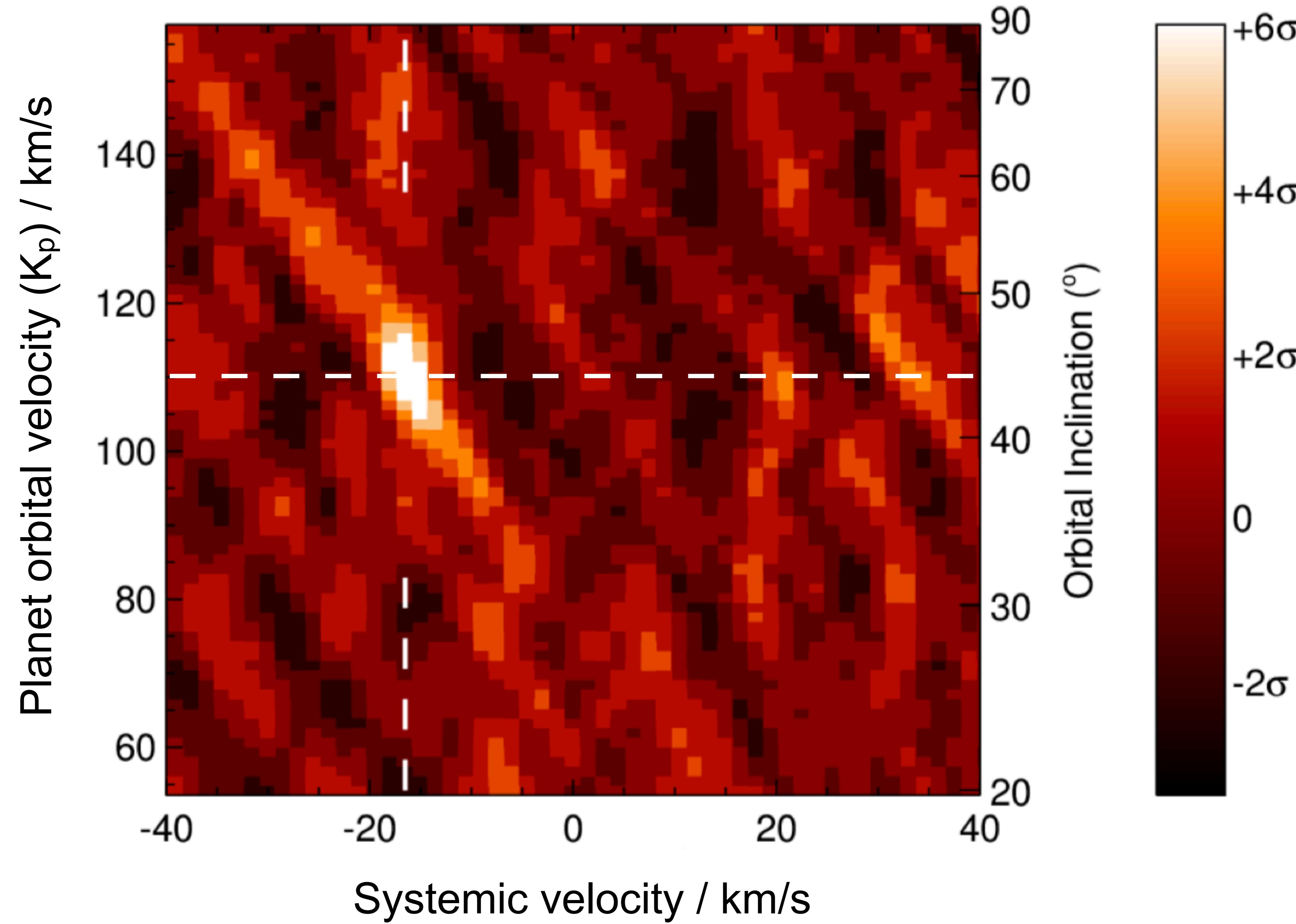
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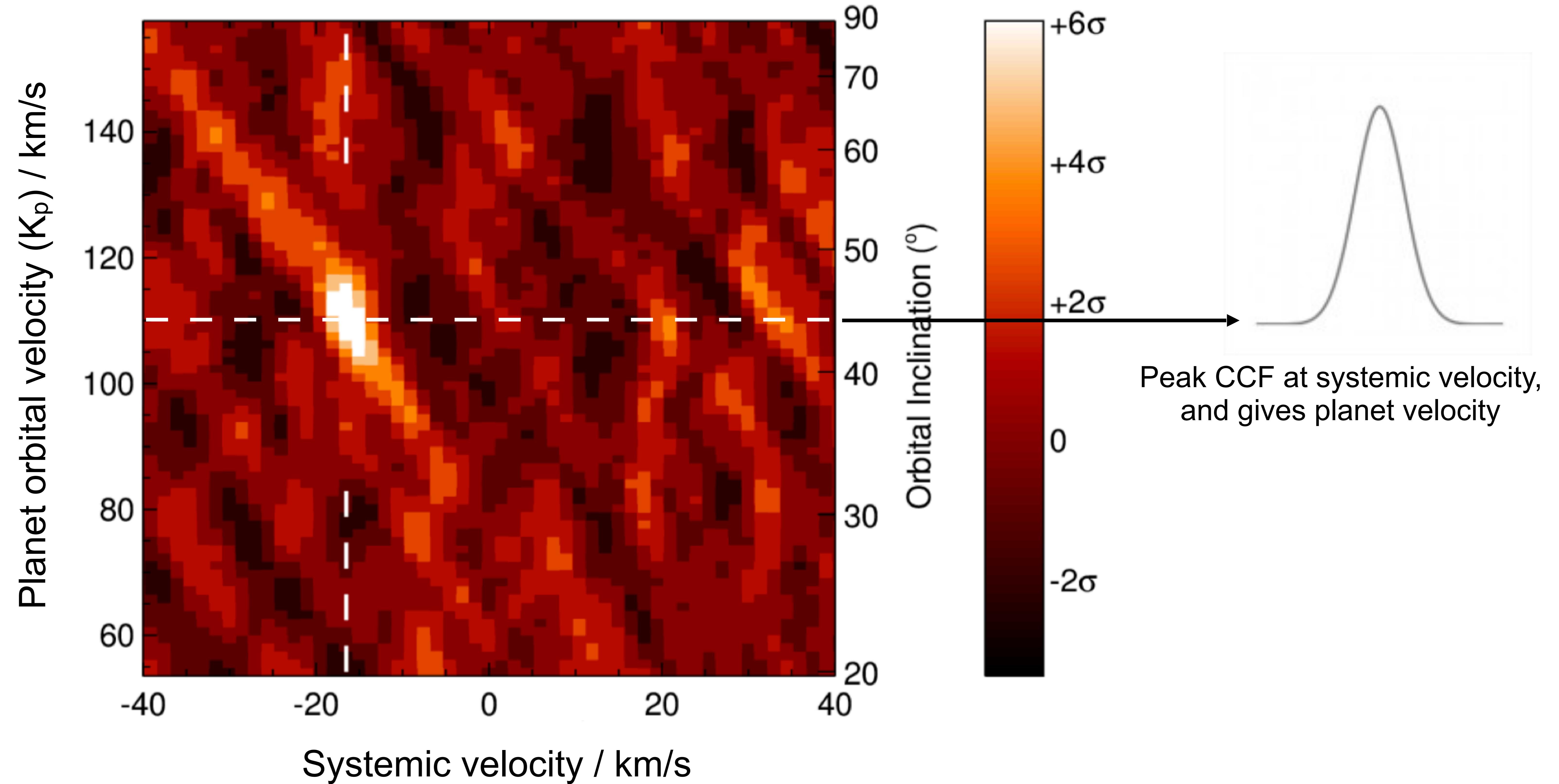


# Planet CCF strength peaks at known systemic velocity of the star-planet system and reveals its orbital velocity and inclination



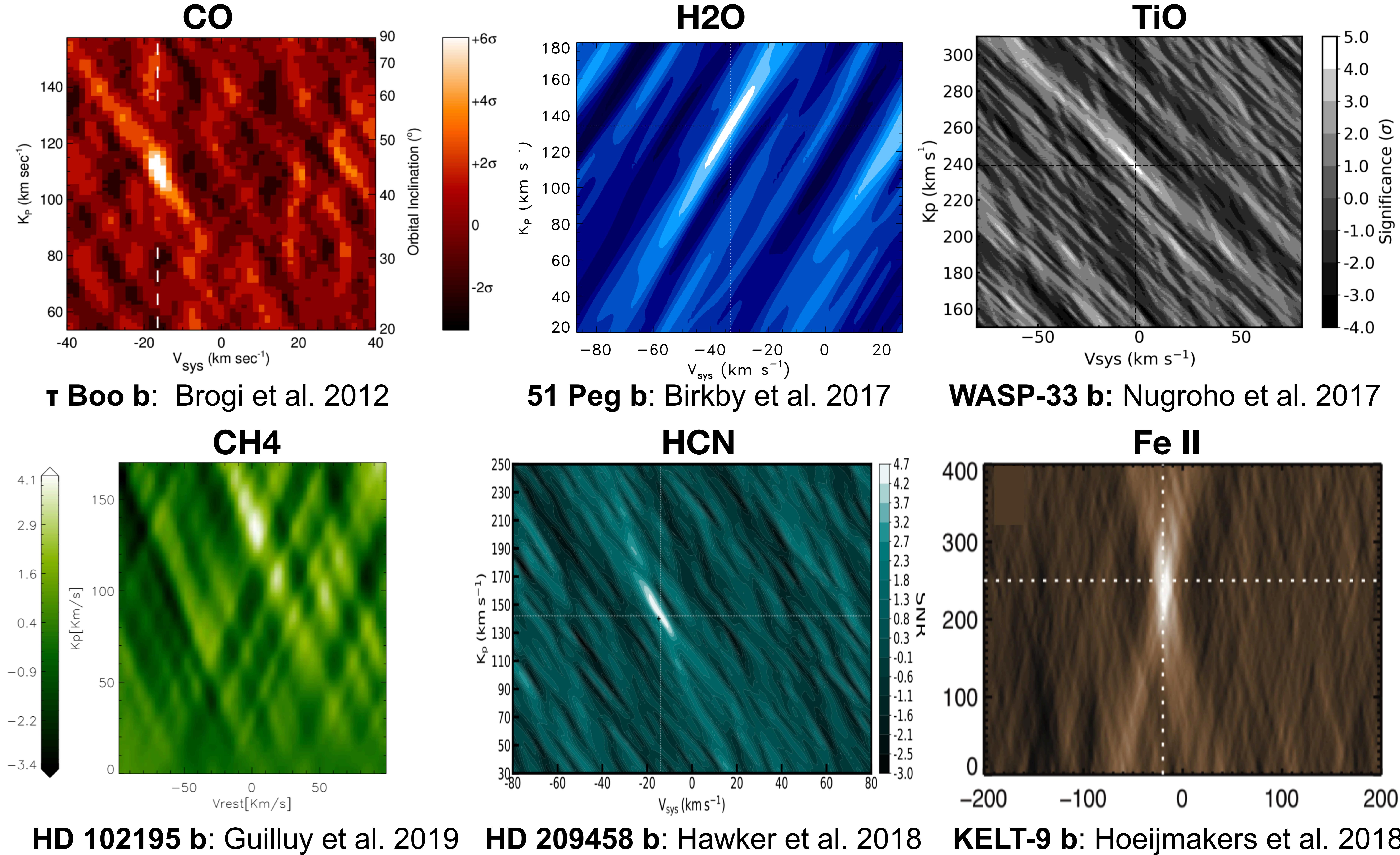
CO detected in  $\tau$  Boo b - a non-transiting planet

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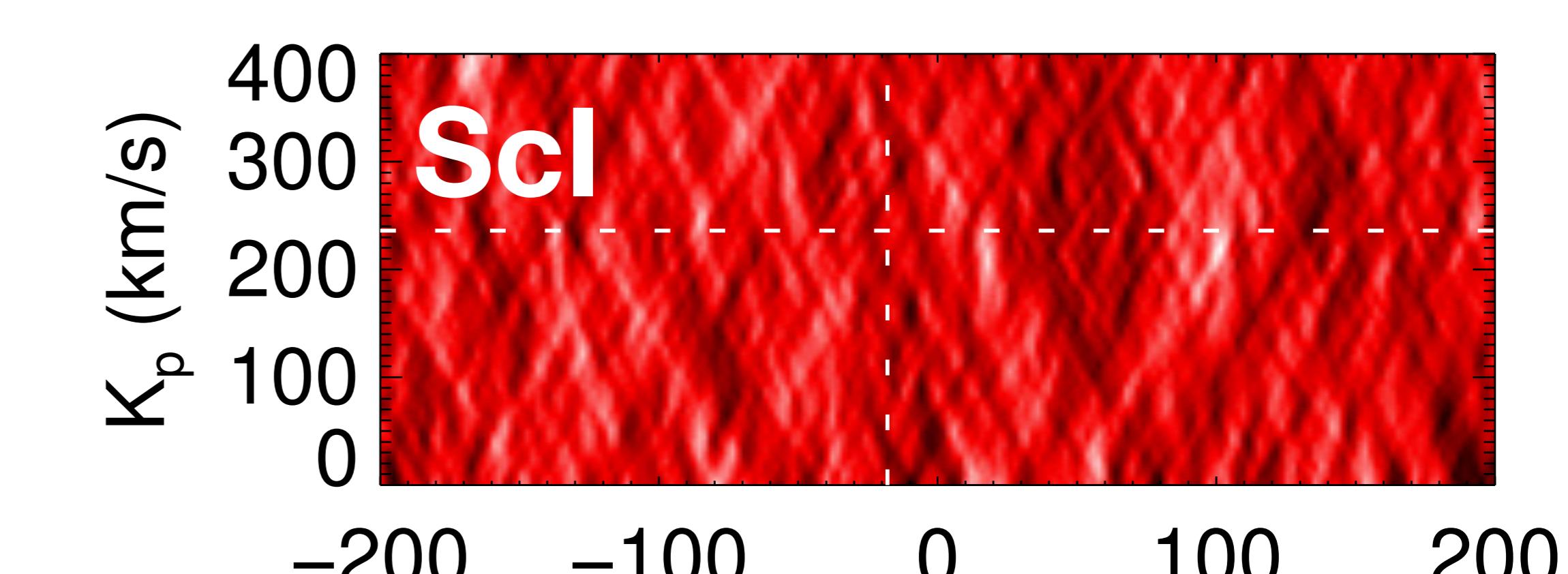
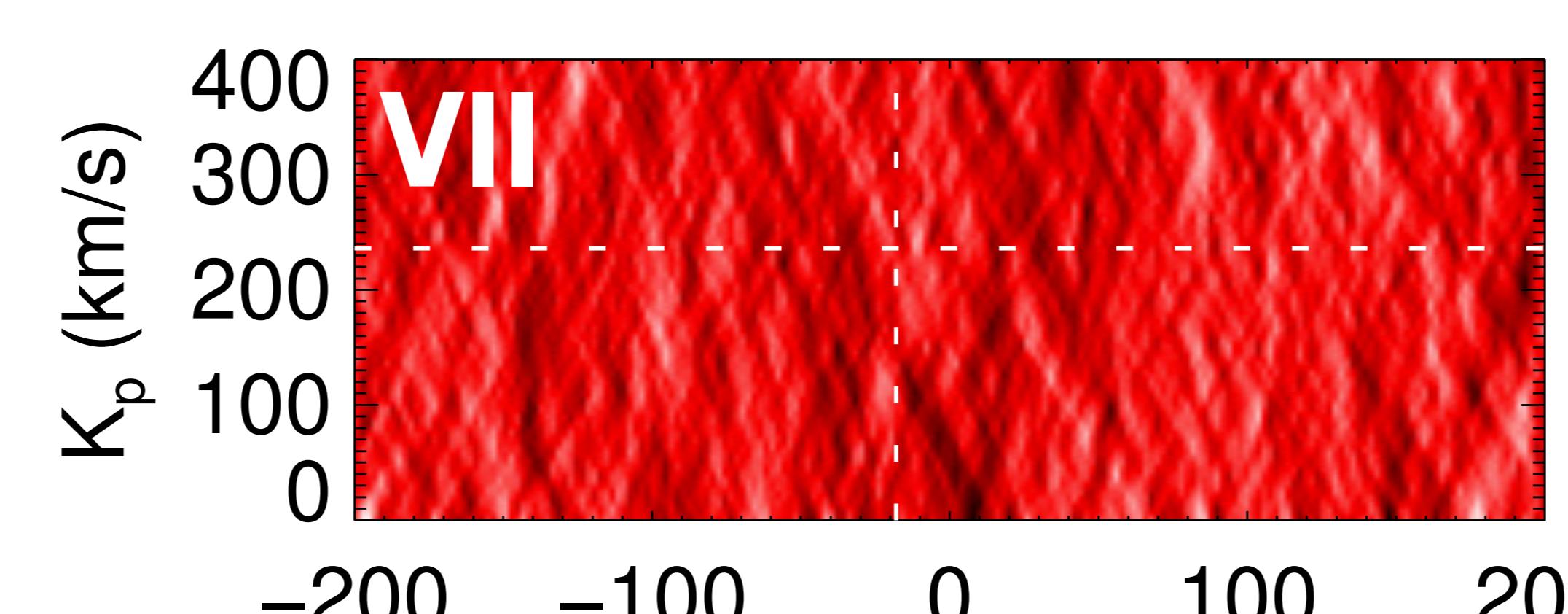
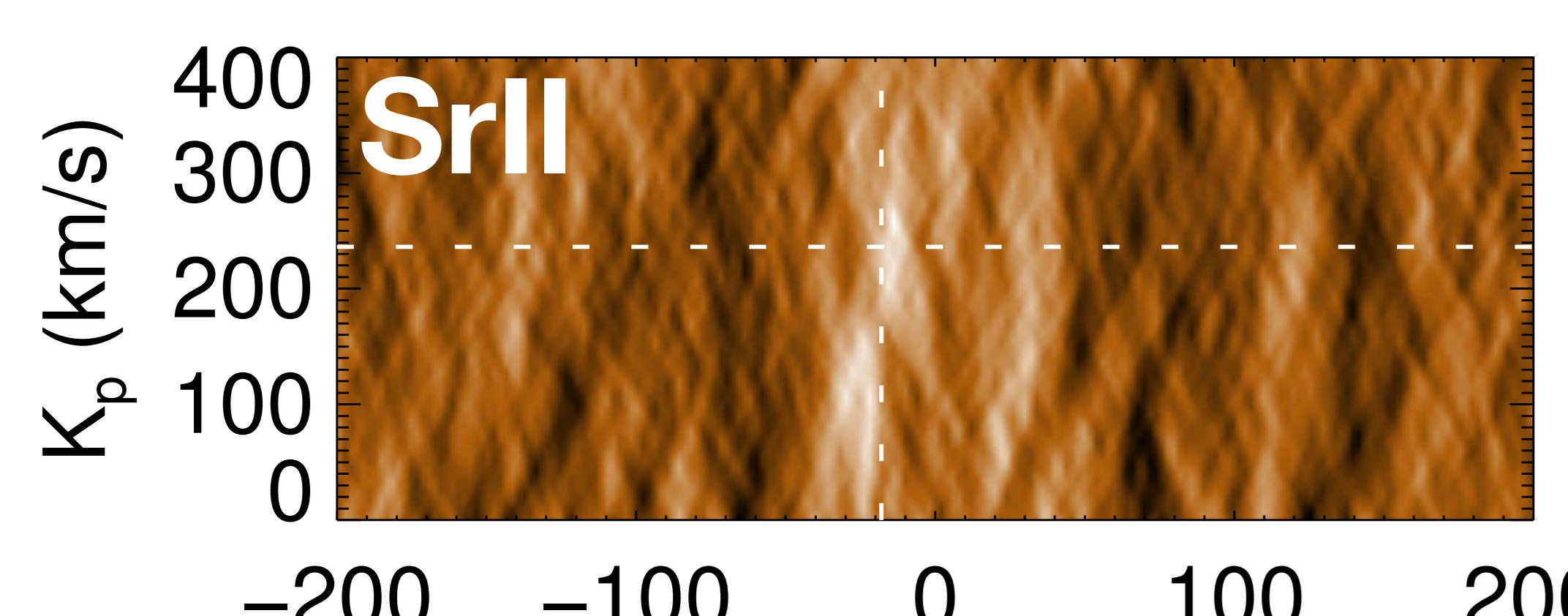
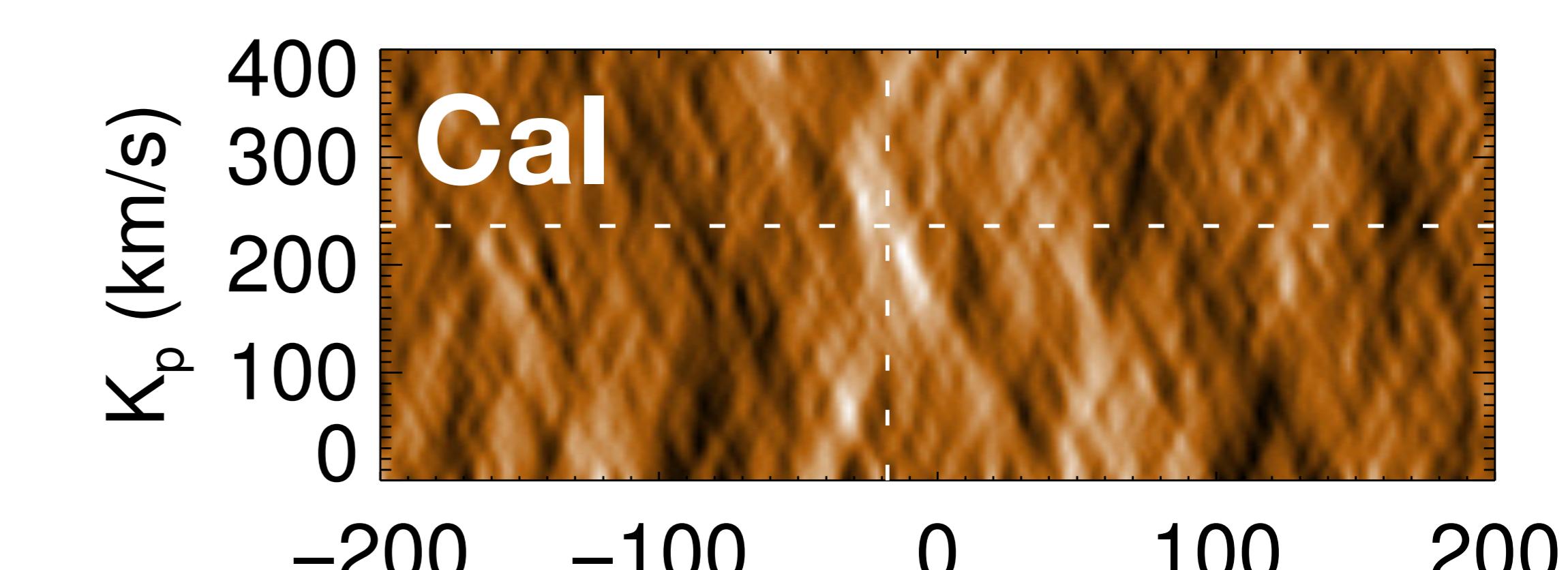
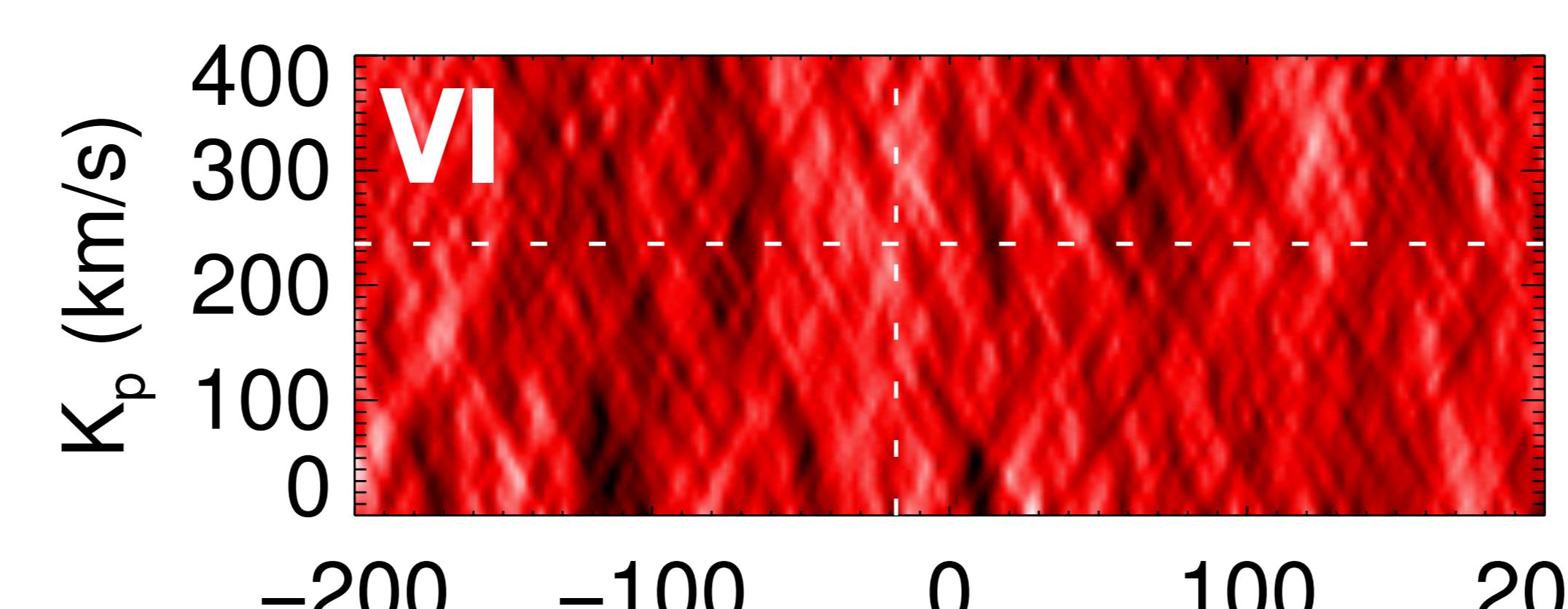
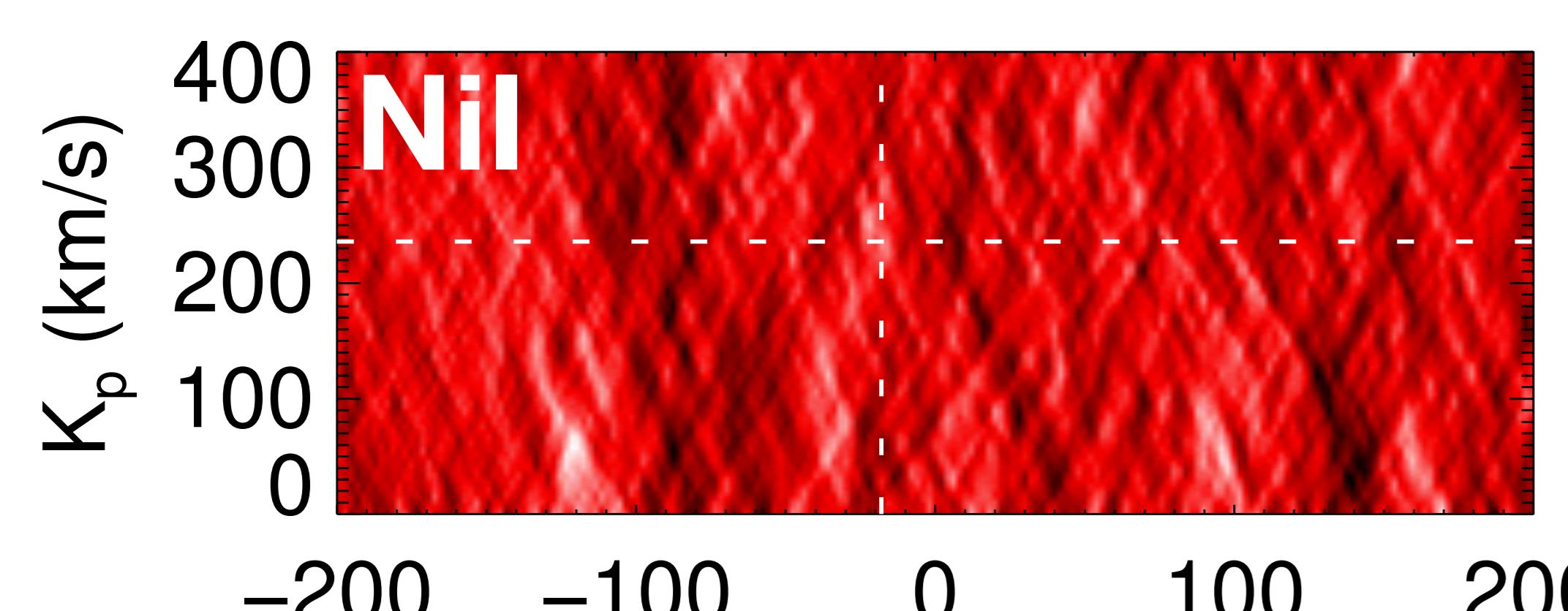
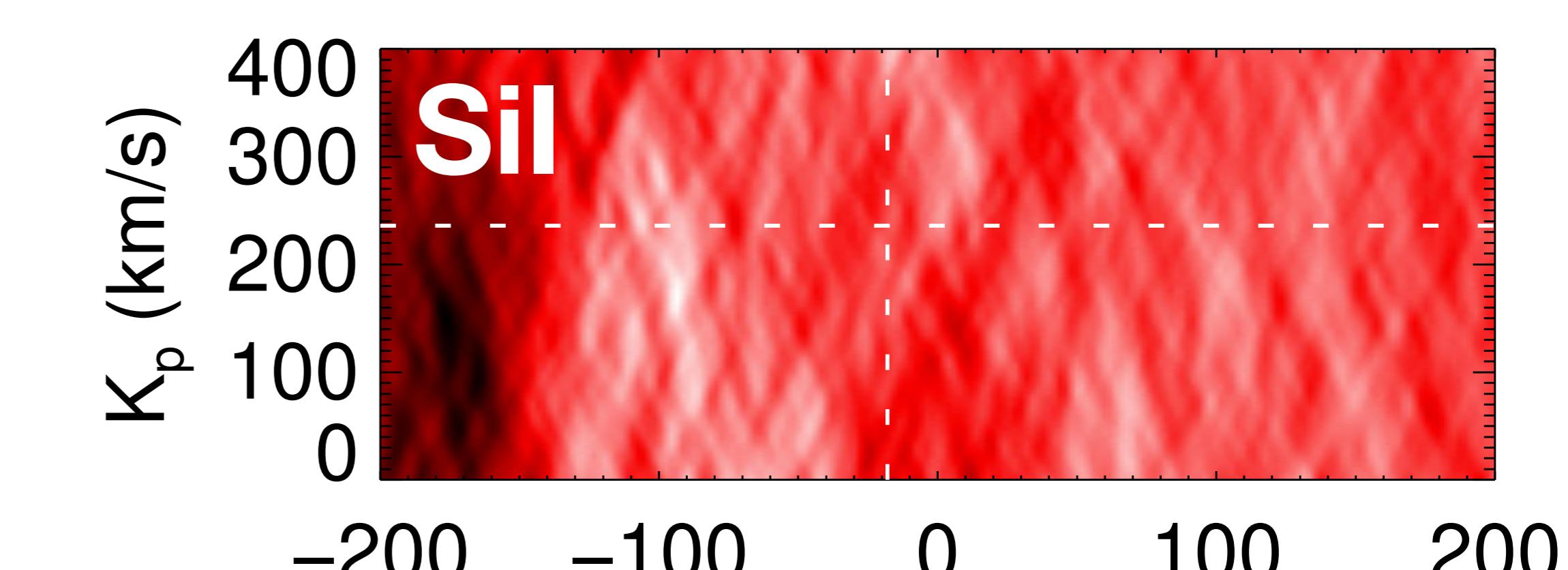
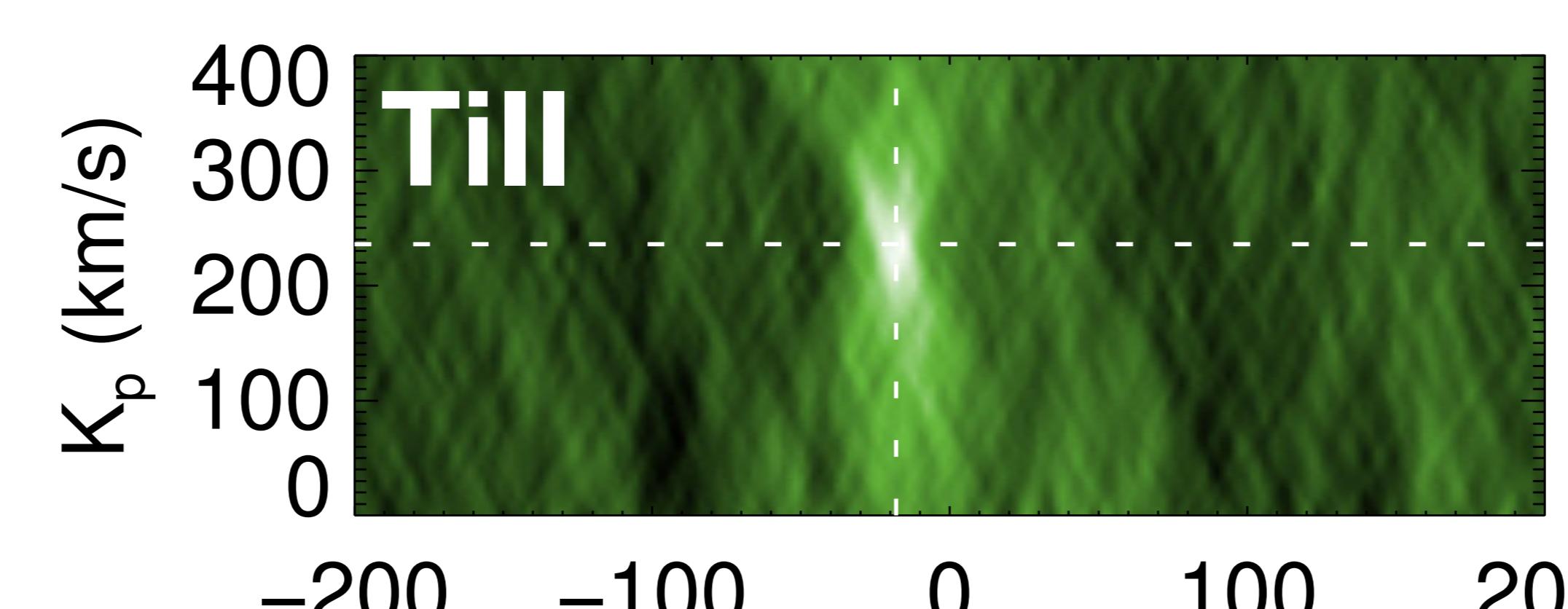
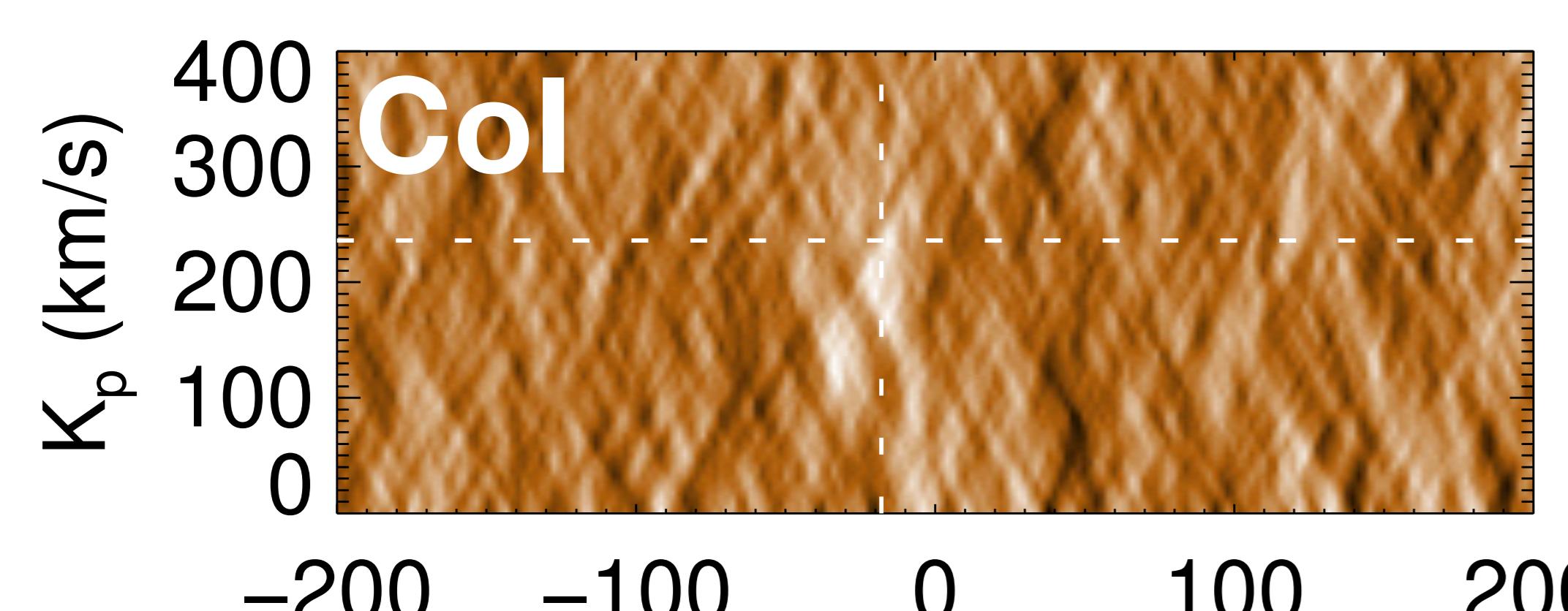
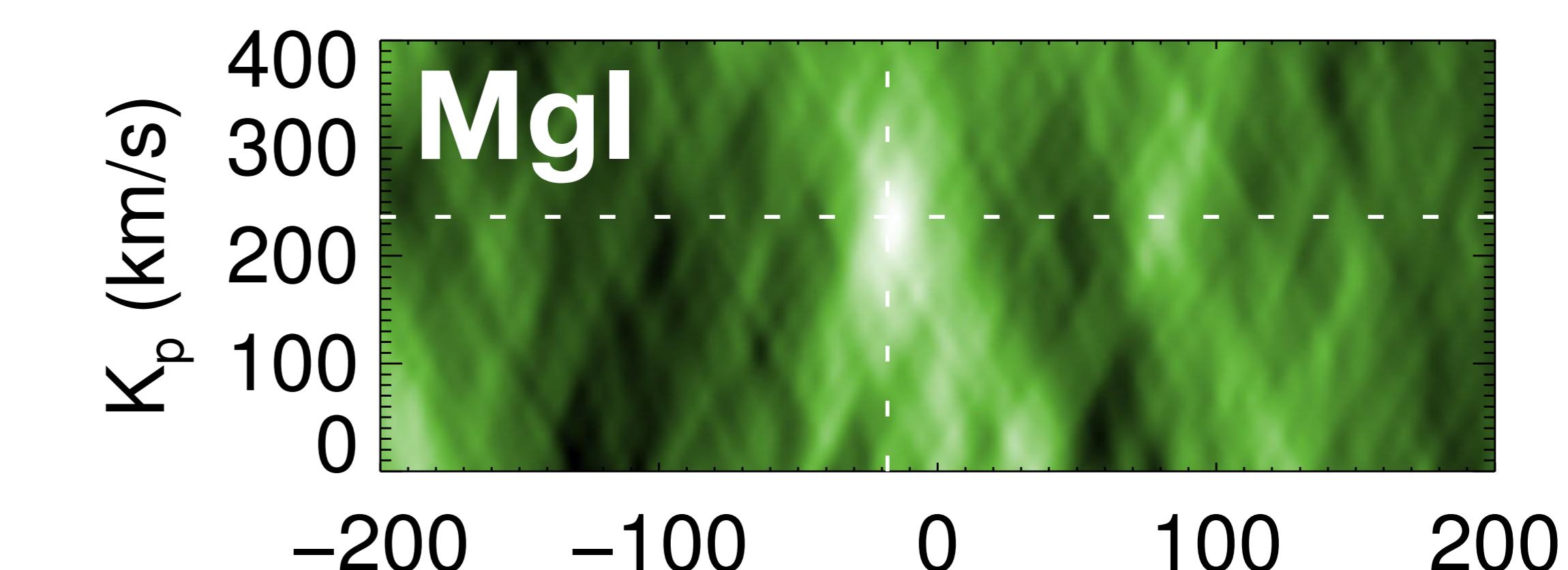
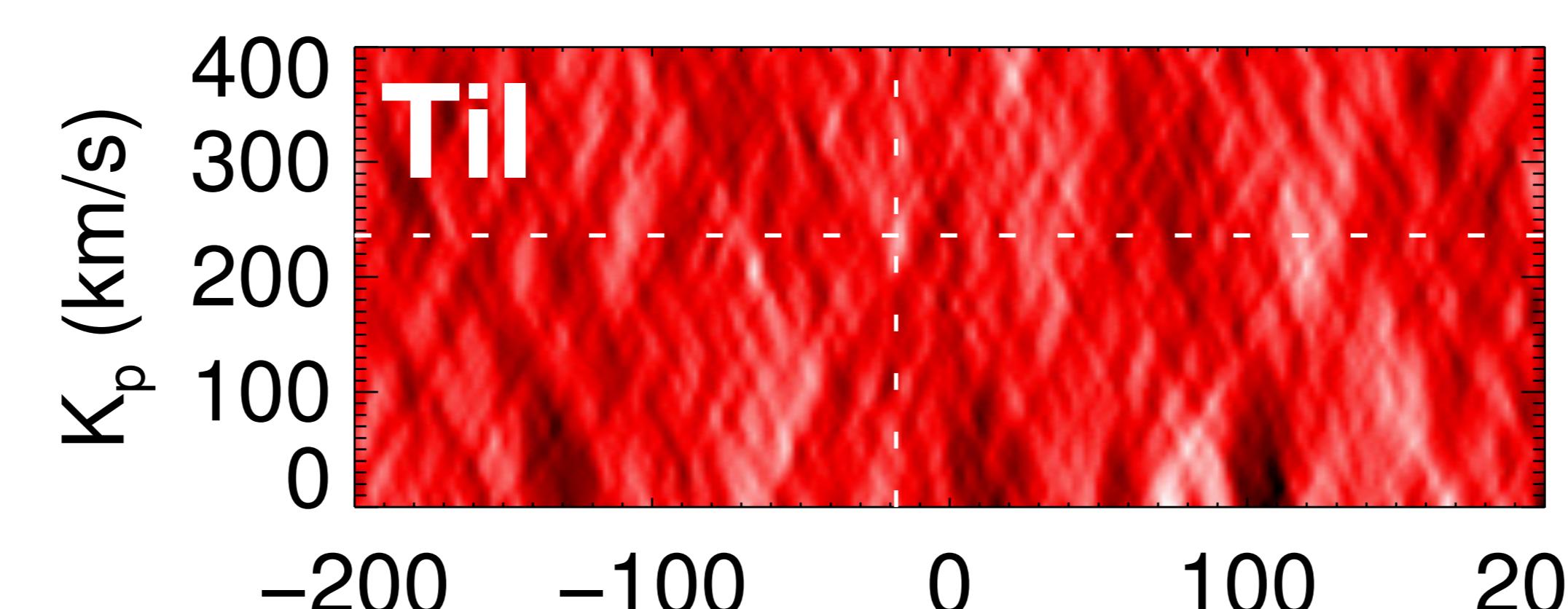
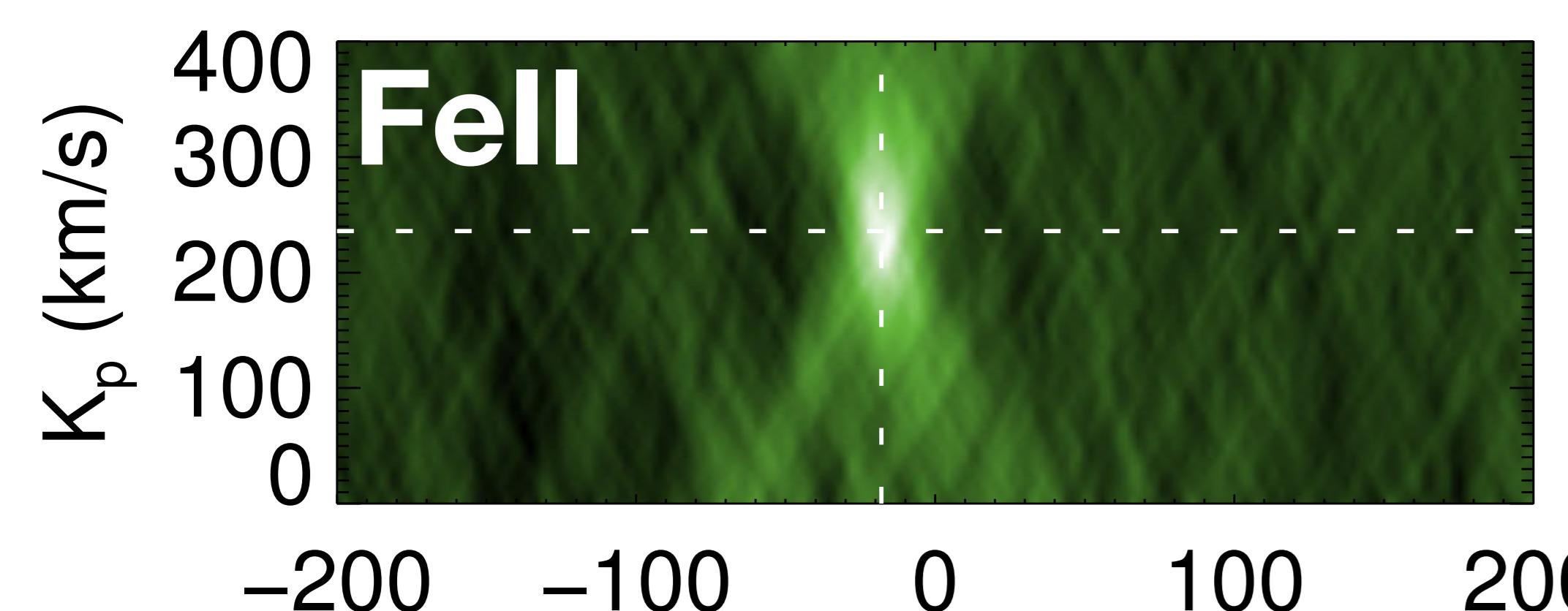
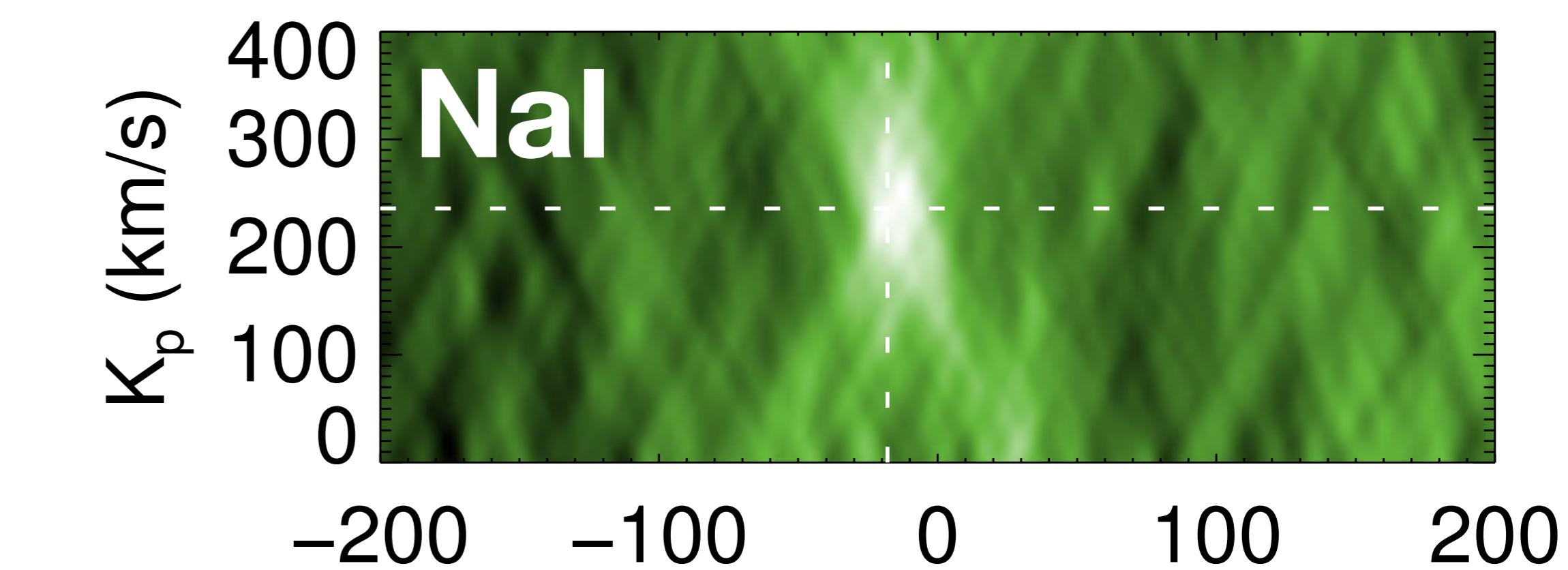
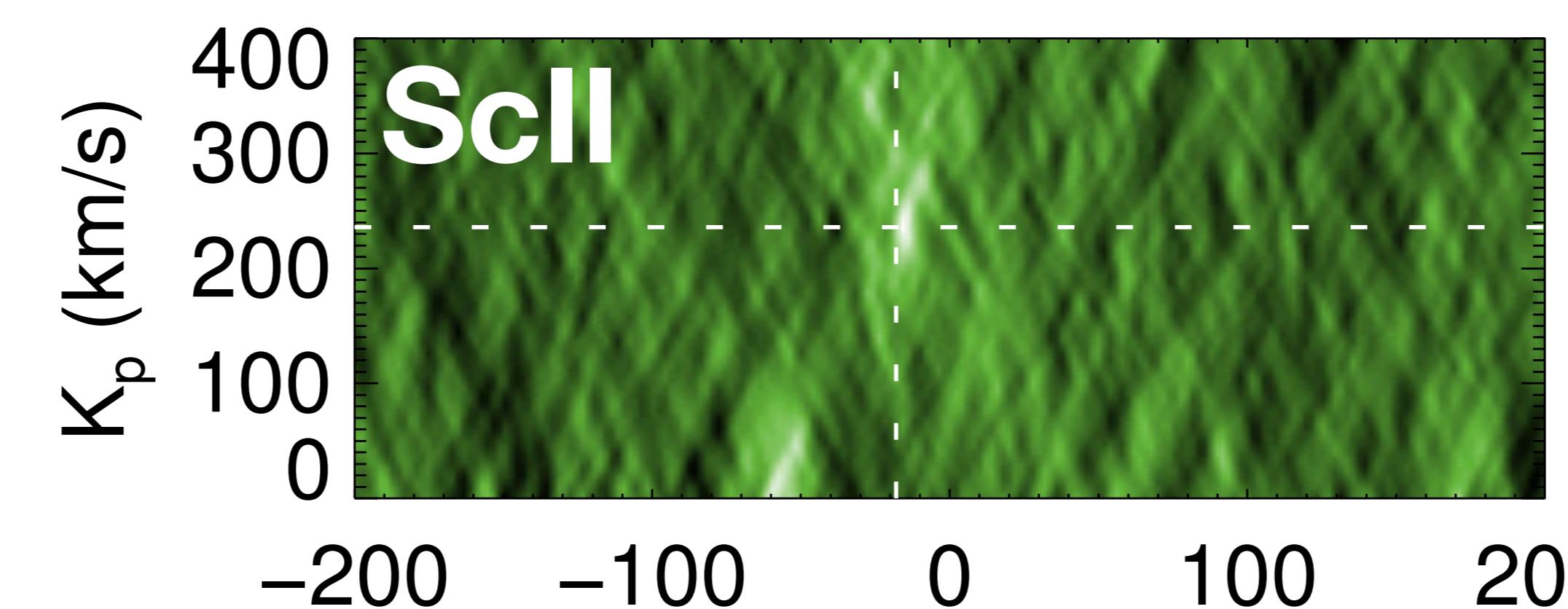
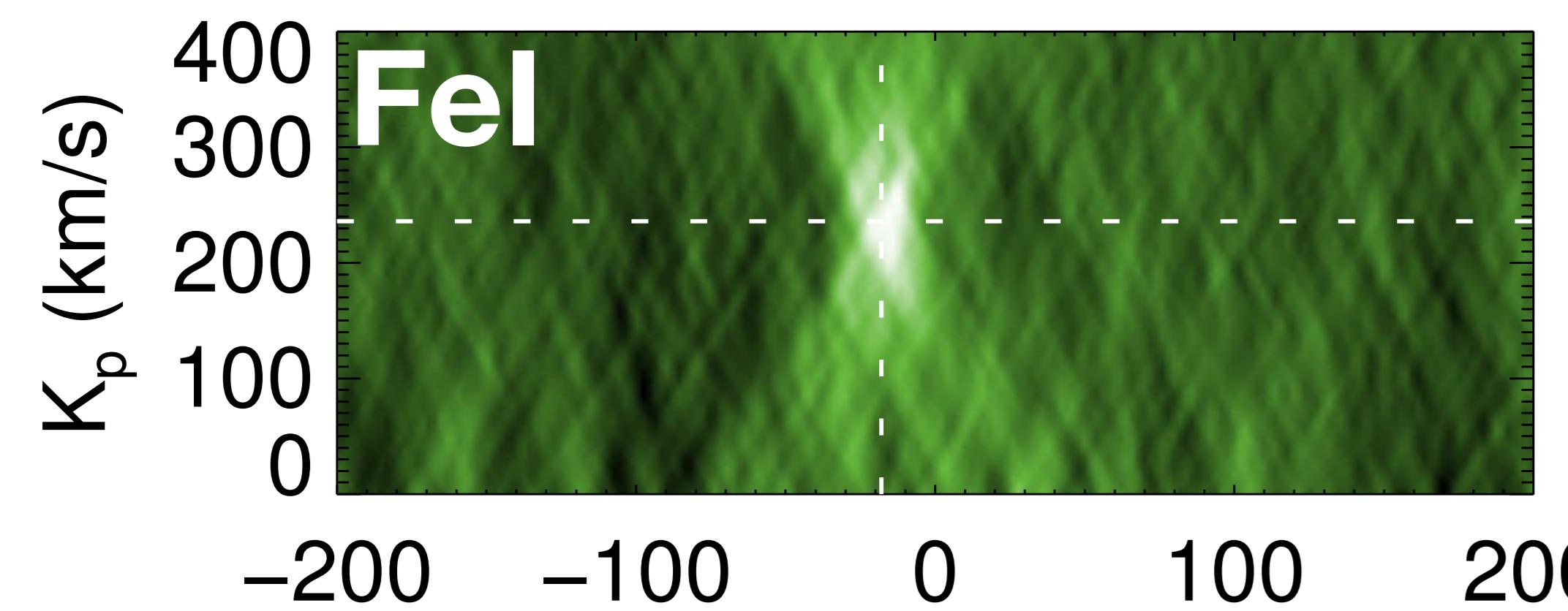


CO detected in  $\tau$  Boo b - a non-transiting planet

# Multiple species detected at high spectral resolution in hot Jupiters in transmission and emission for transiting and non-transiting planets

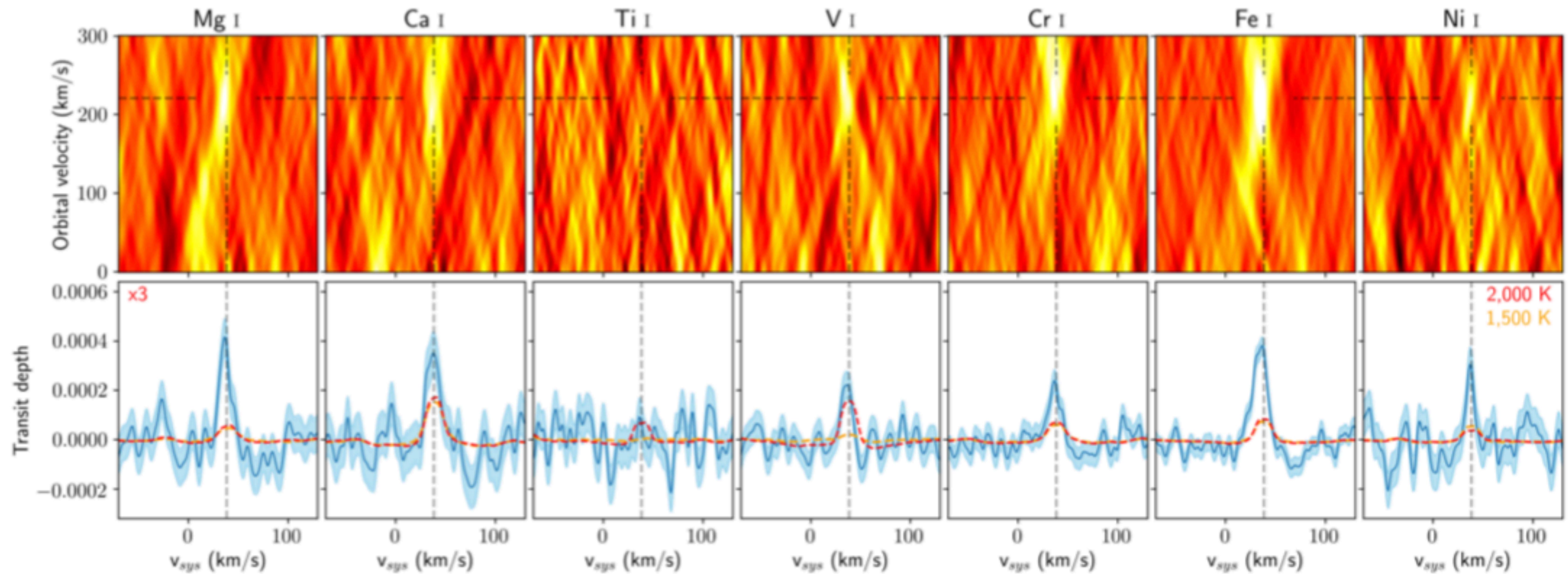


# Create a spectral atlas of a hot Jupiter by searching through periodic table



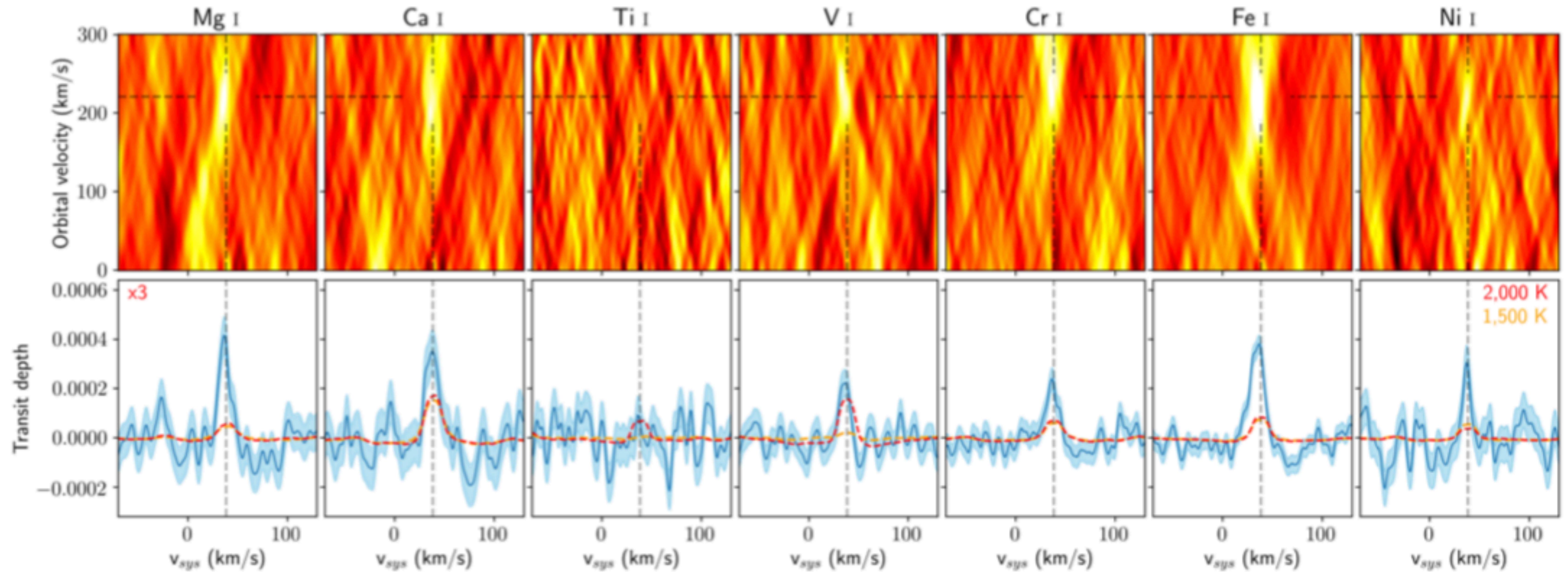
# WASP-121 b observed with HARPS

See Hoeijmakers, Seidel, Pino et al.  
2020 and Gibson et al. 2020



# WASP-121 b observed with HARPS

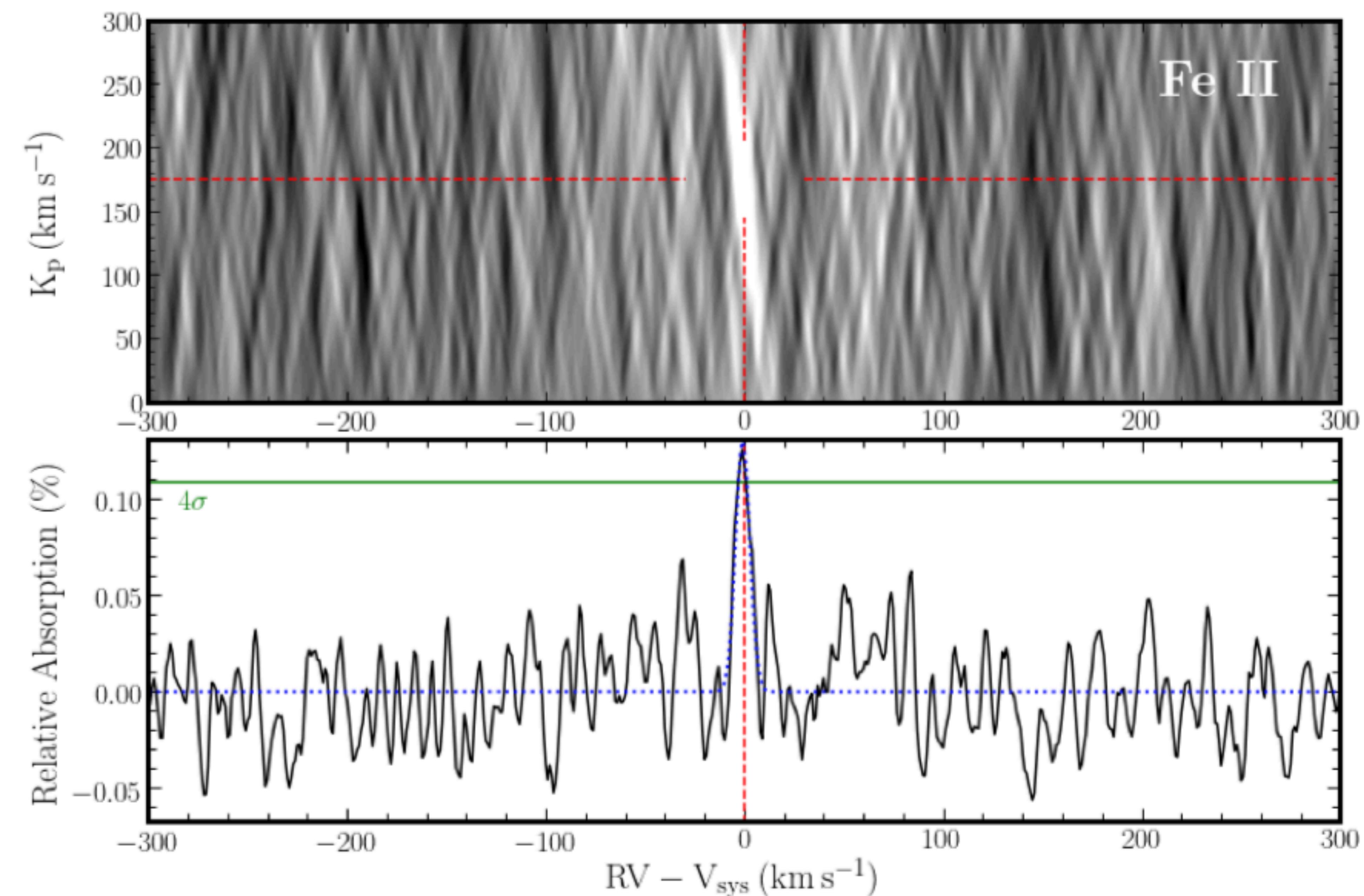
See Hoeijmakers, Seidel, Pino et al.  
2020 and Gibson et al. 2020



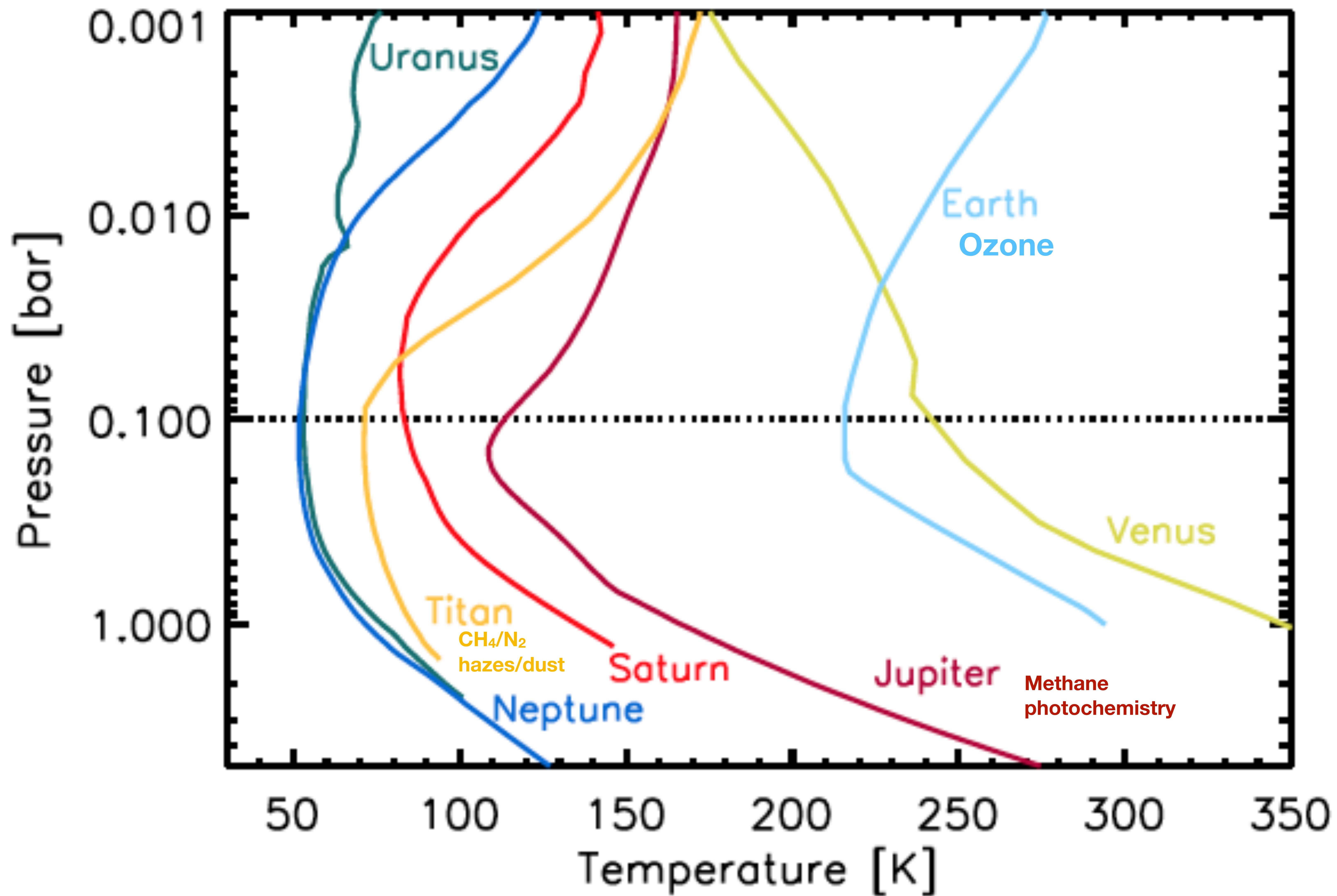
Transmission spectrum of MASCARA-2 b  
observed with EXPRES

- reveals Cr II and Mg I
- confirms Fe I, Fe II and Na I

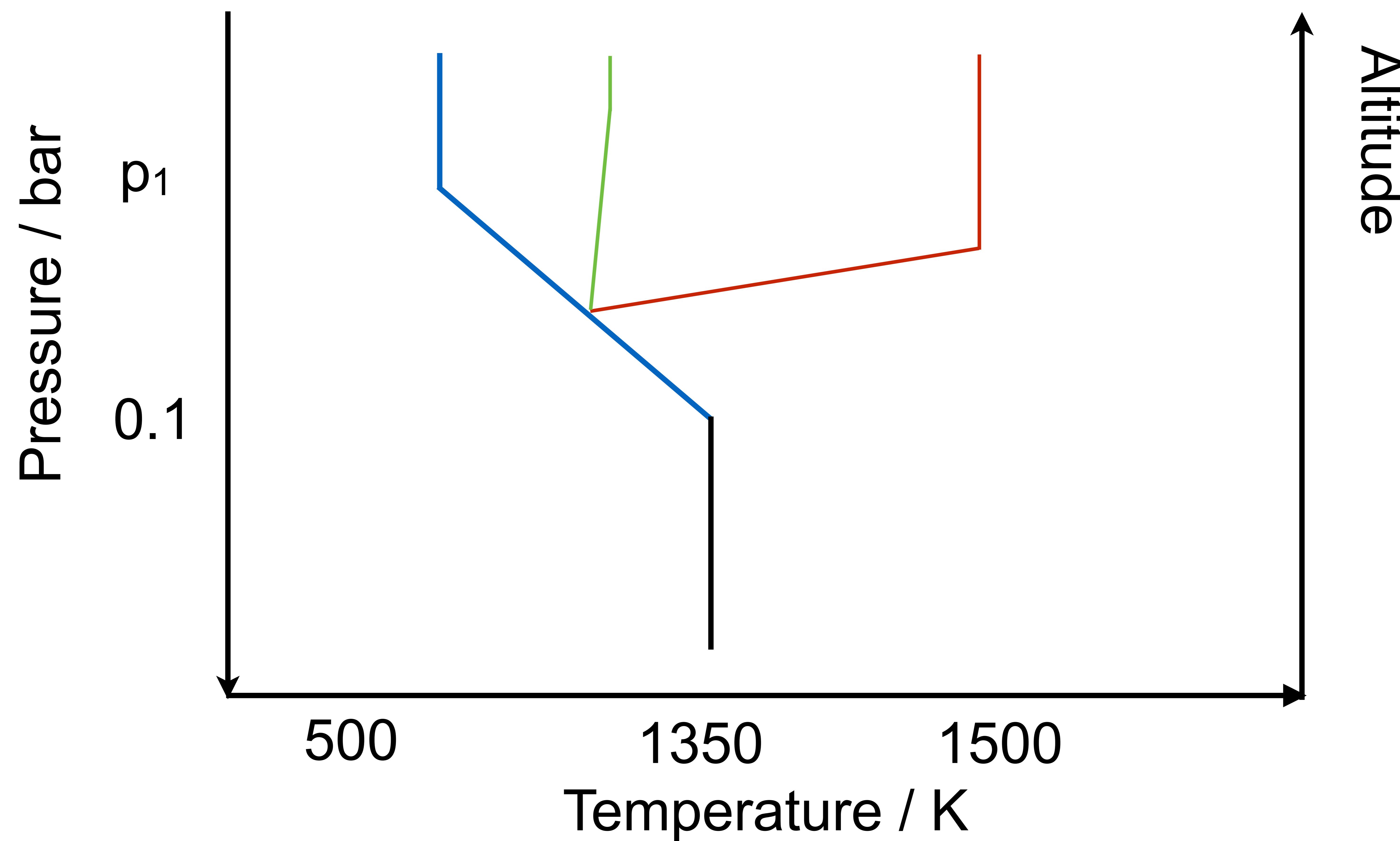
Hoeijmakers, Cabot, Zhao et al. 2020



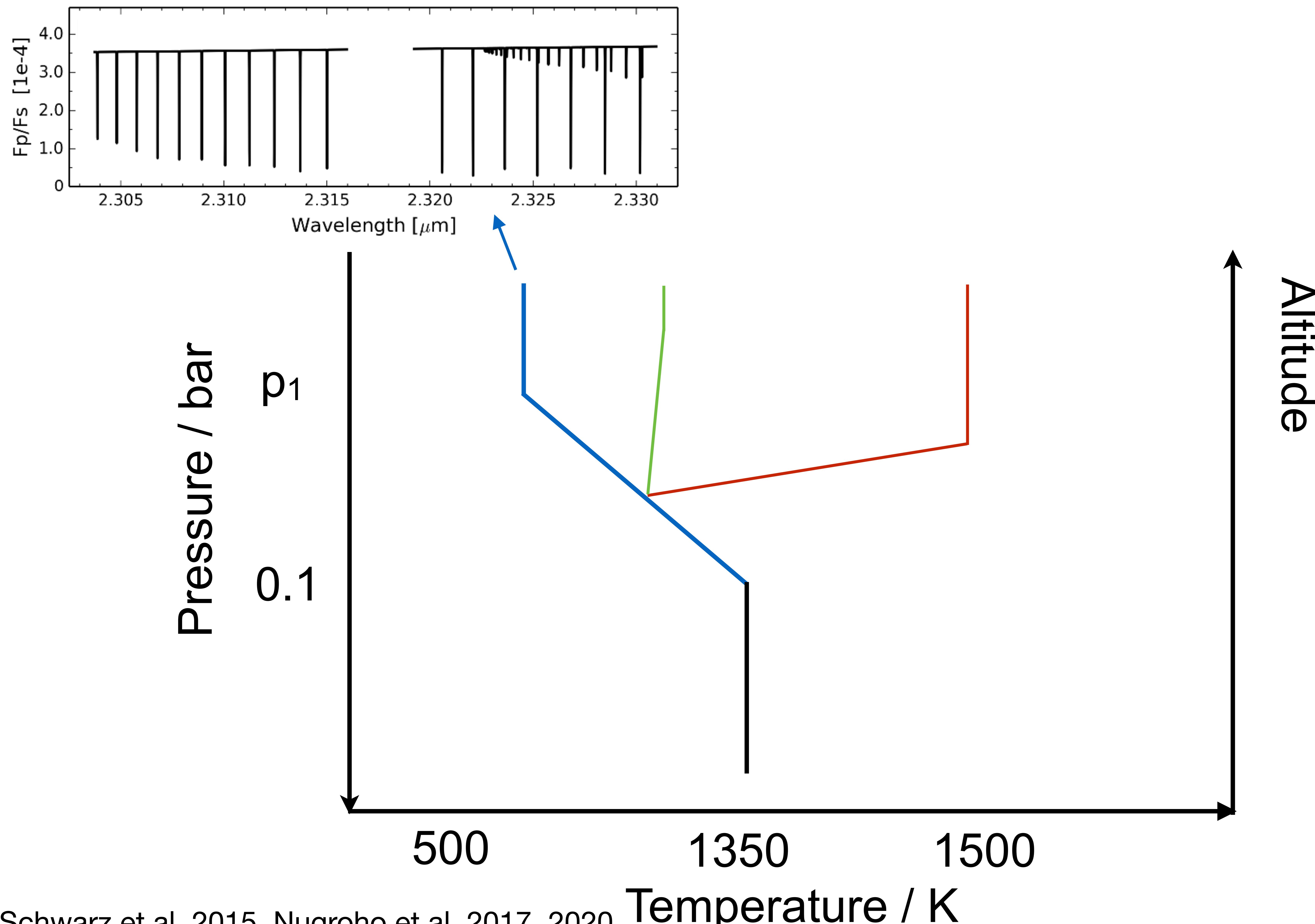
# Stratospheres or inversion layers are common in the Solar system



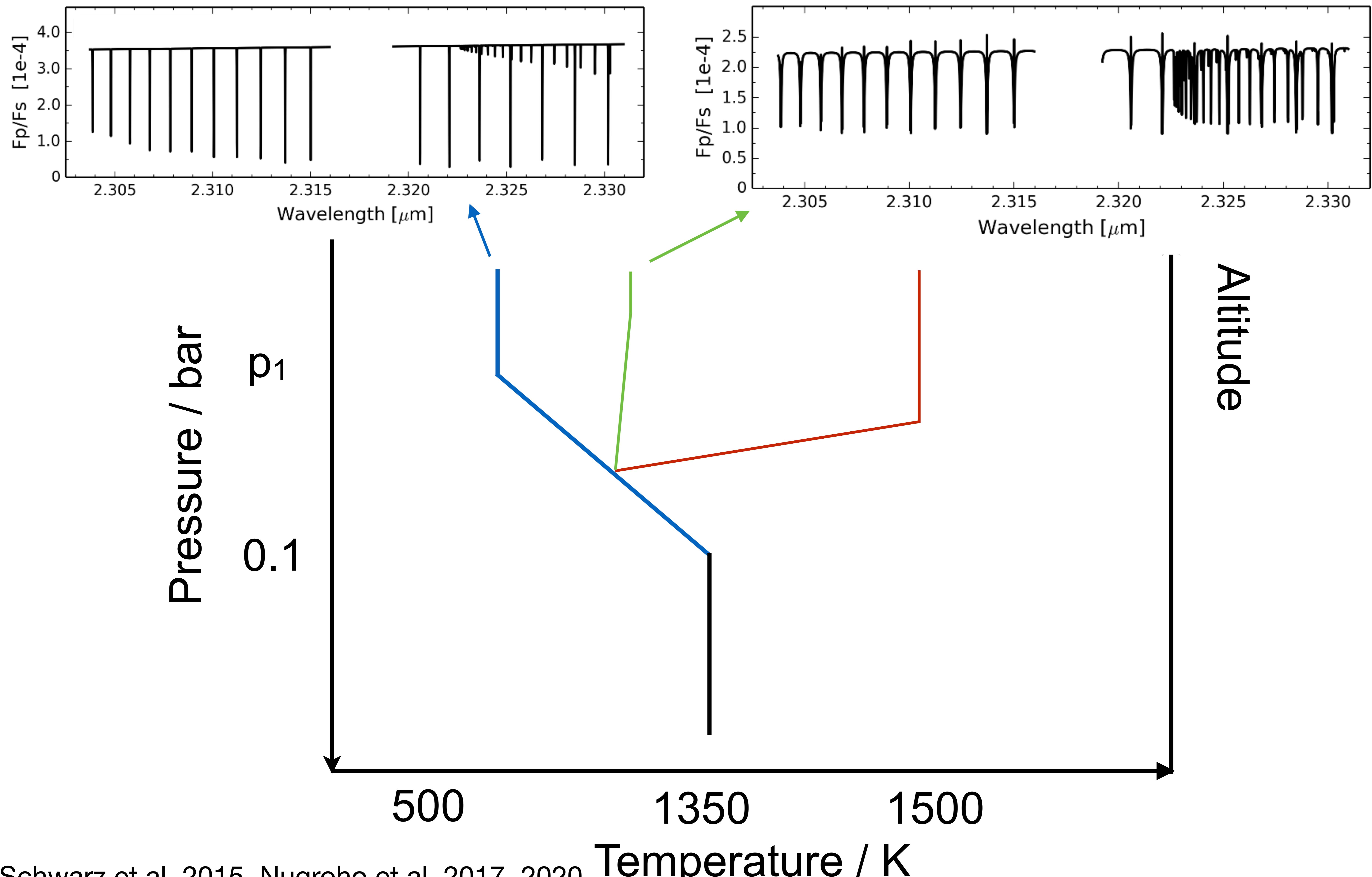
# Inversion layers are revealed by many emission lines at high spectral resolution



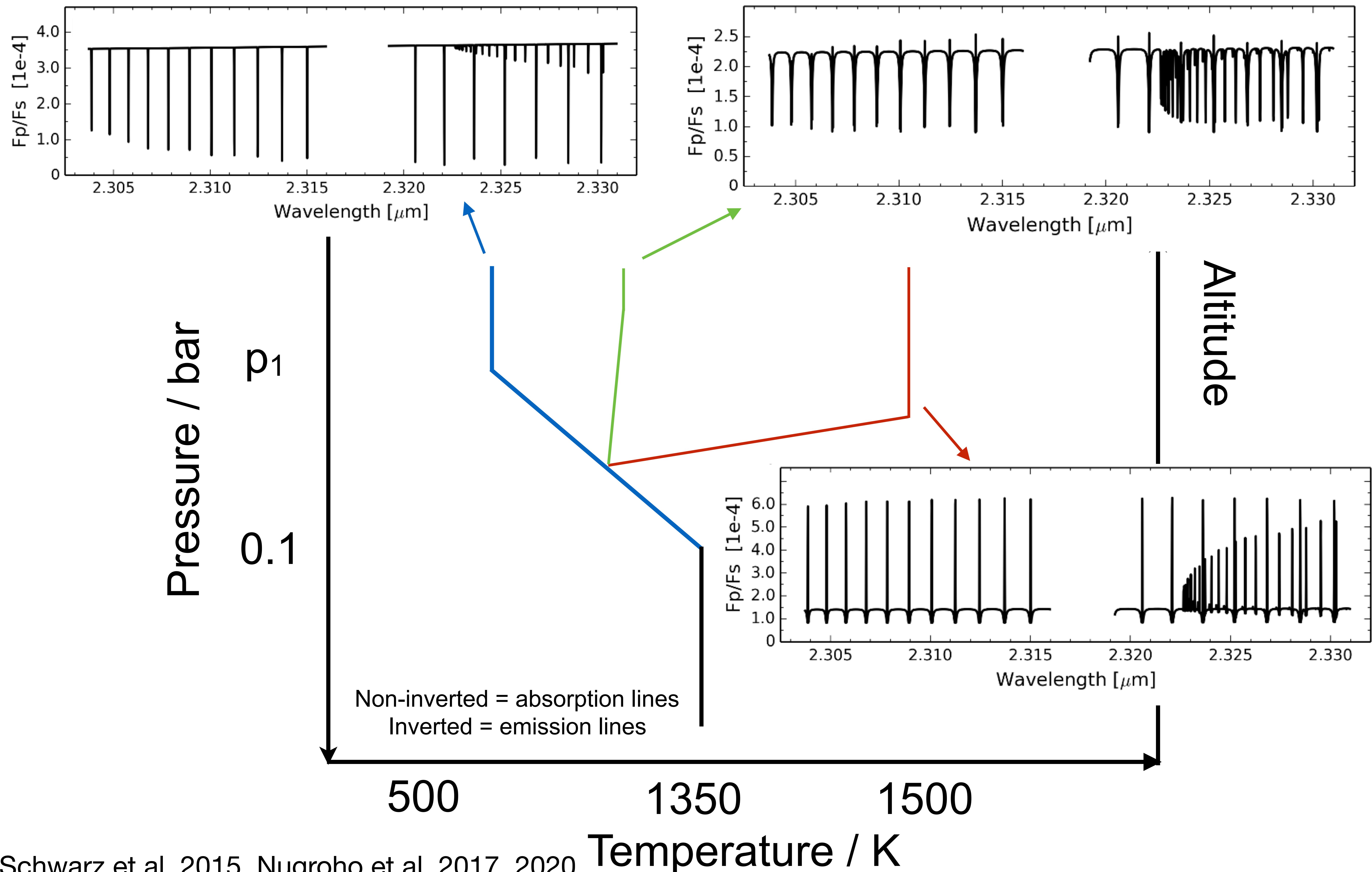
# Inversion layers are revealed by many emission lines at high spectral resolution



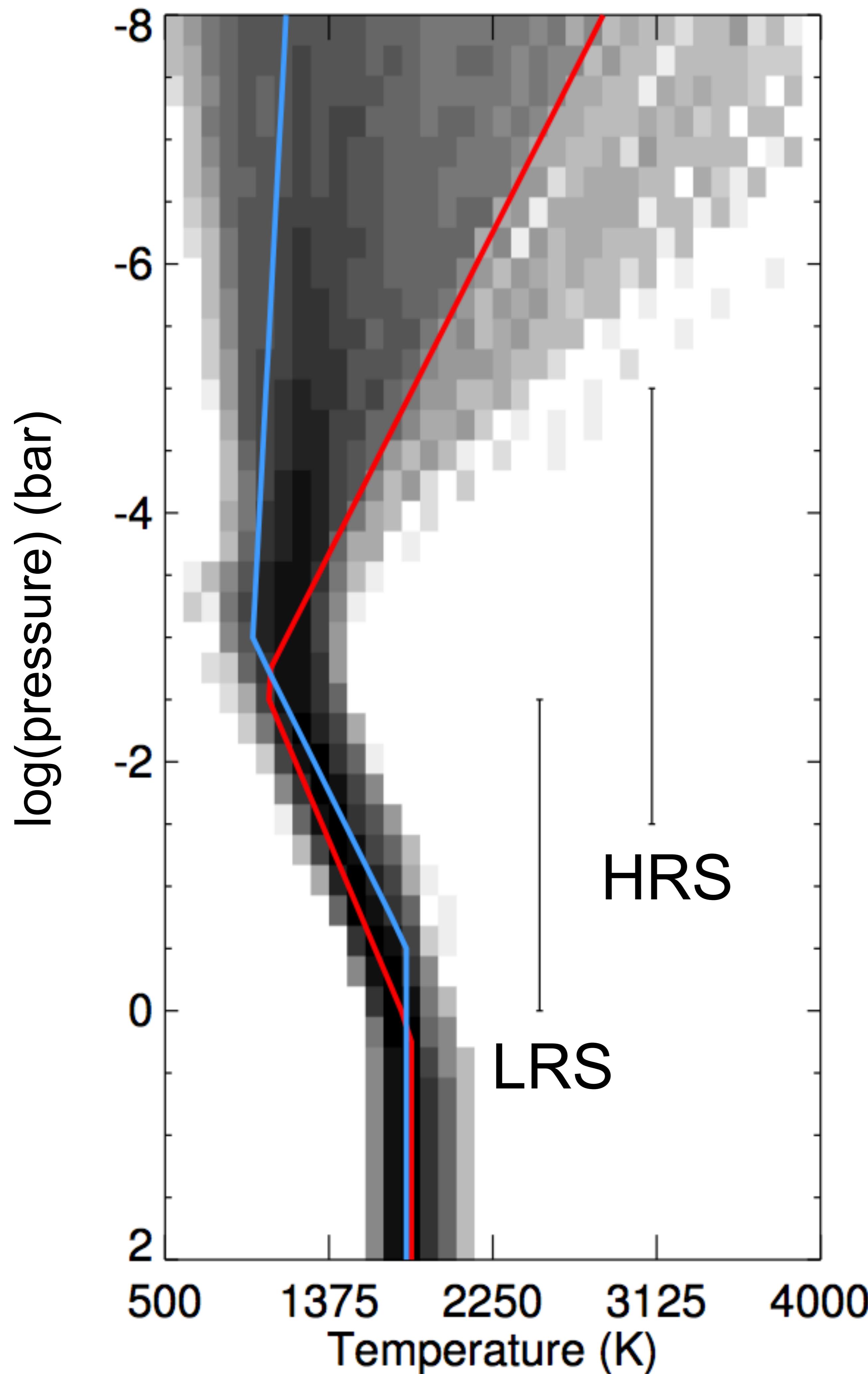
# Inversion layers are revealed by many emission lines at high spectral resolution



# Inversion layers are revealed by many emission lines at high spectral resolution

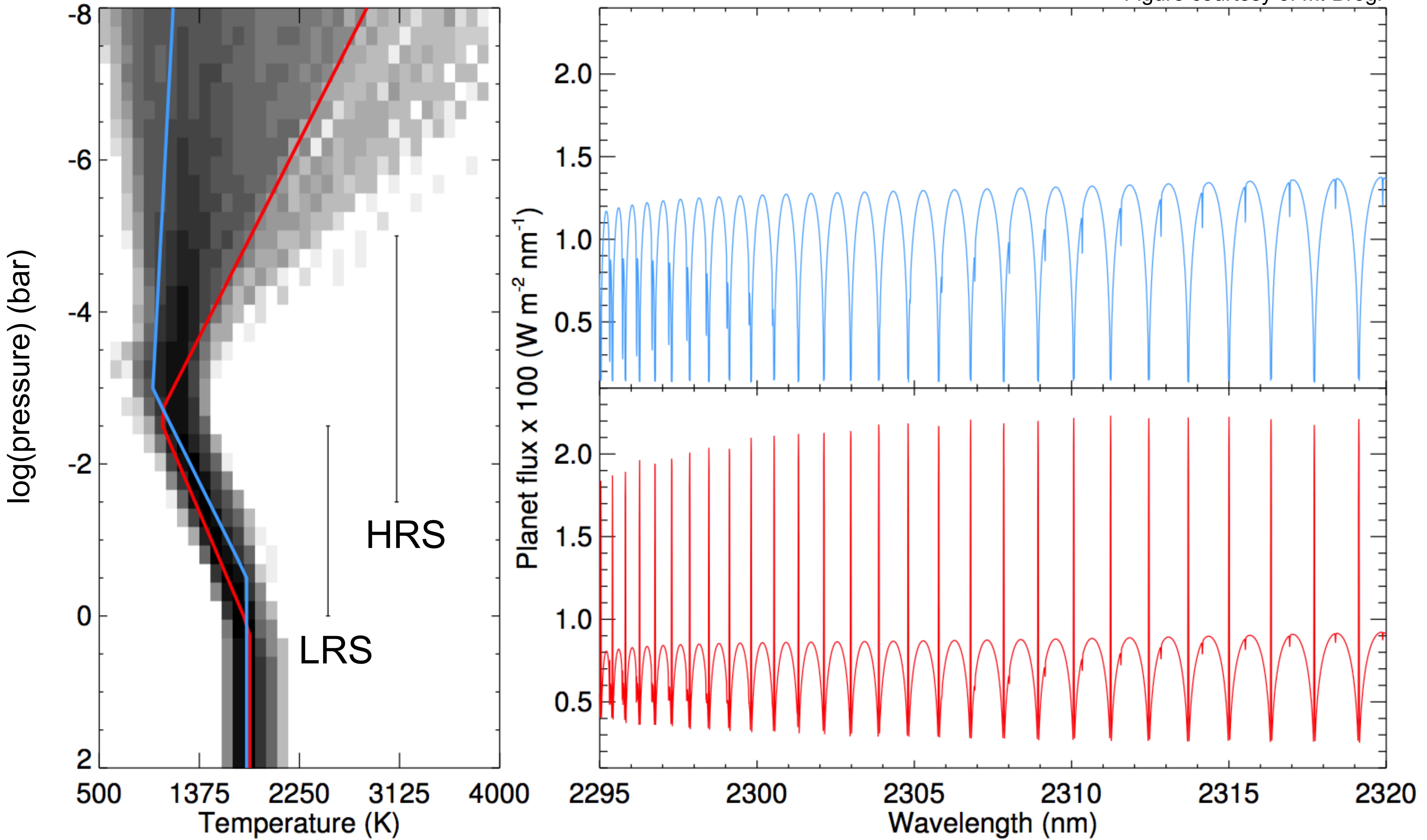


# Multi-resolution spectroscopy helps break degeneracy in composition and structure of the atmosphere

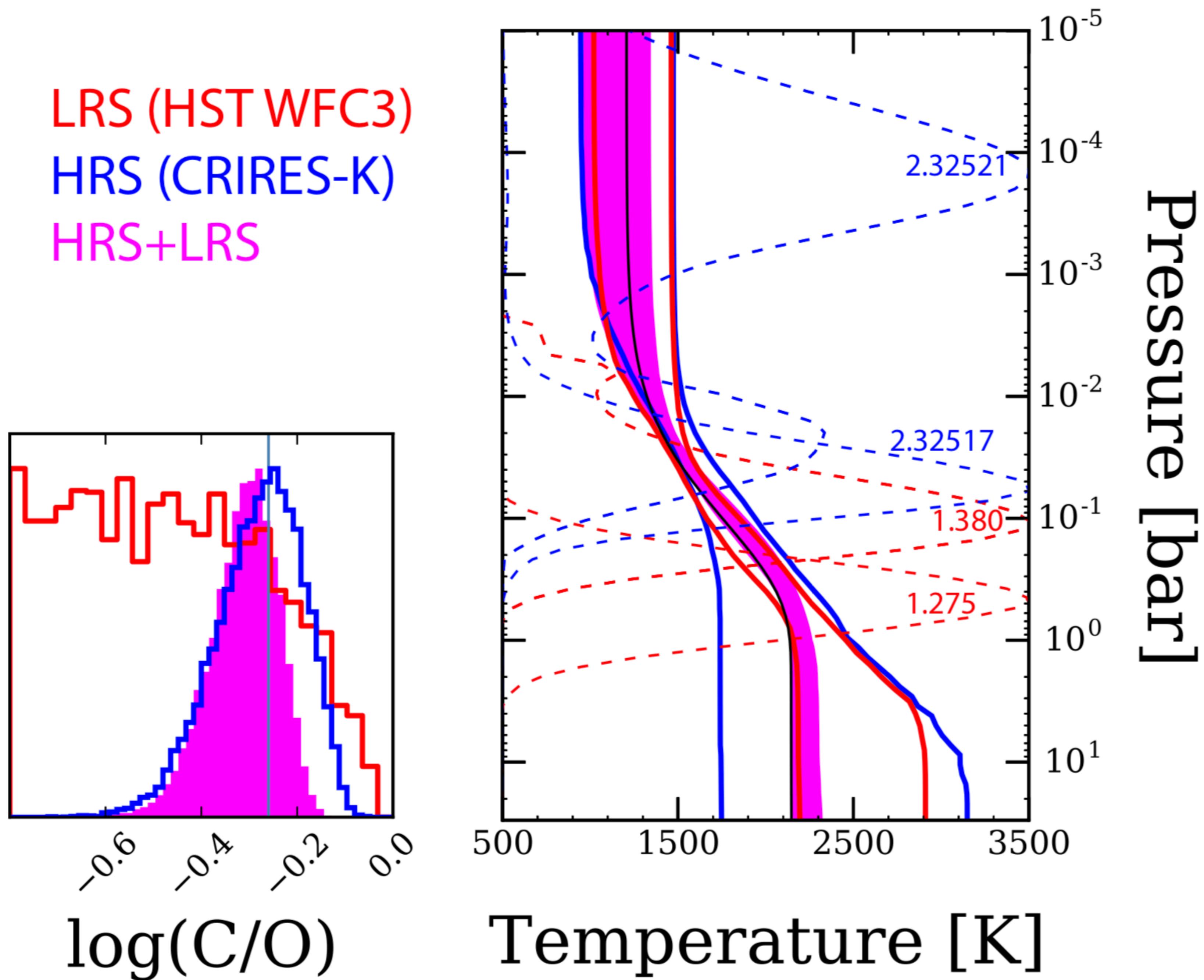


# Multi-resolution spectroscopy helps break degeneracy in composition and structure of the atmosphere

Figure courtesy of M. Brogi

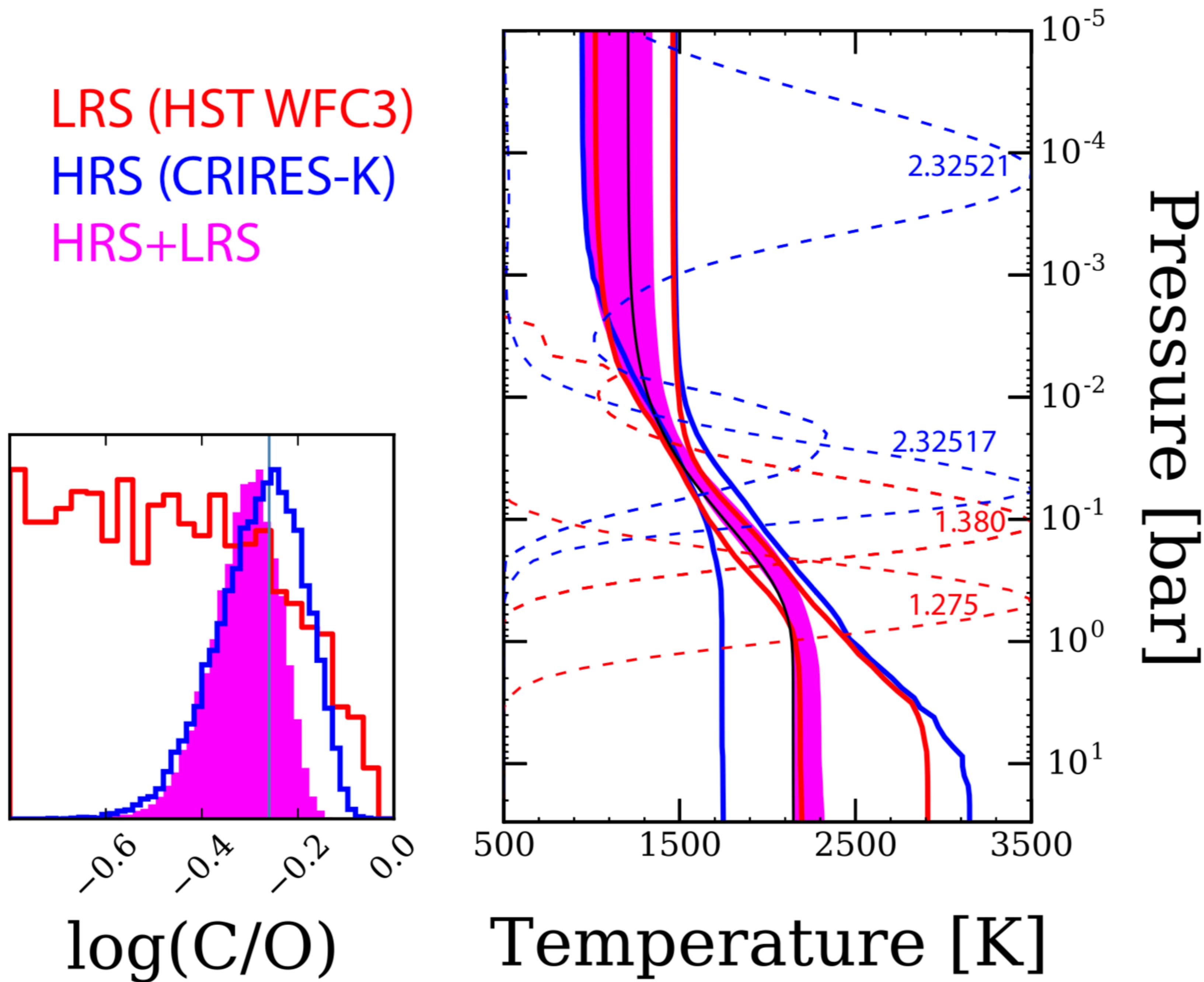


# Combine multi-resolution observations using likelihood functions to reach most stringent constraints



Brogi & Line 2018

# Combine multi-resolution observations using likelihood functions to reach most stringent constraints



Brogi & Line 2018

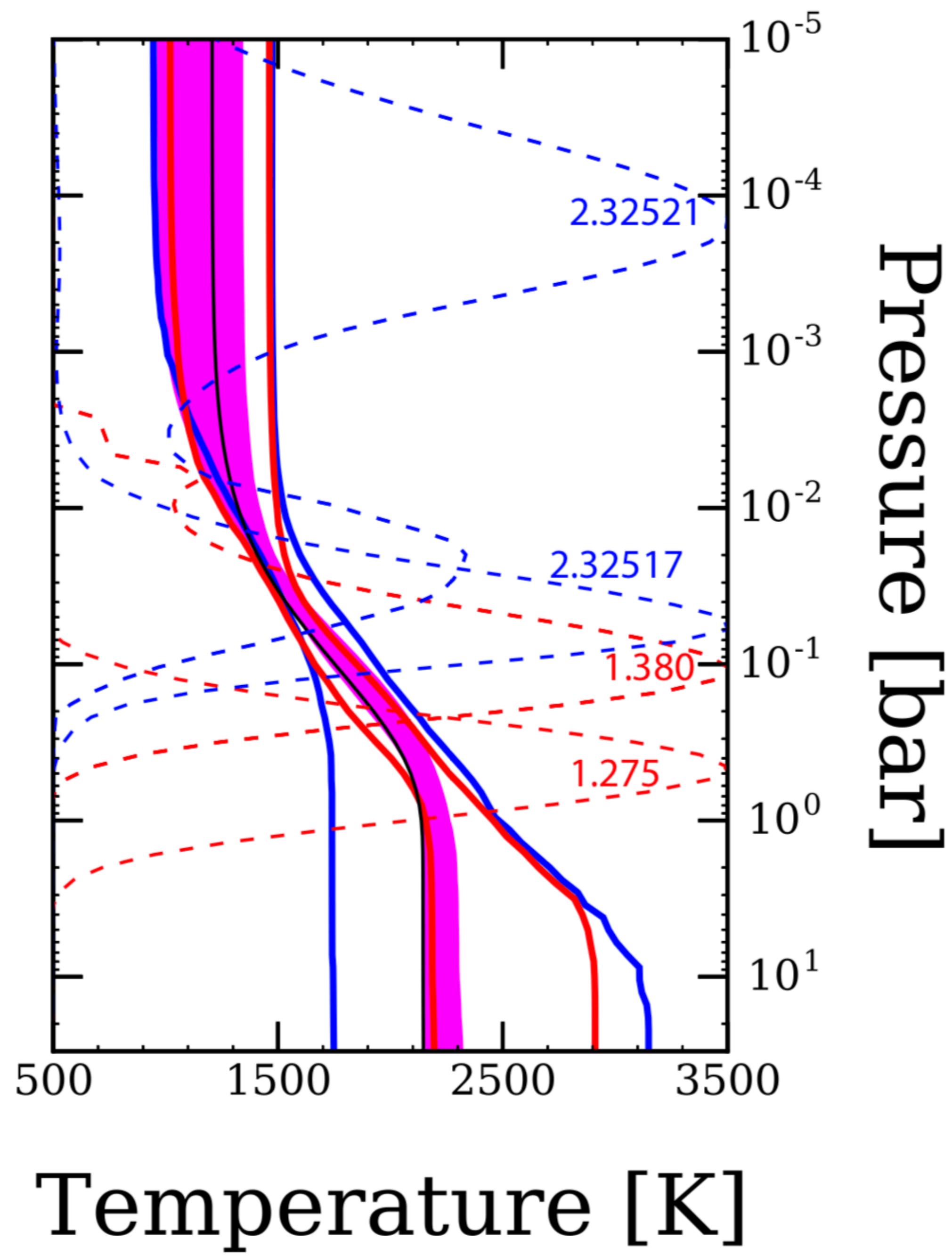
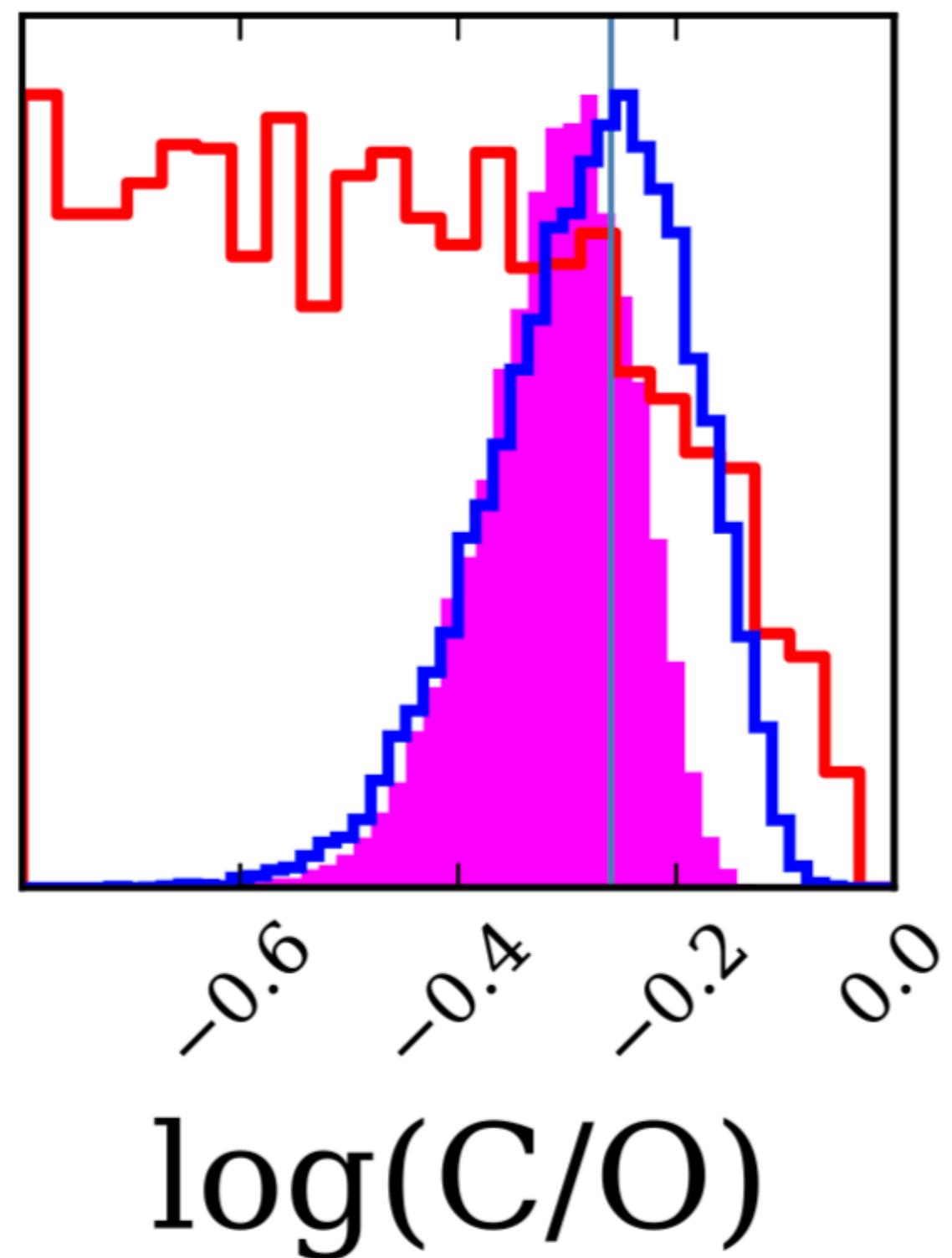
$$\chi^2 = \frac{1}{\beta^2} \left[ \sum \frac{f_i^2}{\sigma_i^2} + \alpha^2 \sum \frac{m_i^2}{\sigma_i^2} - 2\alpha \boxed{\sum \frac{f_i m_i}{\sigma_i^2}} \right]$$

f=data, m=model,  $\sigma$ =uncertainties

Gibson et al. 2020

# Combine multi-resolution observations using likelihood functions to reach most stringent constraints

LRS (HST WFC3)  
HRS (CRIRES-K)  
HRS+LRS



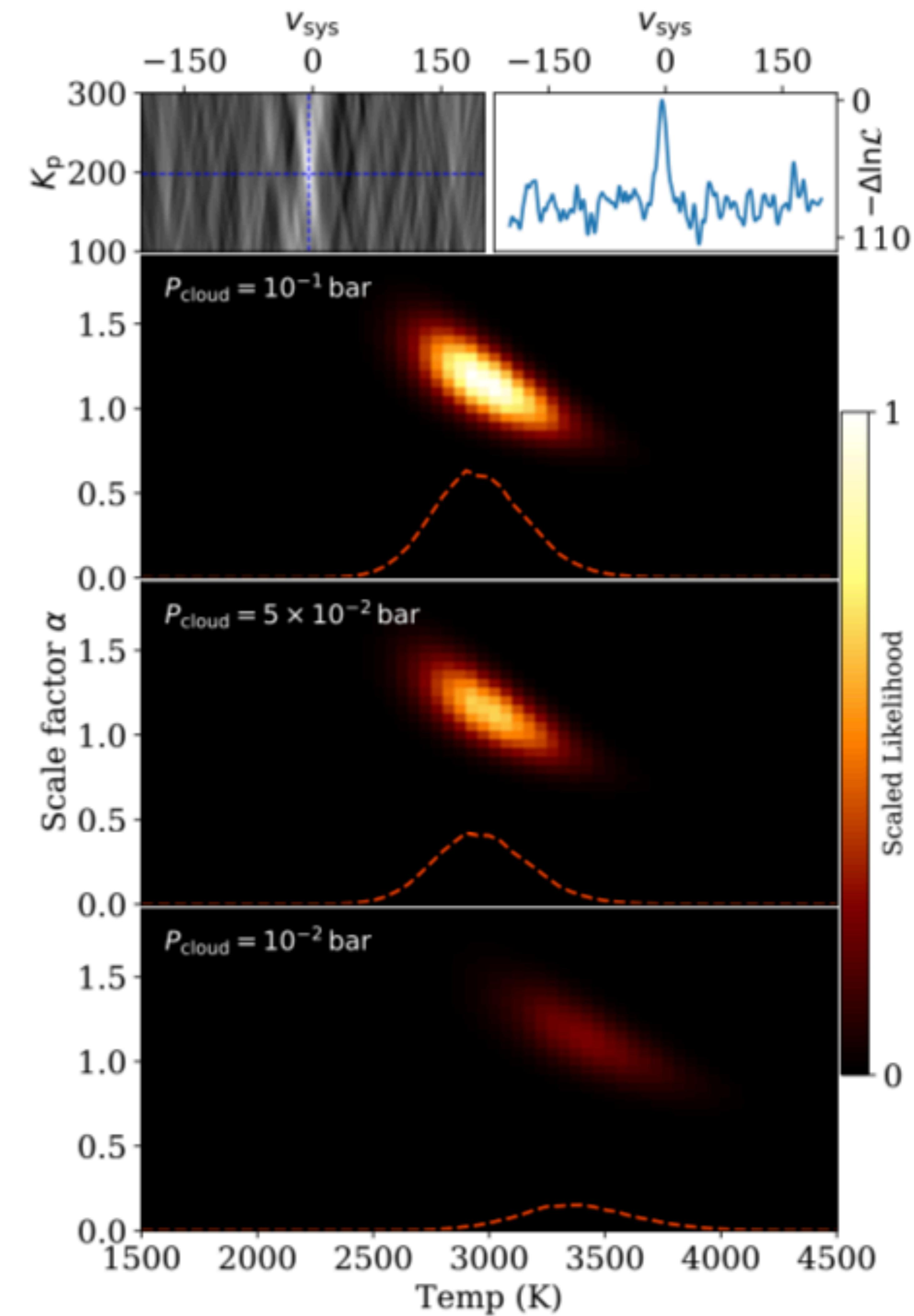
Brogi & Line 2018

$$\chi^2 = \frac{1}{\beta^2} \left[ \sum \frac{f_i^2}{\sigma_i^2} + \alpha^2 \sum \frac{m_i^2}{\sigma_i^2} - 2\alpha \sum \frac{f_i m_i}{\sigma_i^2} \right]$$

CCF

f=data, m=model, σ=uncertainties

Gibson et al. 2020



# High resolution spectroscopy can access spectral lines even in the presence of clouds

Simulated water spectrum for super-Earth GJ 1214 b with progressively higher cloud decks

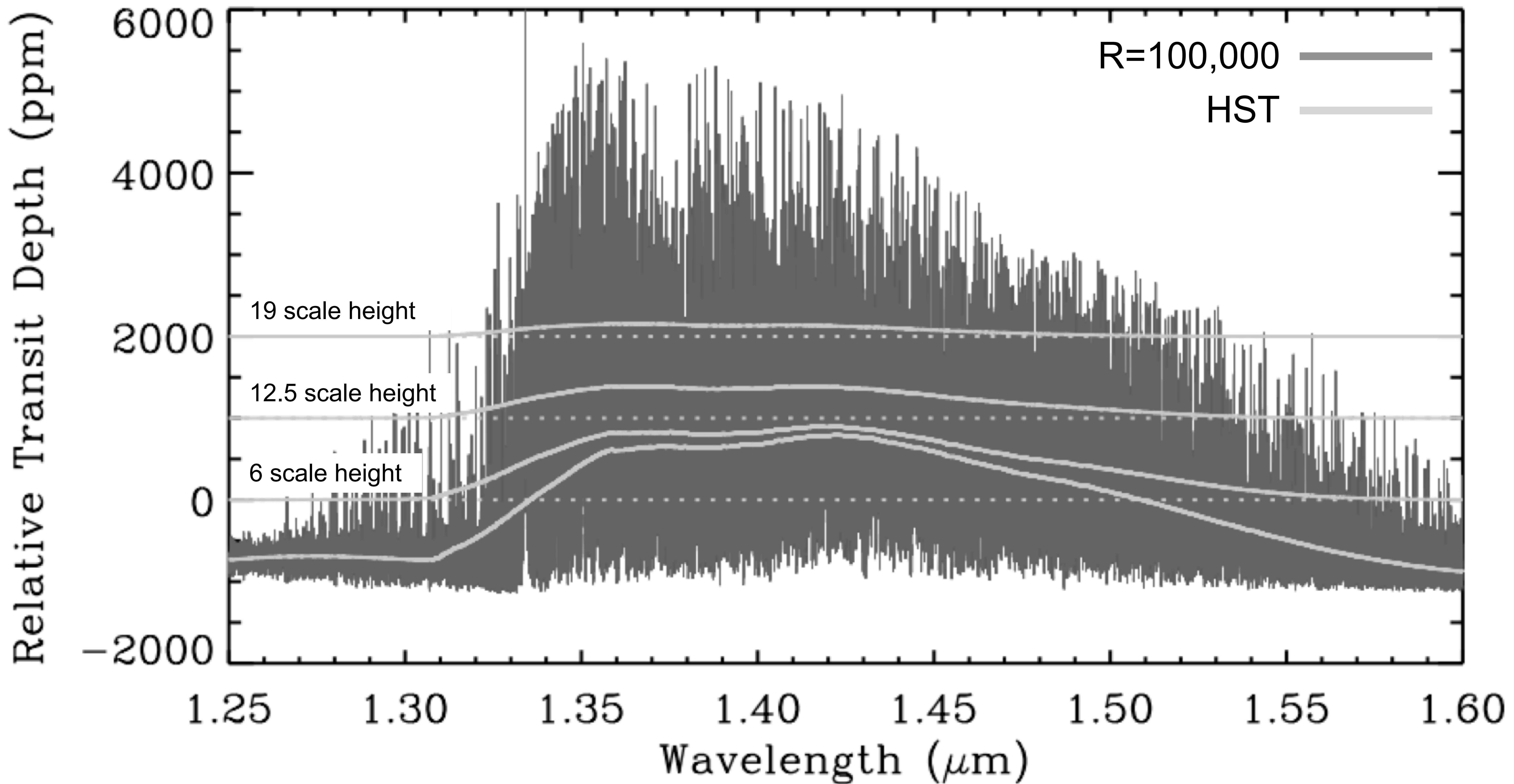
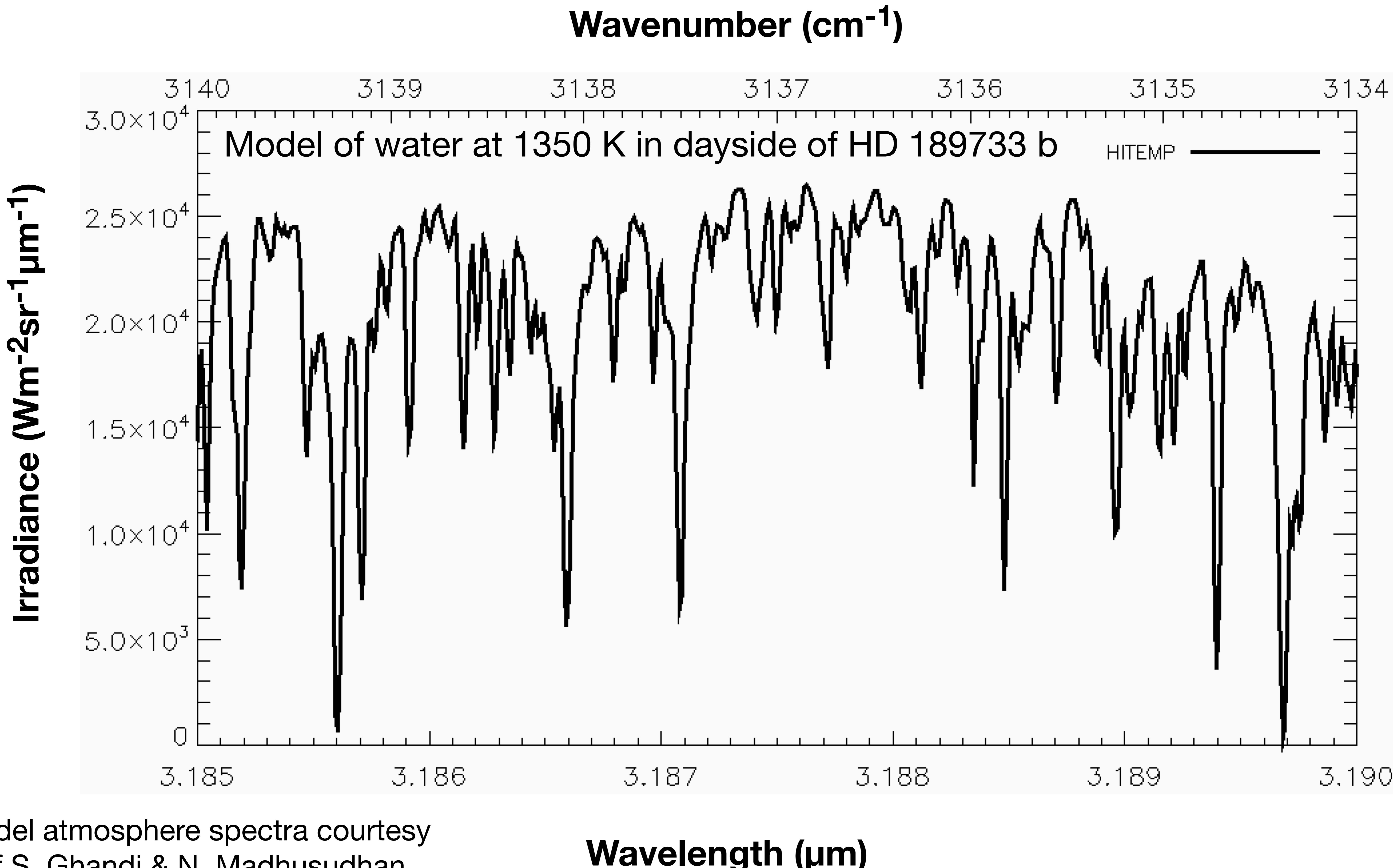
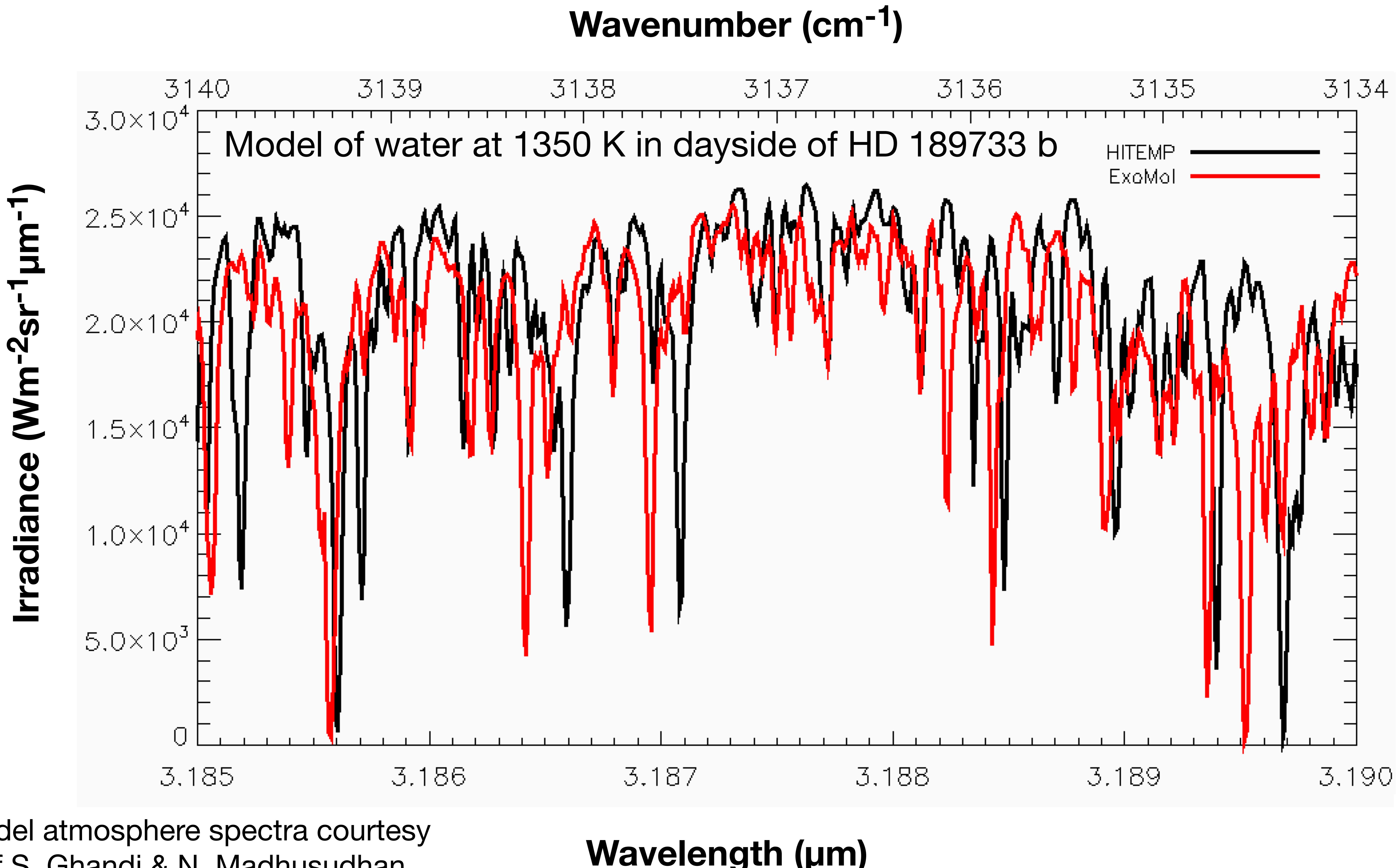


Figure courtesy I. A. G. Snellen, see de Kok et al. 2014 and Pino et al. 2018 for more detail

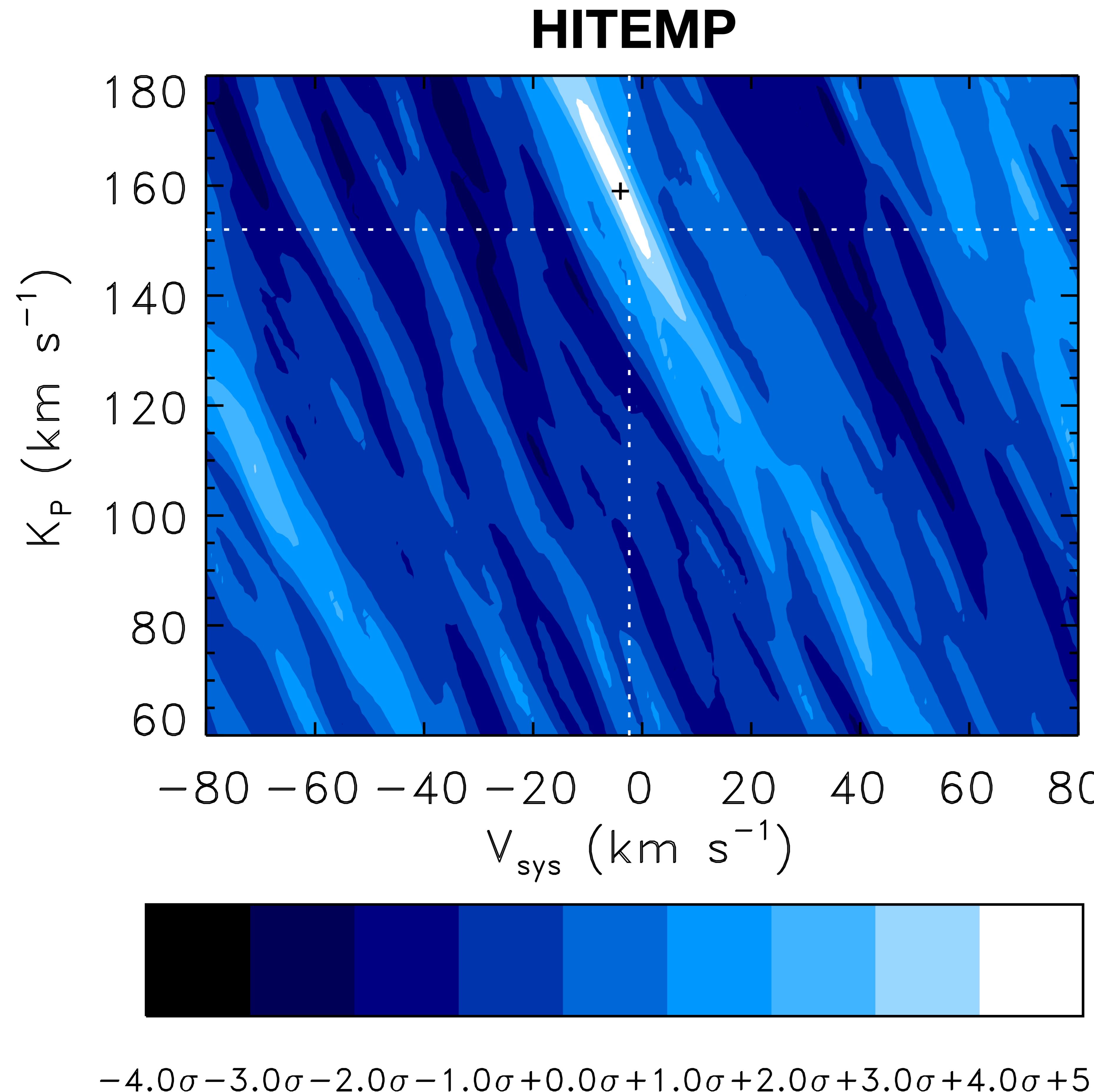
# Different line lists give conflicting model exoplanet atmosphere spectra at high spectral resolution



# Different line lists give conflicting model exoplanet atmosphere spectra at high spectral resolution

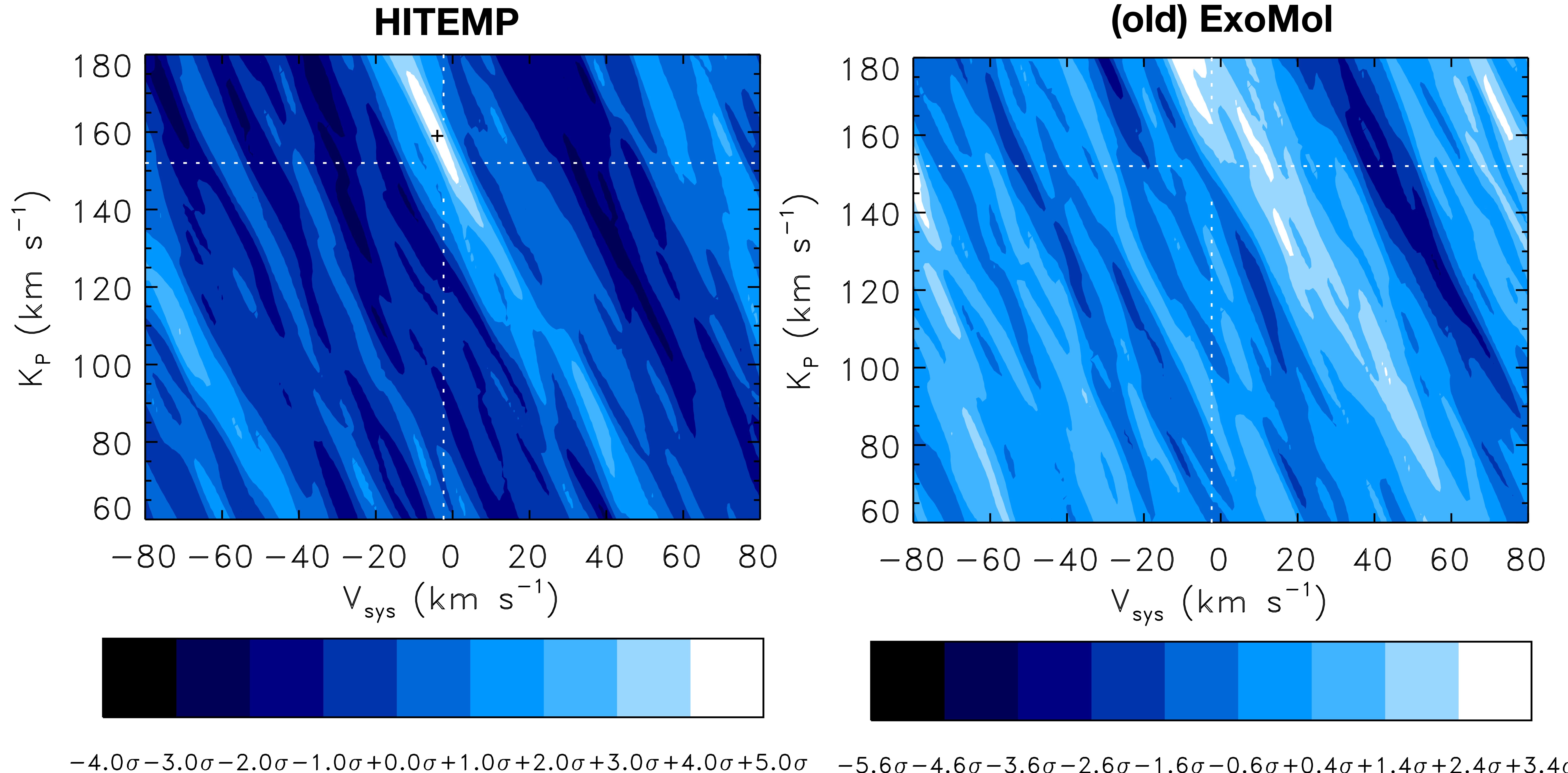


# Line position accuracy is very important when studying high resolution spectroscopy of exoplanet atmospheres



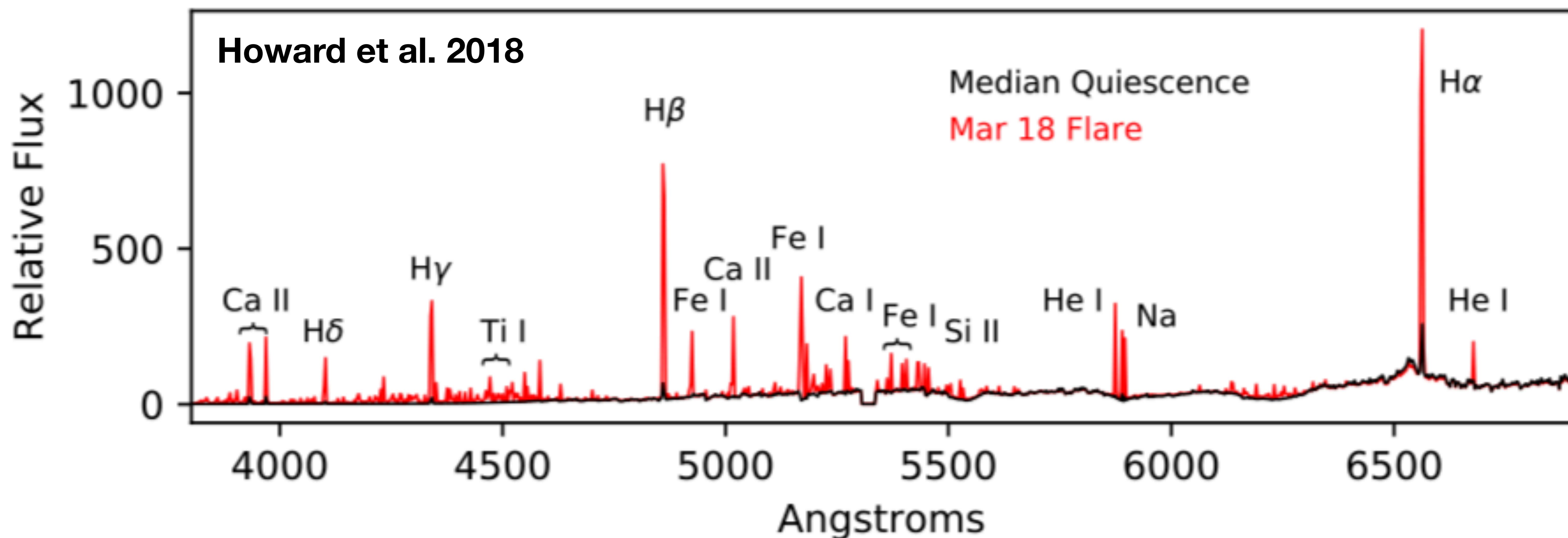
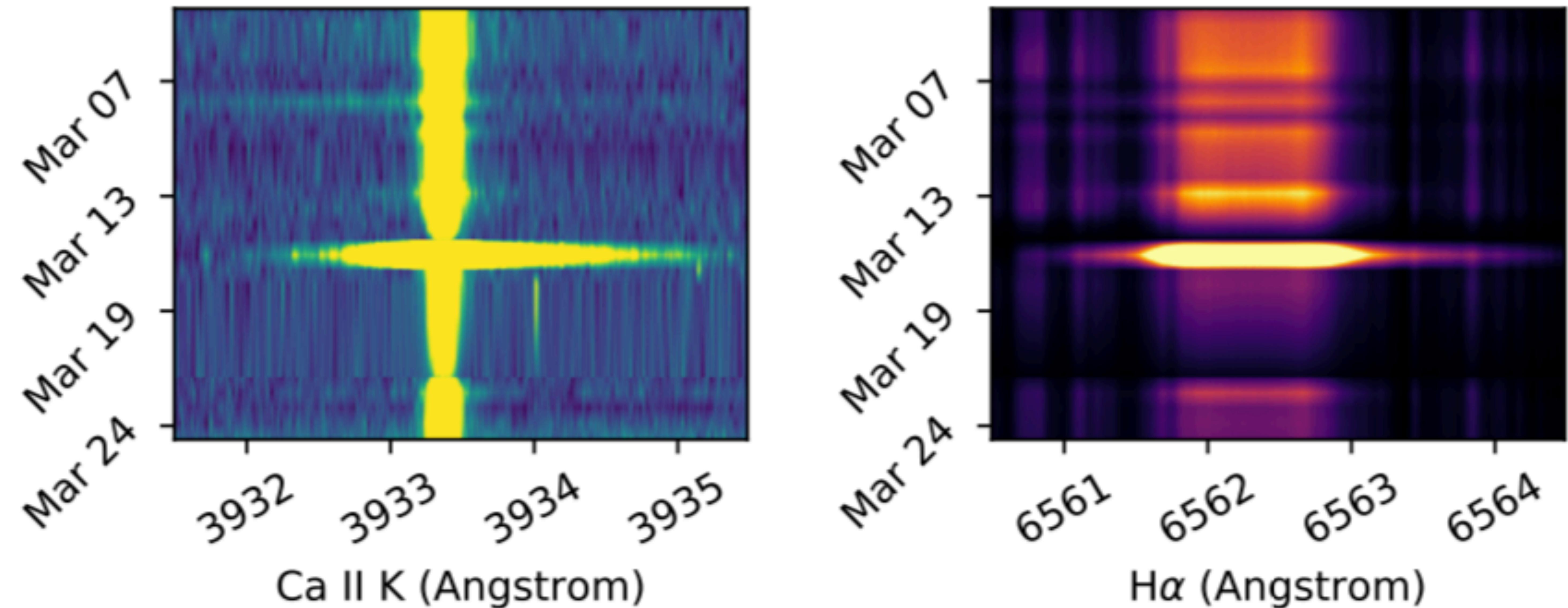
Water in dayside of HD 189733 b  
Birkby et al. 2013

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Water in dayside of HD 189733 b  
Birkby et al. 2013

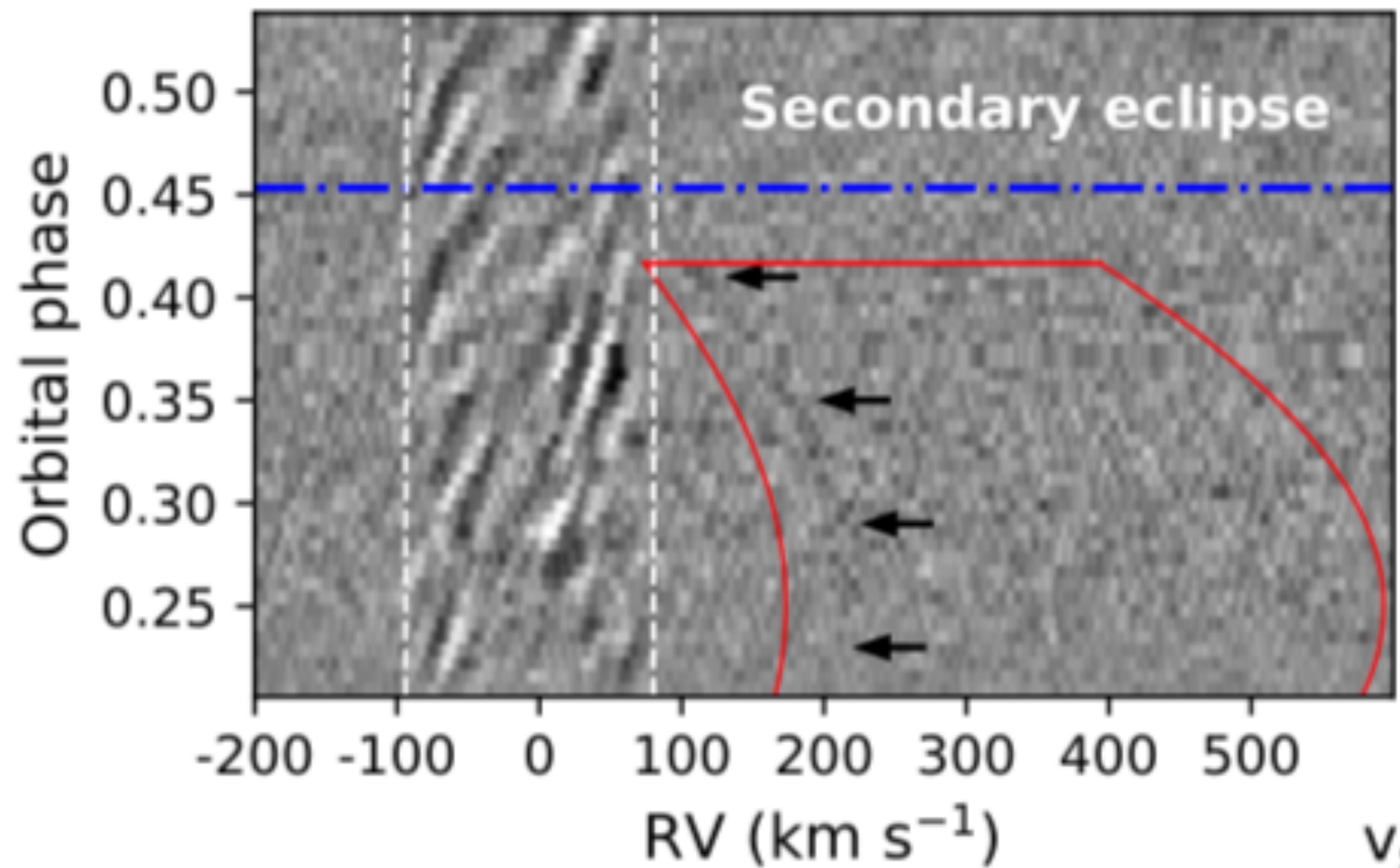
# M-dwarf super flares will be a complication for high resolution studies



Lucas Stapper (BSc thesis) super flare resulted in 50 times more noise than in the quiescence state!

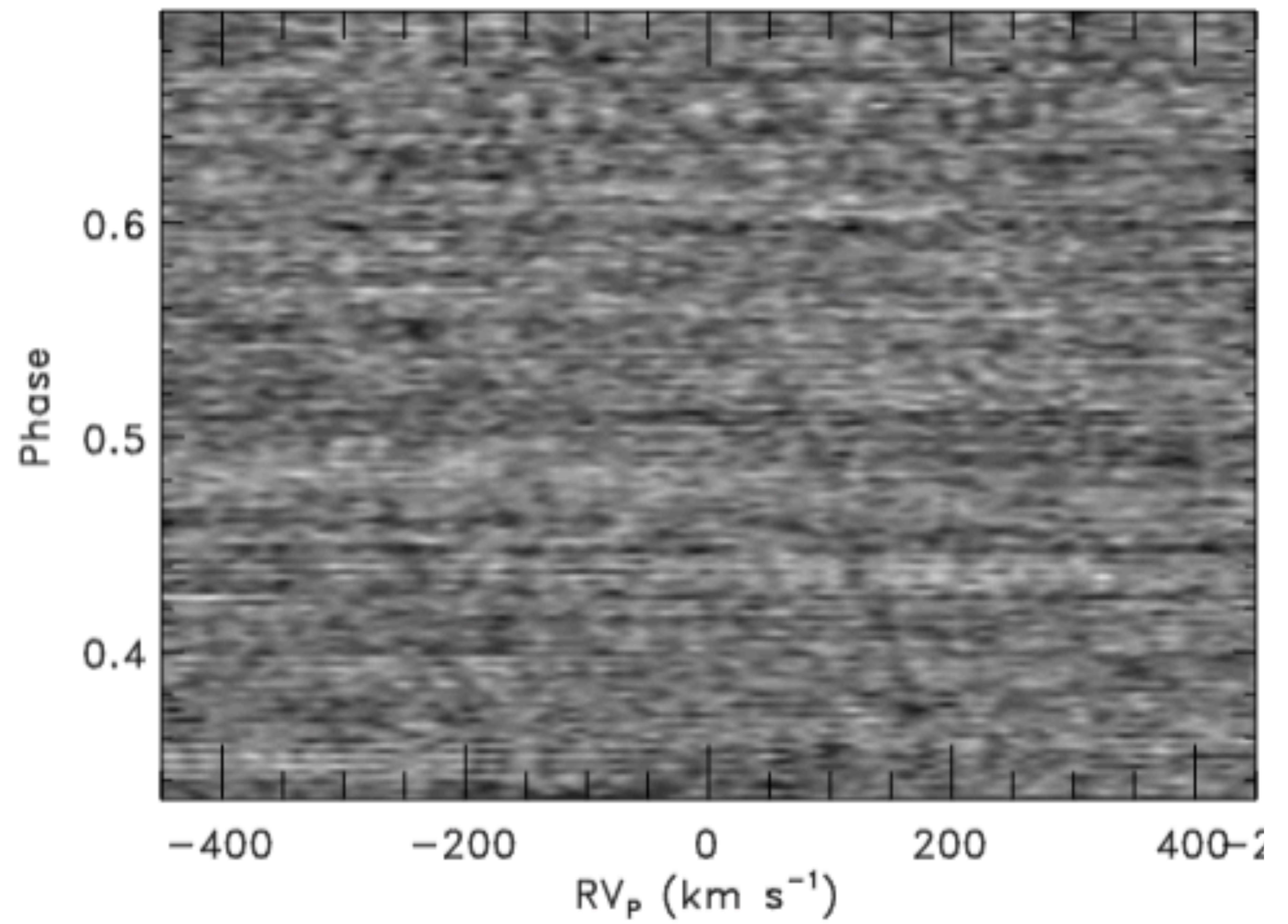
# Stellar pulsations can cause contamination if the planet spectrum contains the same species as the star

Optical observations with Fe I template



Nugroho et al. 2020

Infrared observations with CO template



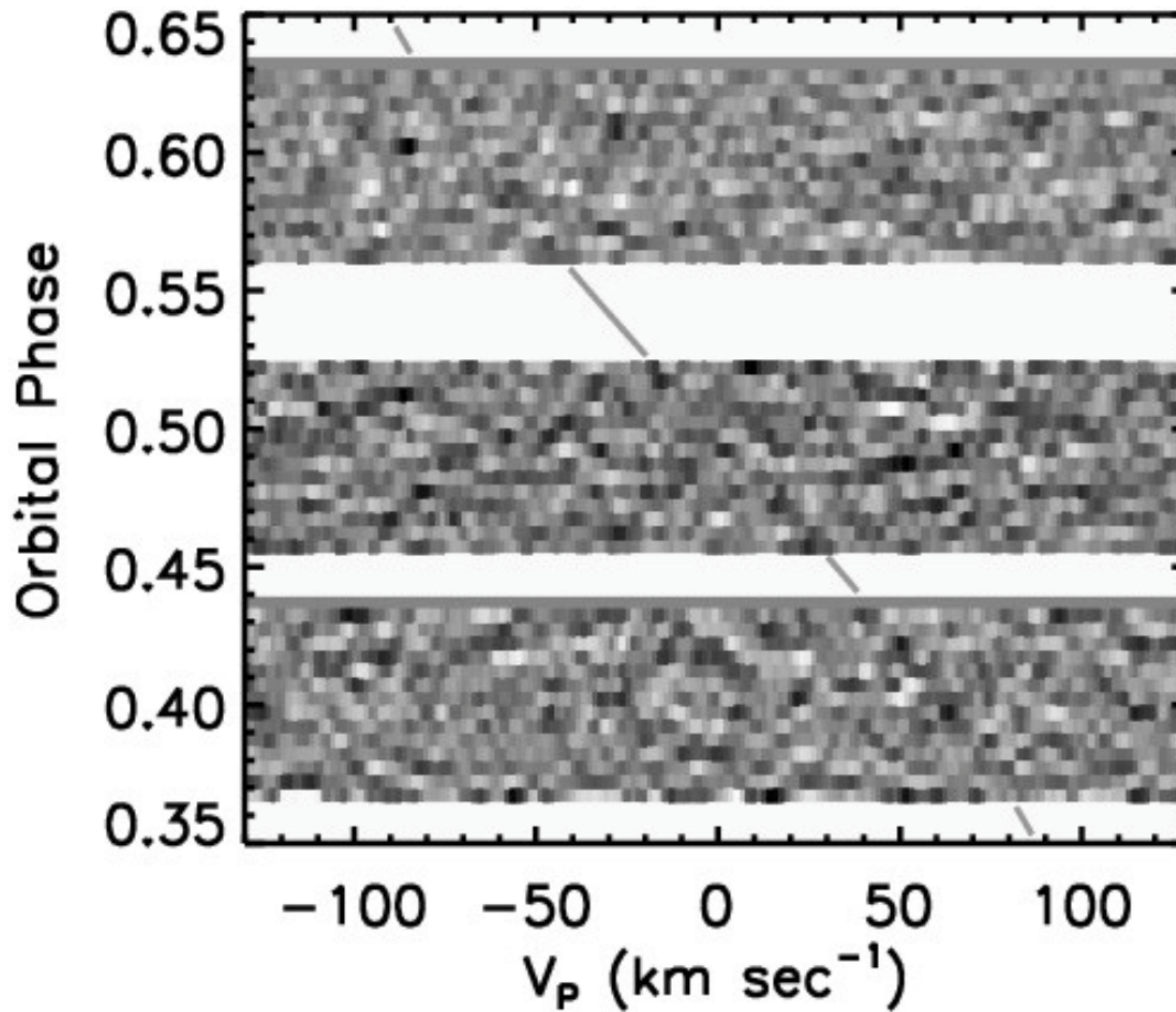
Birkby et al. in prep

Host star A-type δ Scuti

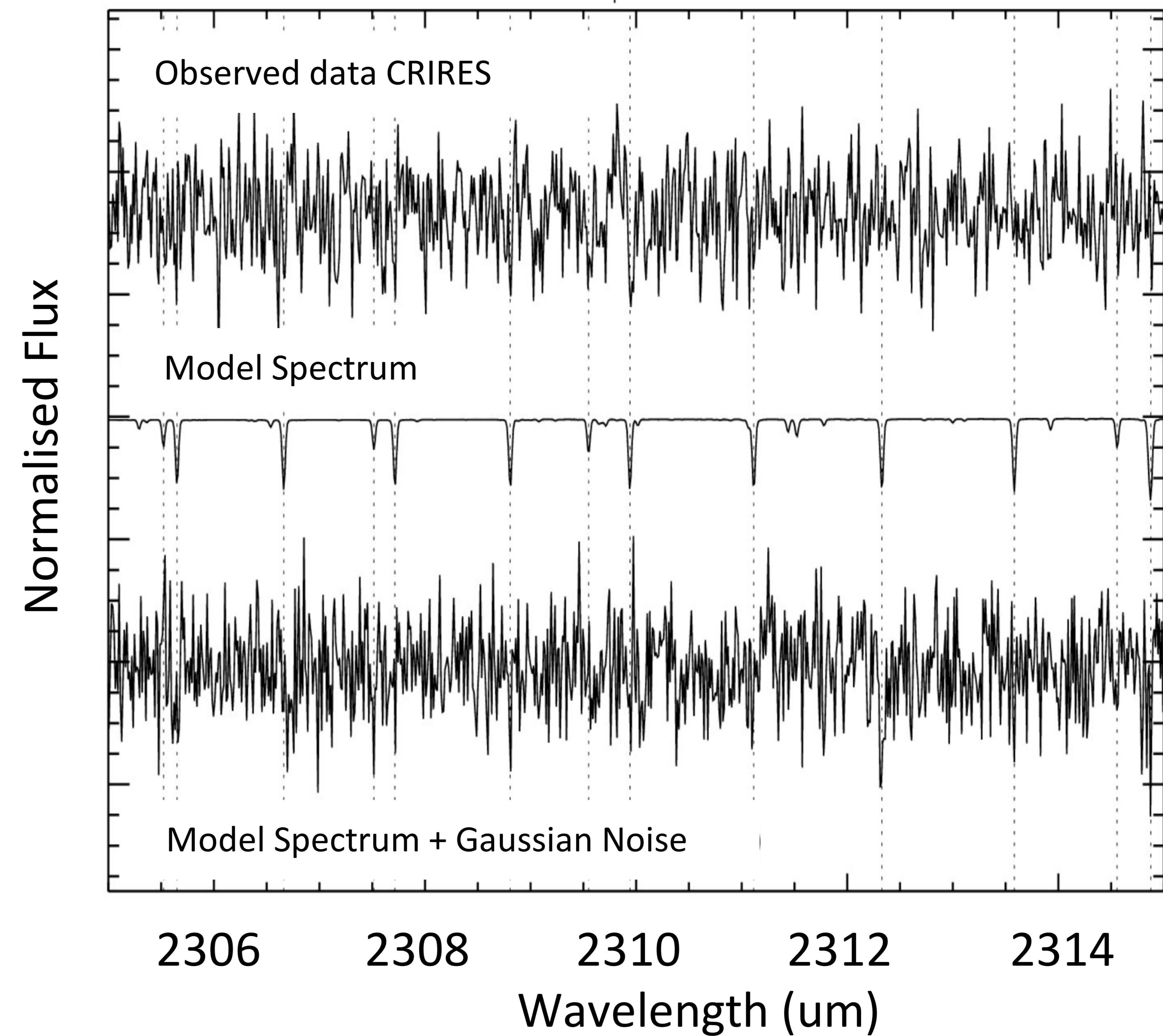
# High-resolution exoplanet spectra from existing facilities are very noisy

Cross-correlation functions

CRIRES@VLT – Brogi et al. (2012)

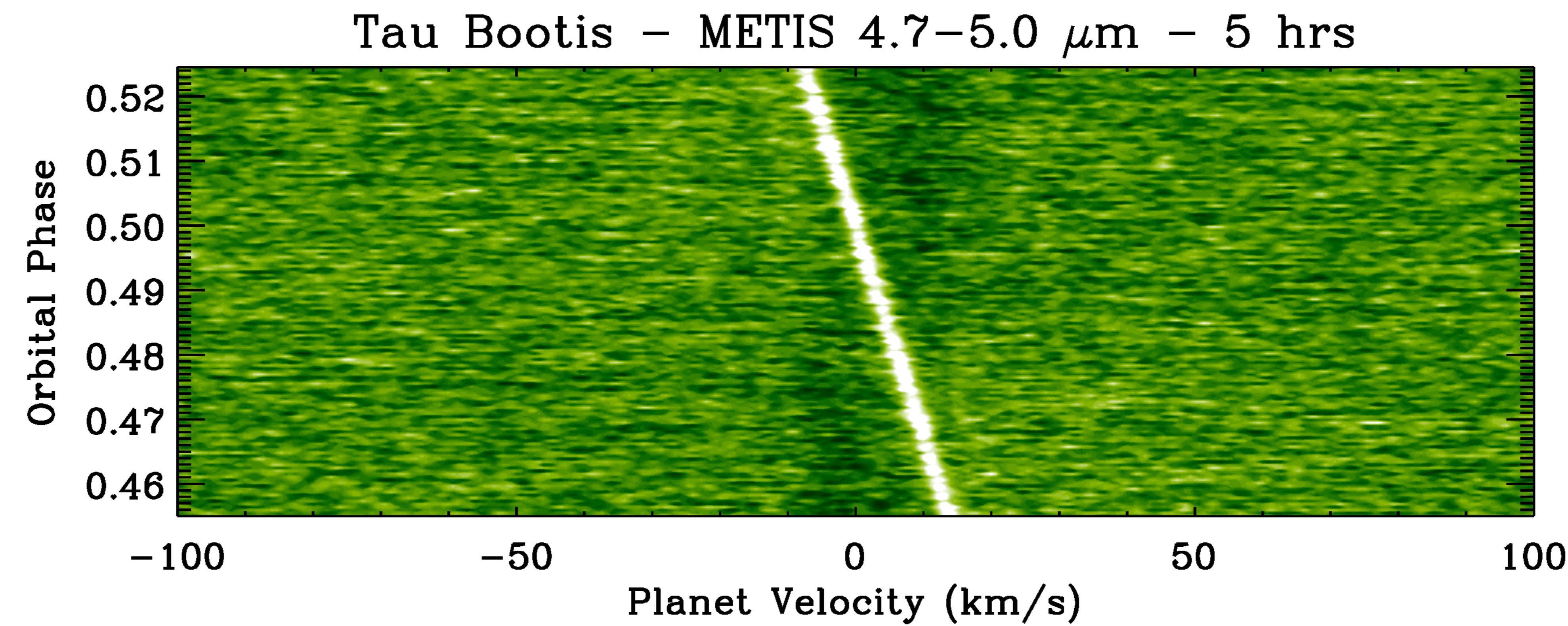


Summed spectra

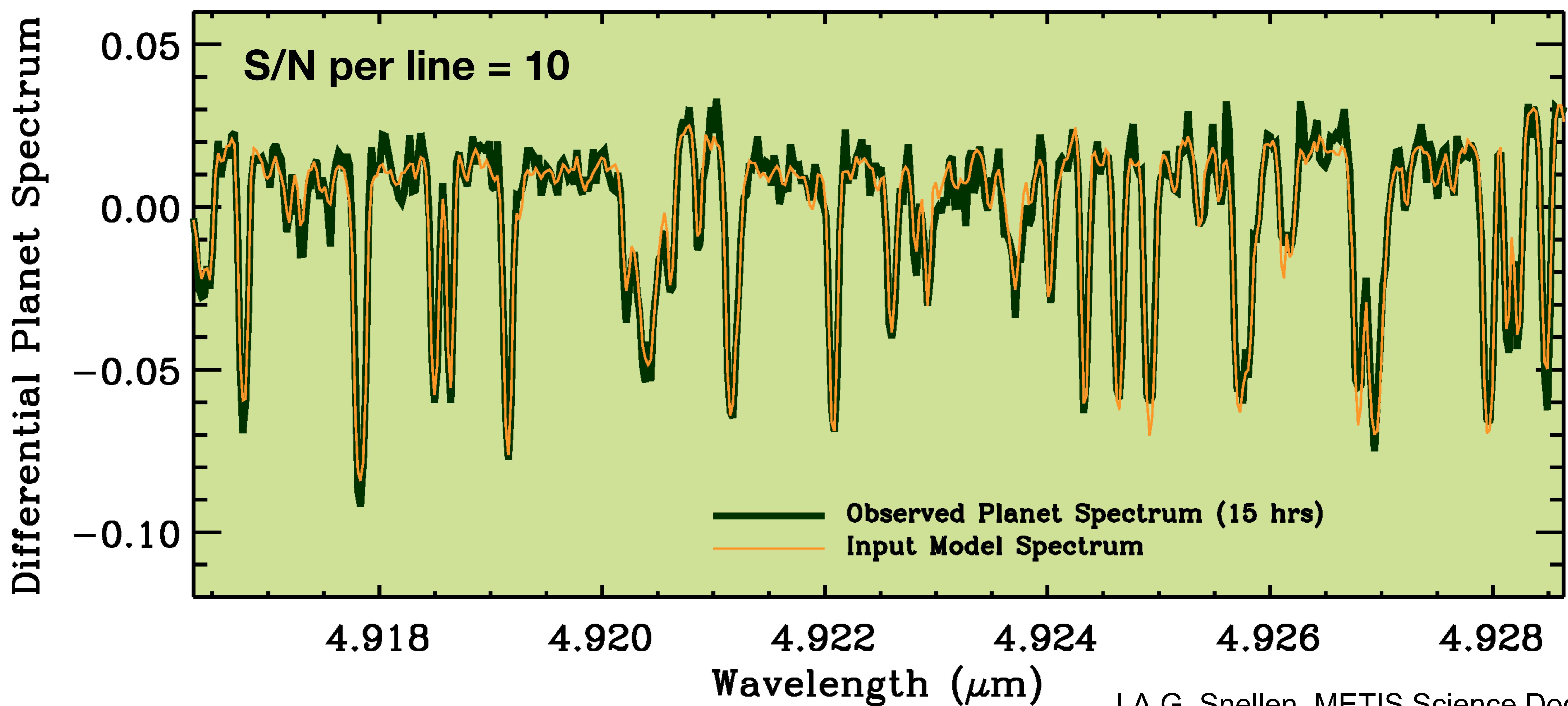
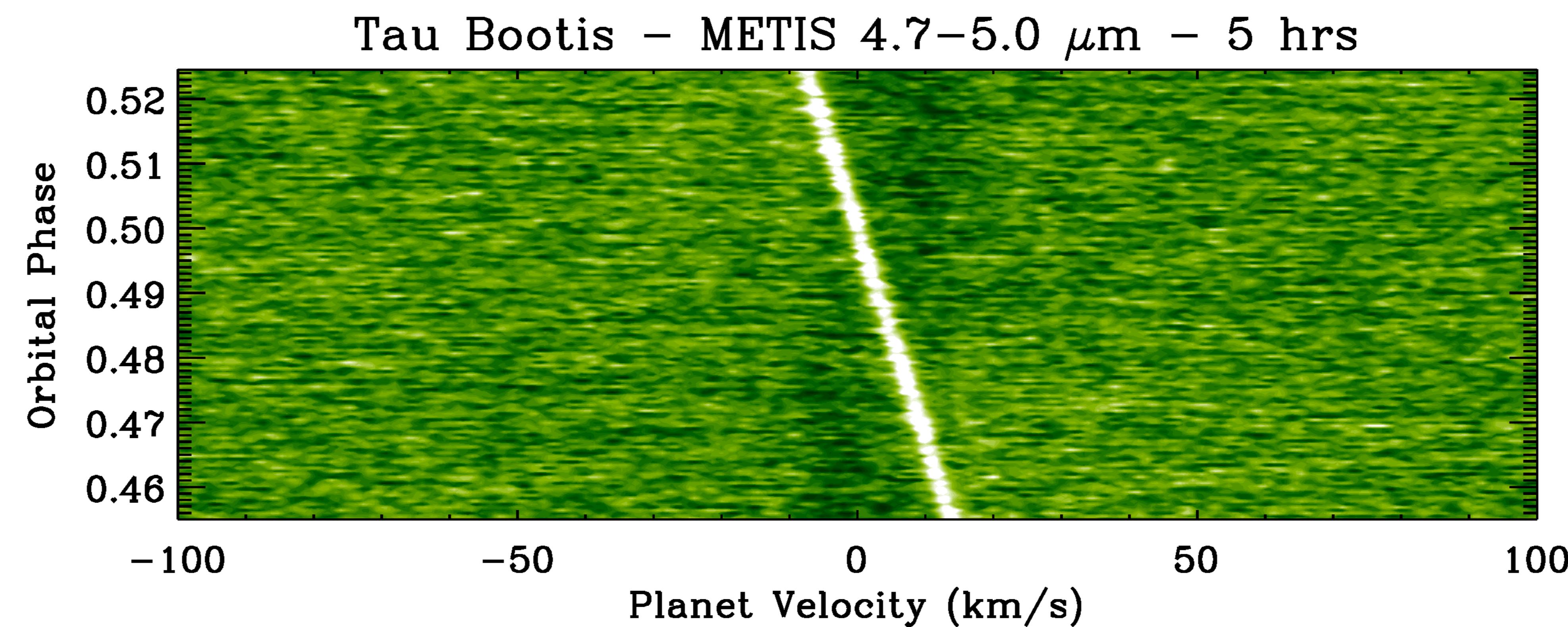


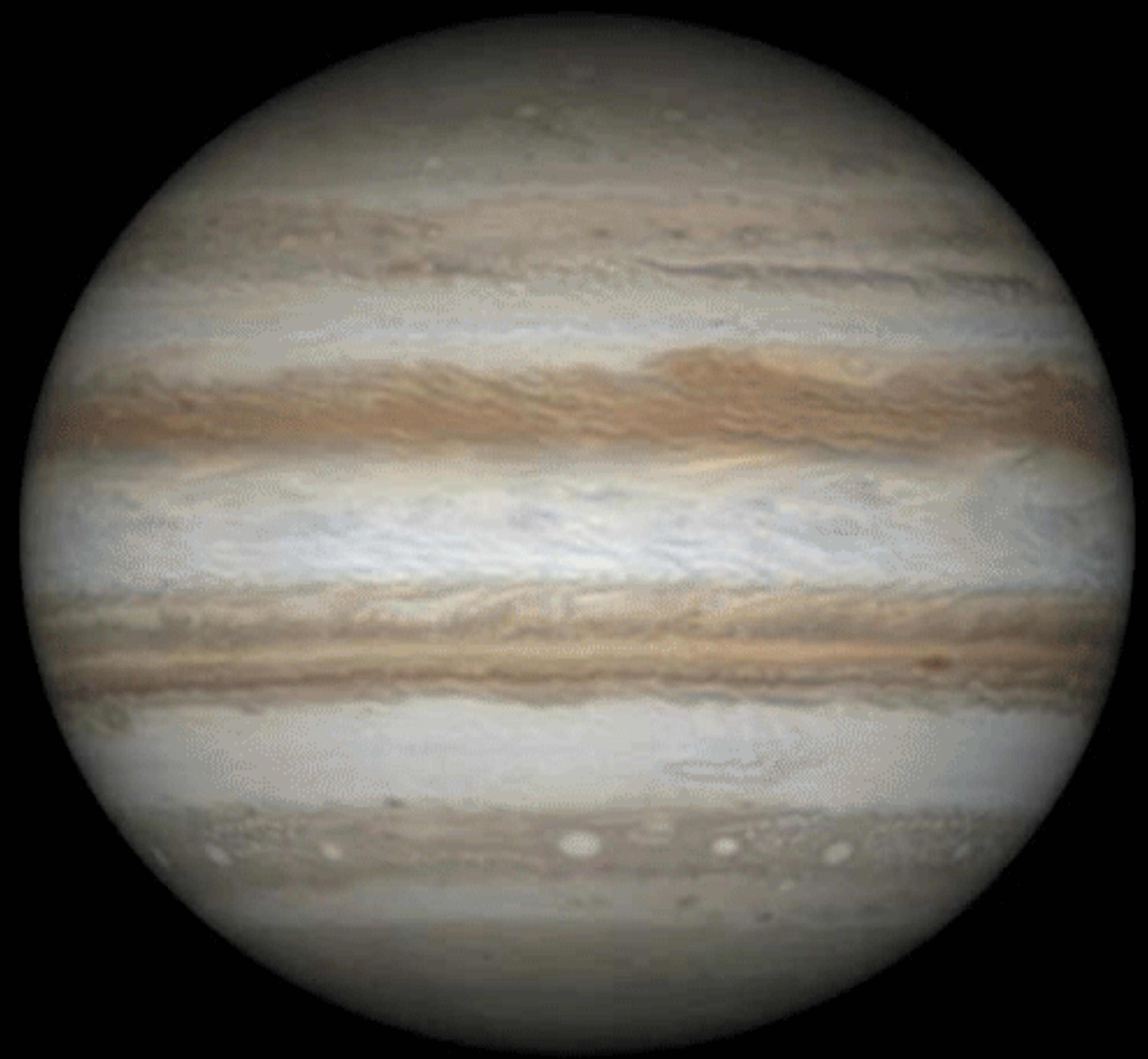
Brogi et al. 2012

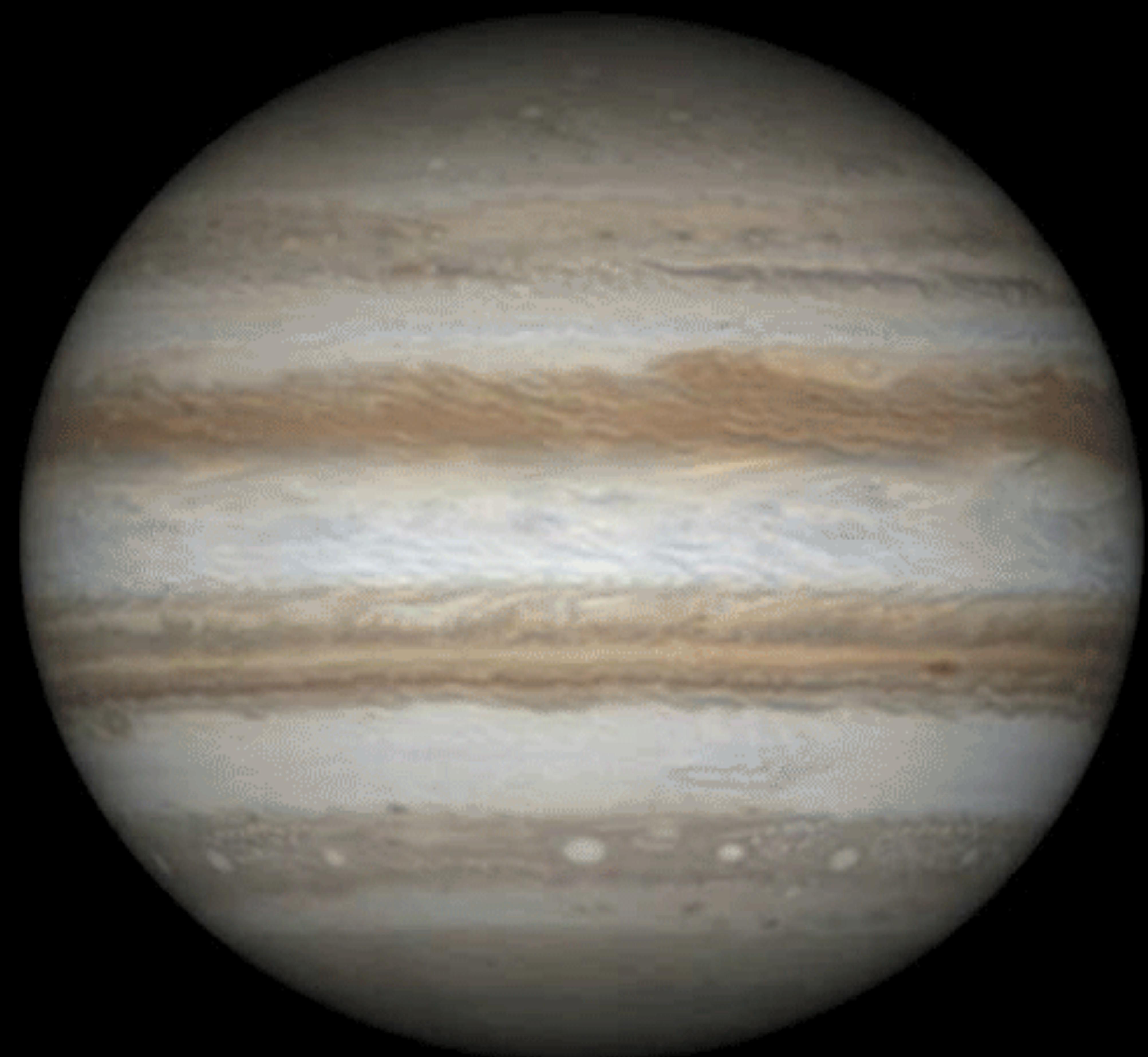
**ELTs will provide sufficiently high S/N high resolution spectra to model the exoplanet atmosphere directly**



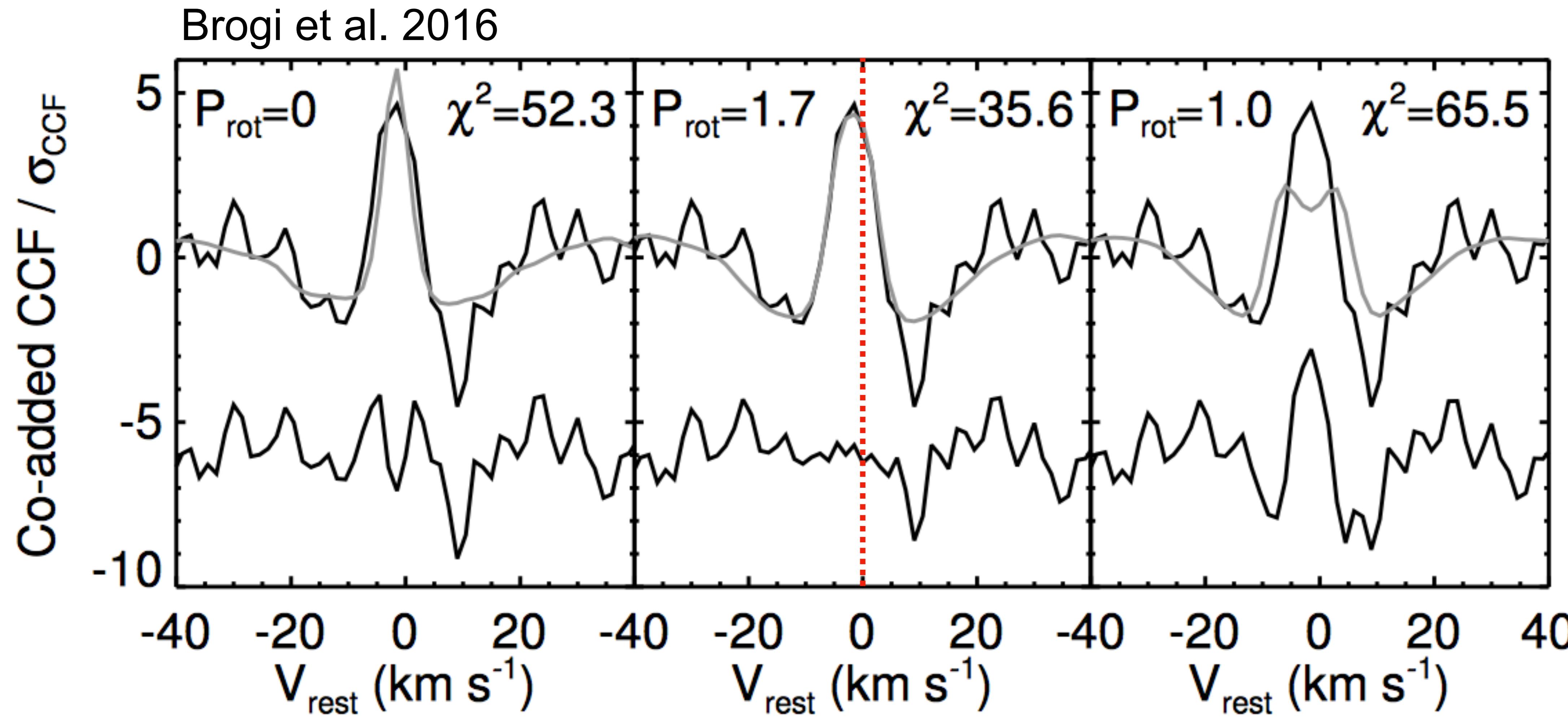
**ELTs will provide sufficiently high S/N high resolution spectra to model the exoplanet atmosphere directly**







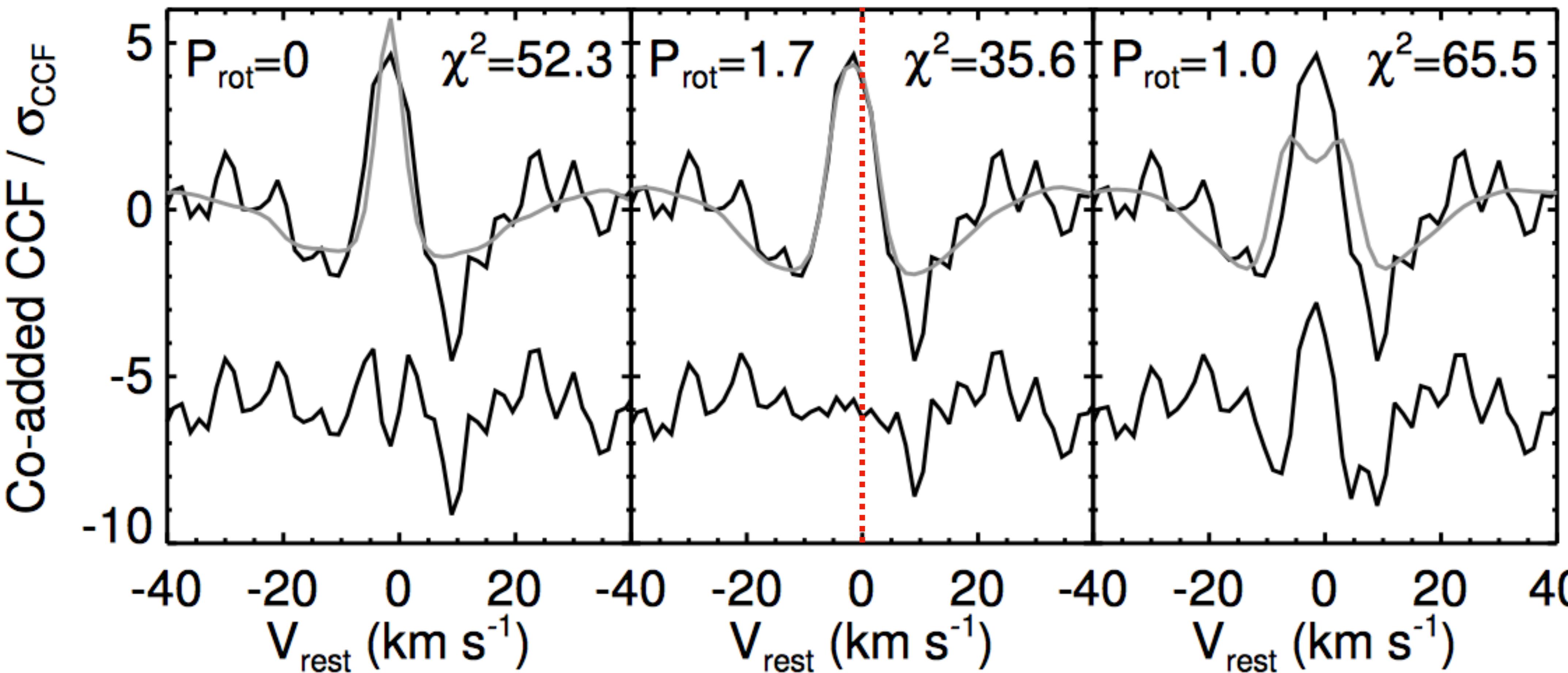
# HD 189733 b consistent with synchronous rotation (tidally-locked) and full GCM models match well



$P_{orb} = 2.21857567 \pm 1.5 \times 10^{-7}$  days  
 $P_{rot} = 1.7^{+2.9}_{-0.4}$  days  
 $V_{rot} = 3.4^{+1.3}_{-2.1}$  km/s  
 $V_{shift} = -1.7^{+1.1}_{-1.2}$  km/s

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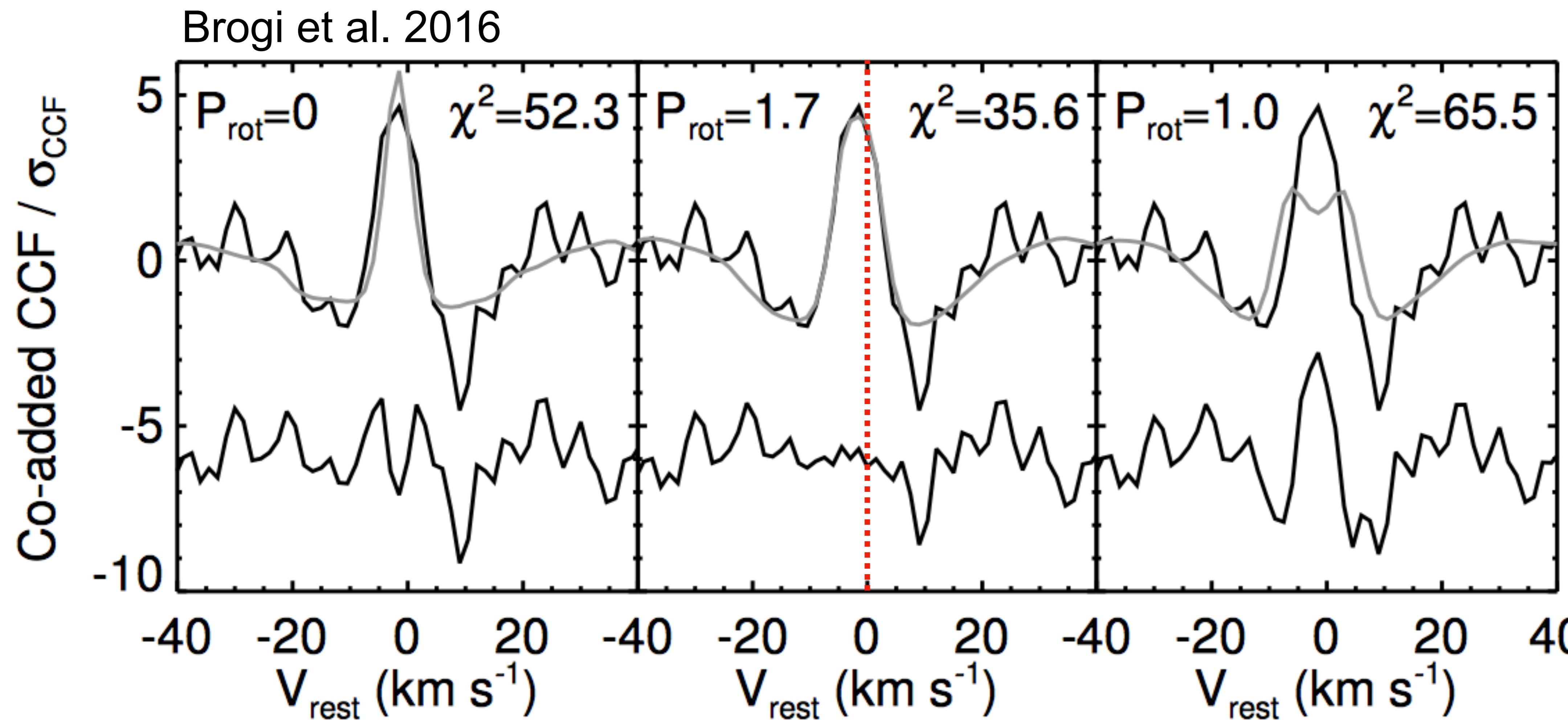
Brogi et al. 2016



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Louden & Wheatley (2015) find  
spatially-resolved eastward rotating jet

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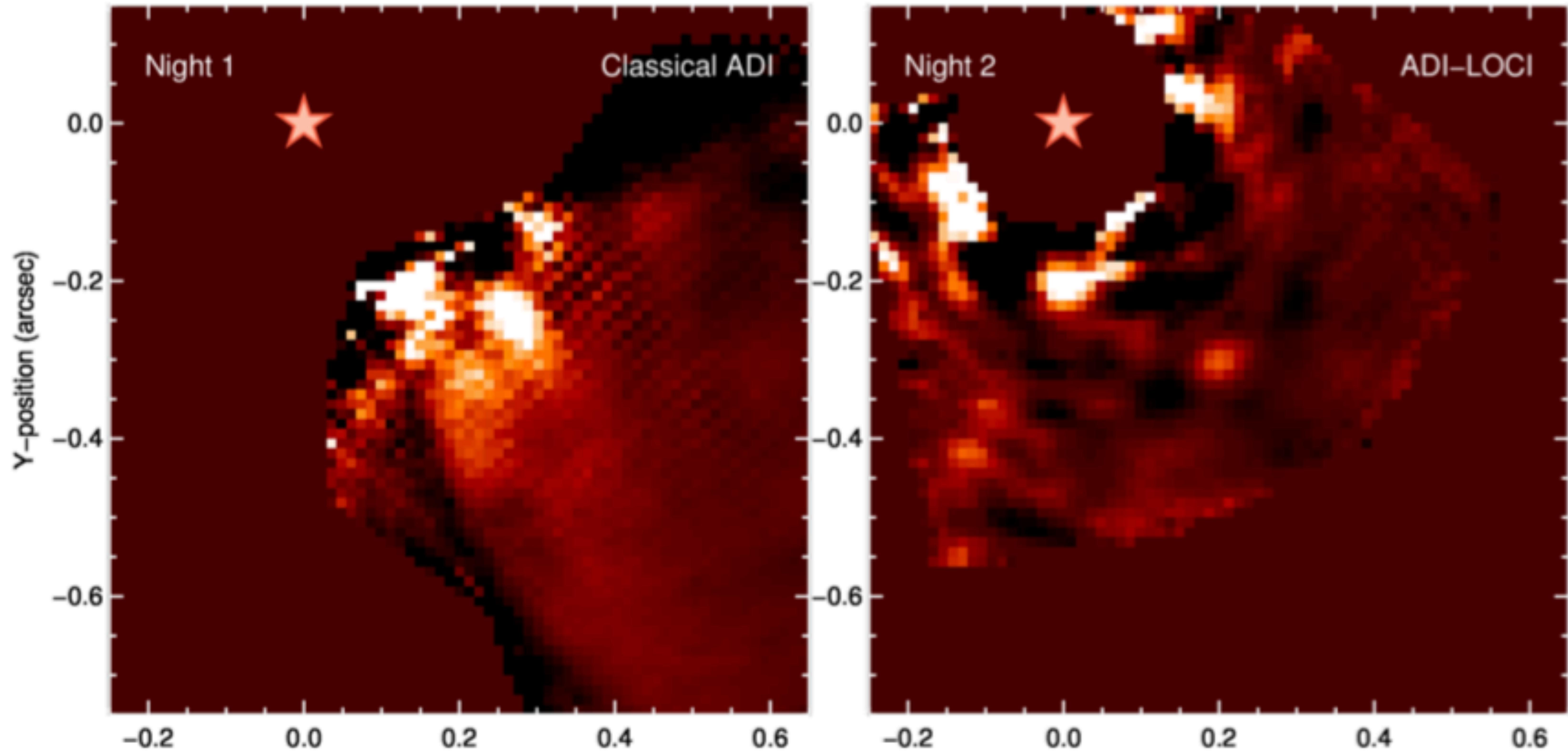
$P_{orb} = 2.21857567 +/ - 1.5 \times 10^{-7} \text{ days}$   
 $P_{rot} = 1.7^{+2.9}_{-0.4} \text{ days}$   
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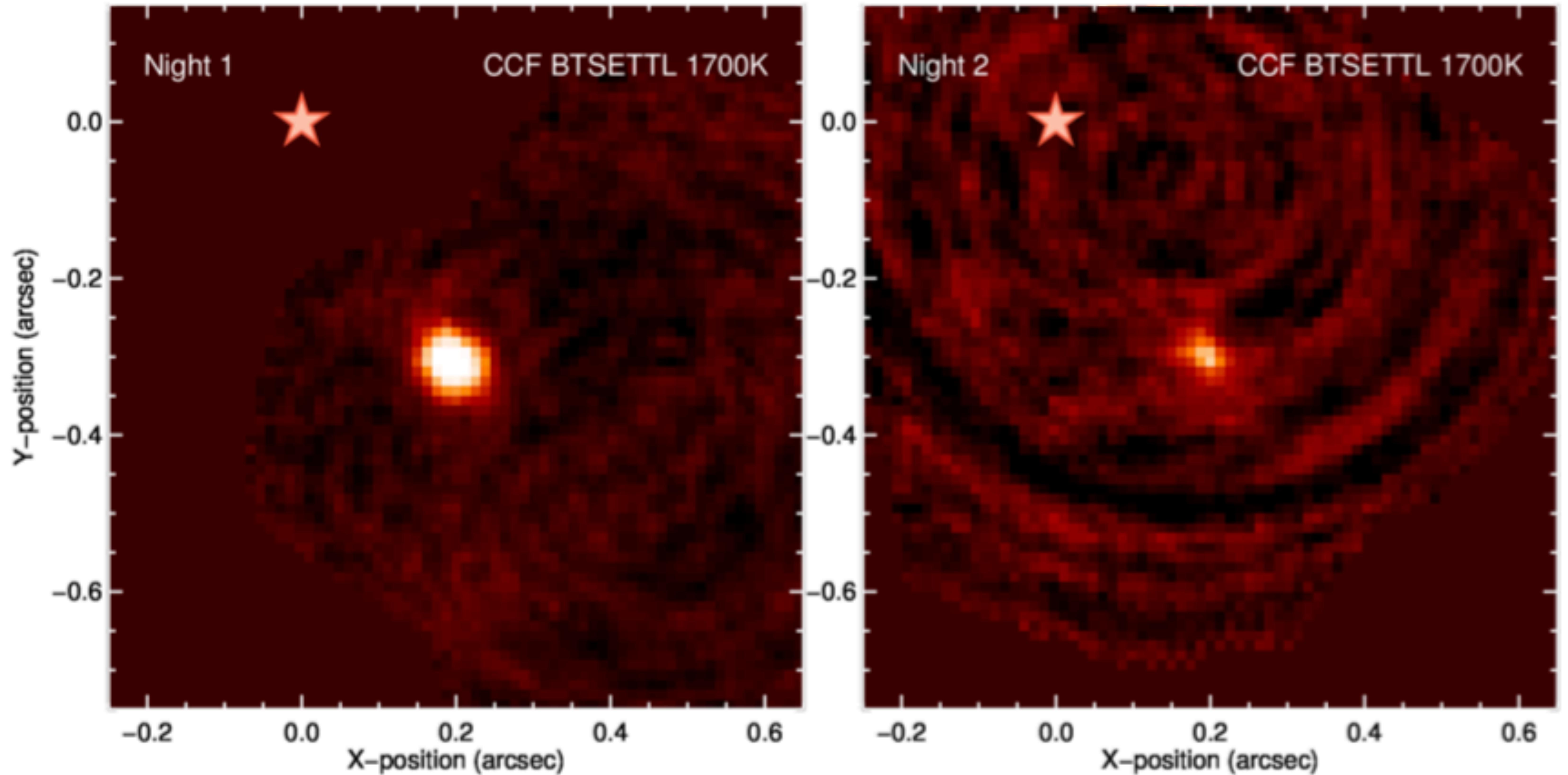
Full GCM models including rotation and  
winds match observations well  
Flowers et al. 2018

# Molecule maps with high resolution spectroscopy offer a new approach for direct imaging surveys

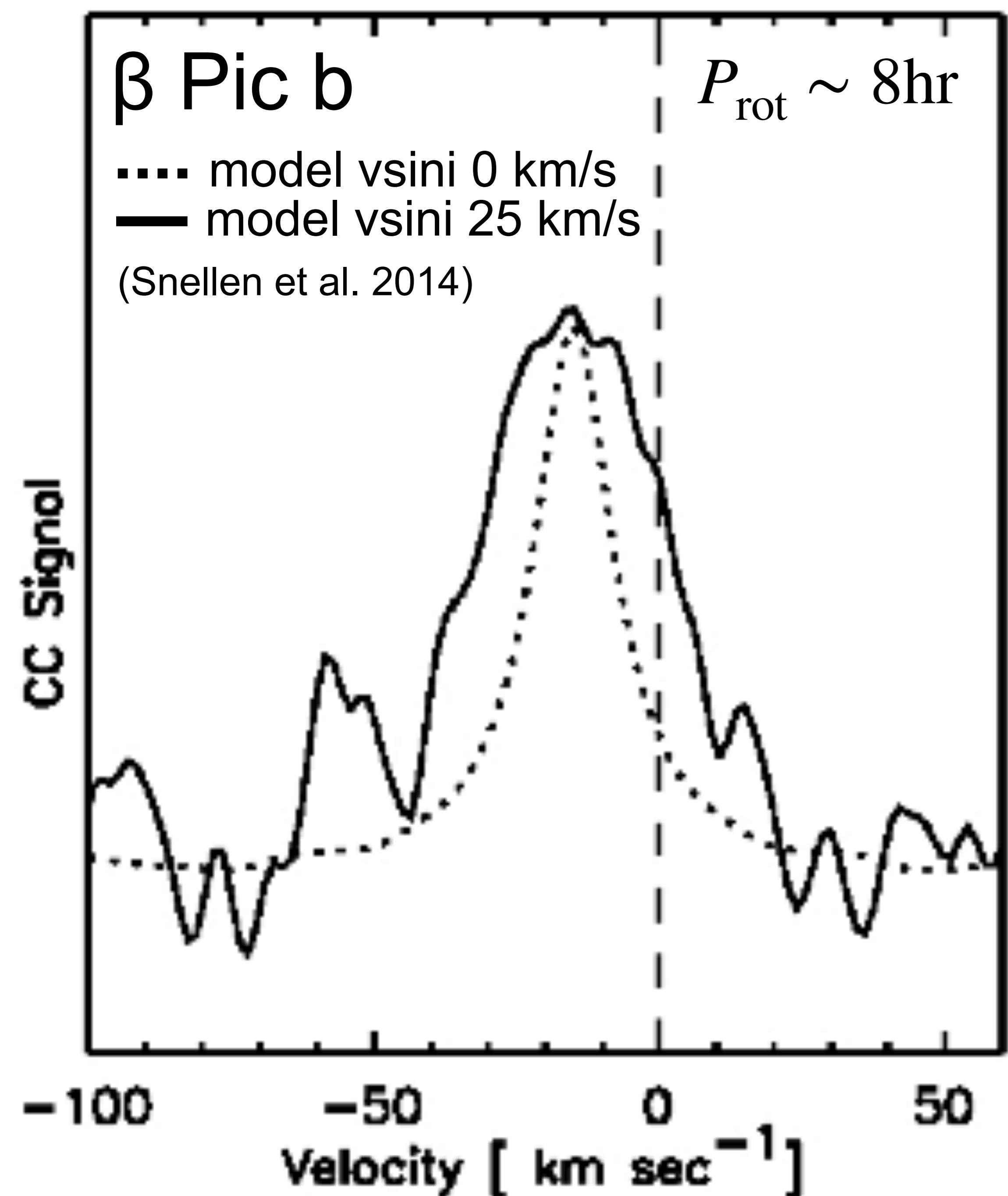
White light images of  $\beta$  Pic b from SINFONI/VLT integral field spectrograph using standard direct imaging post-reduction techniques



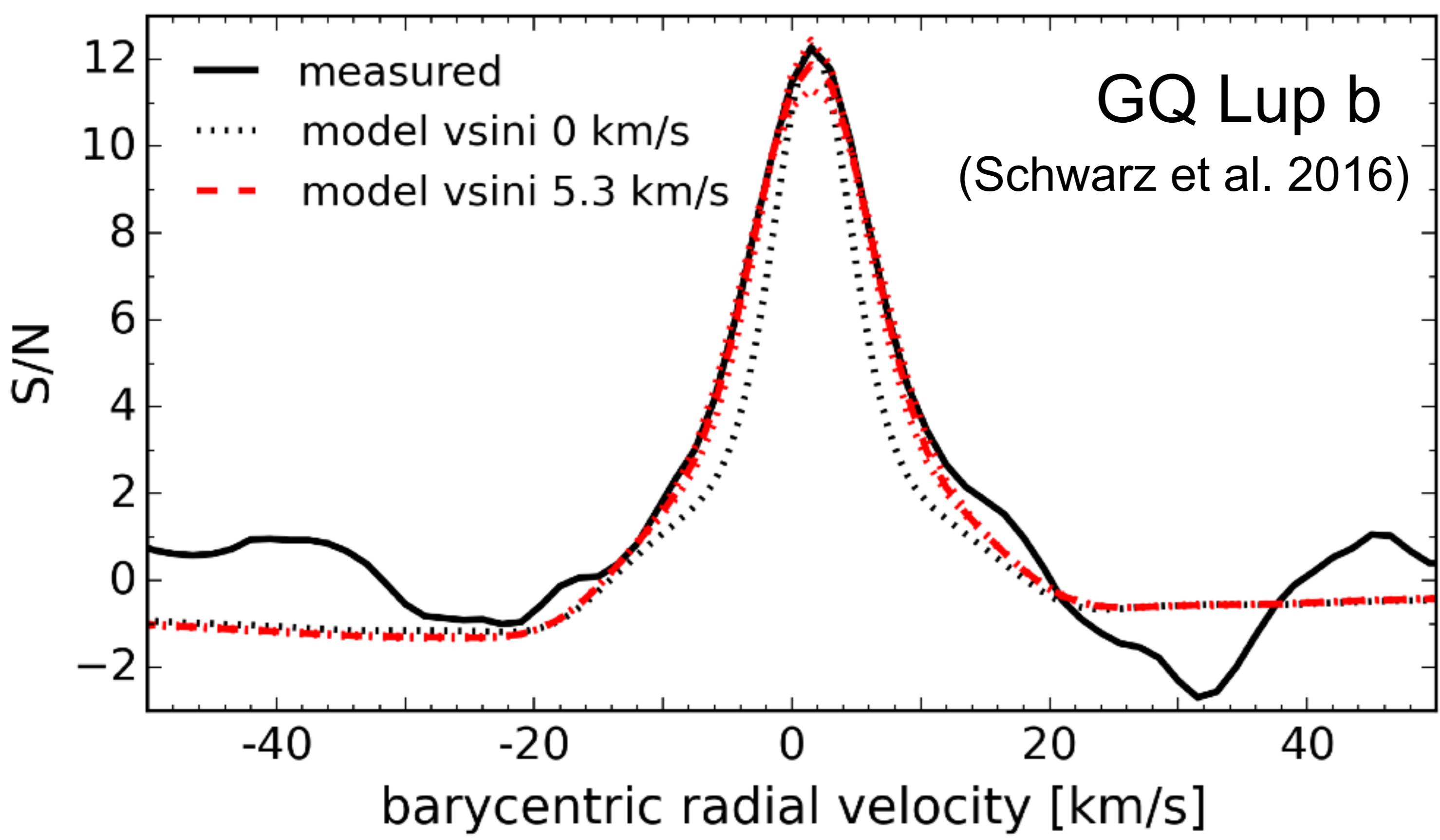
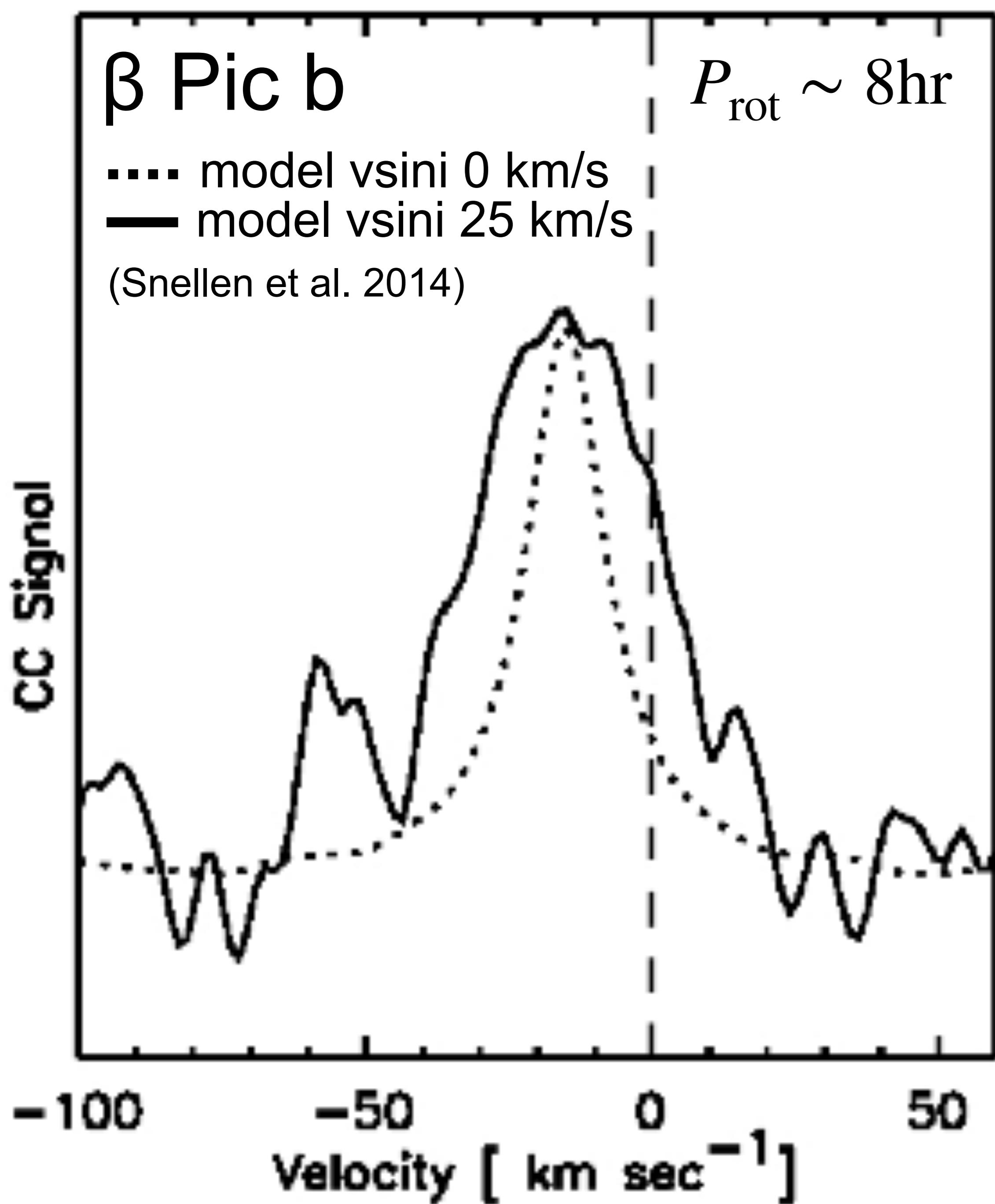
# Molecule maps with high resolution spectroscopy offer a new approach for direct imaging surveys



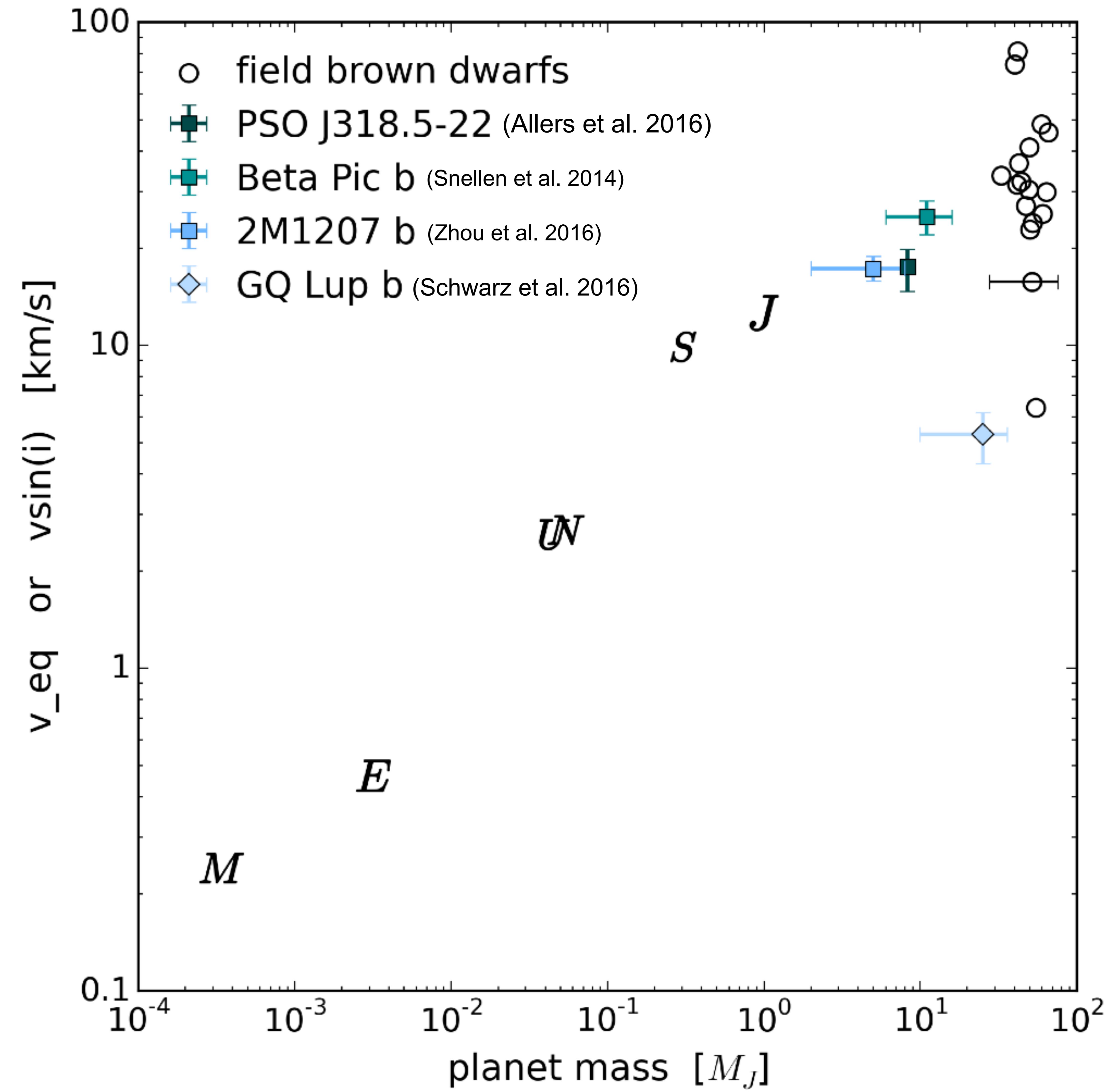
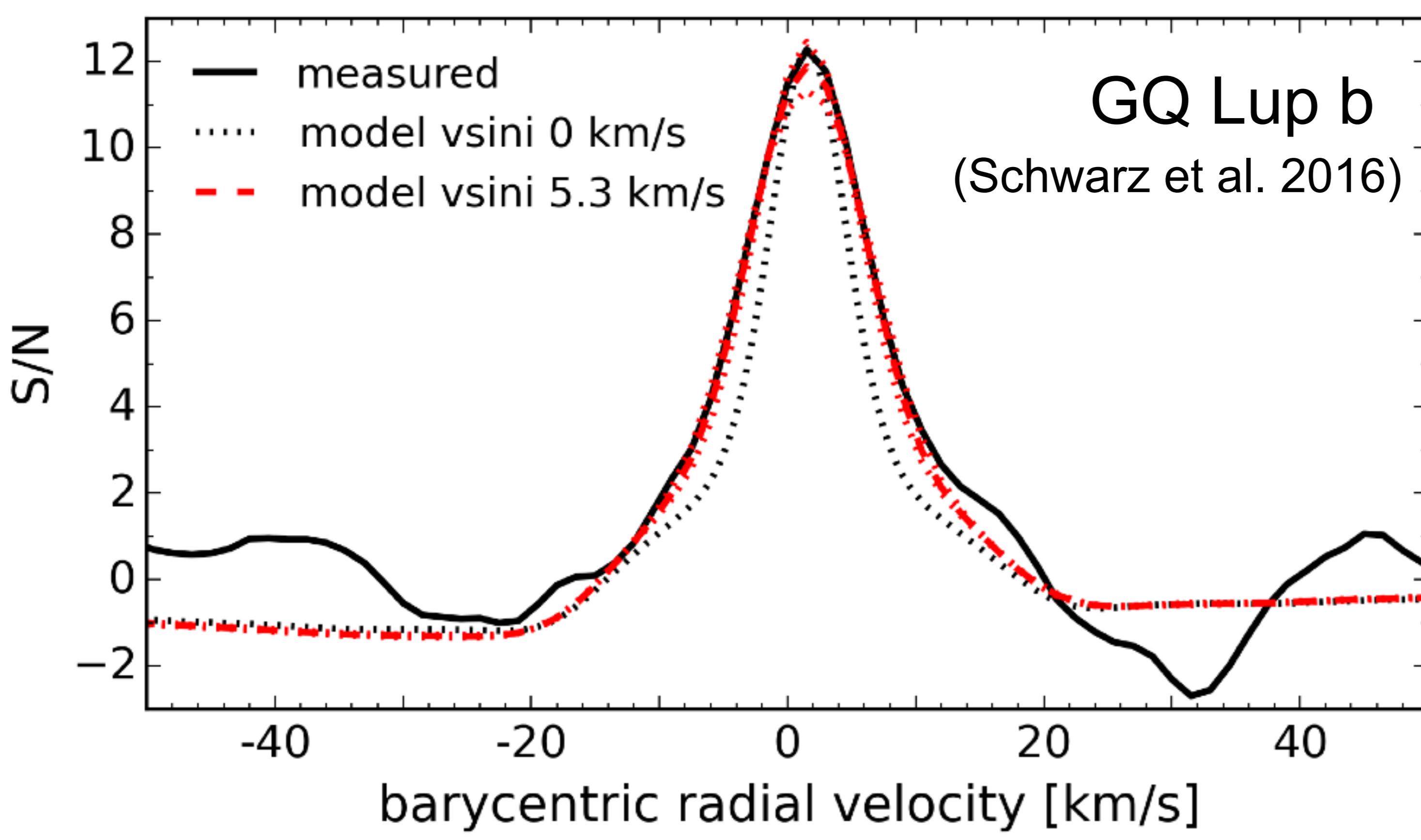
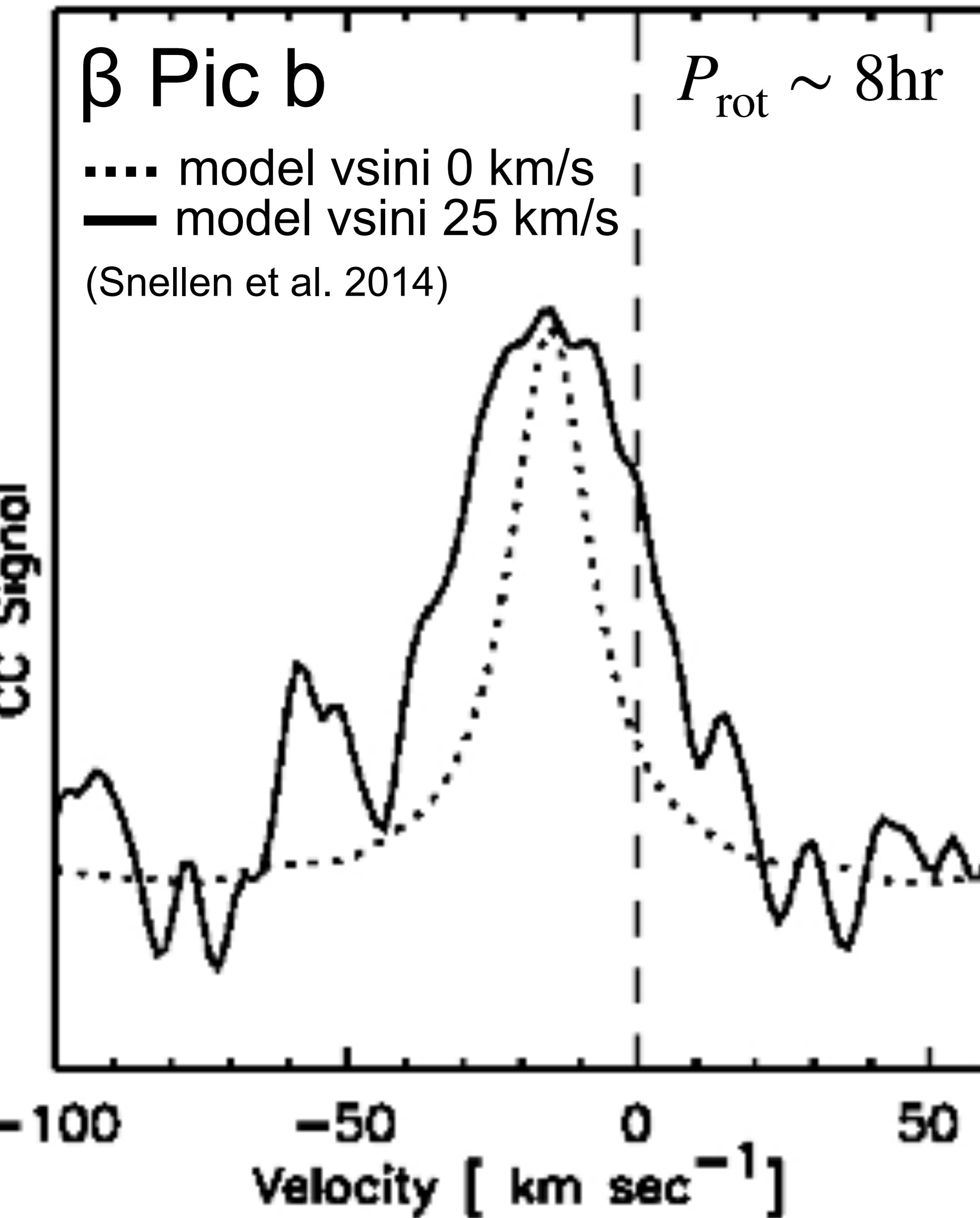
# How do planets gain their angular momentum?



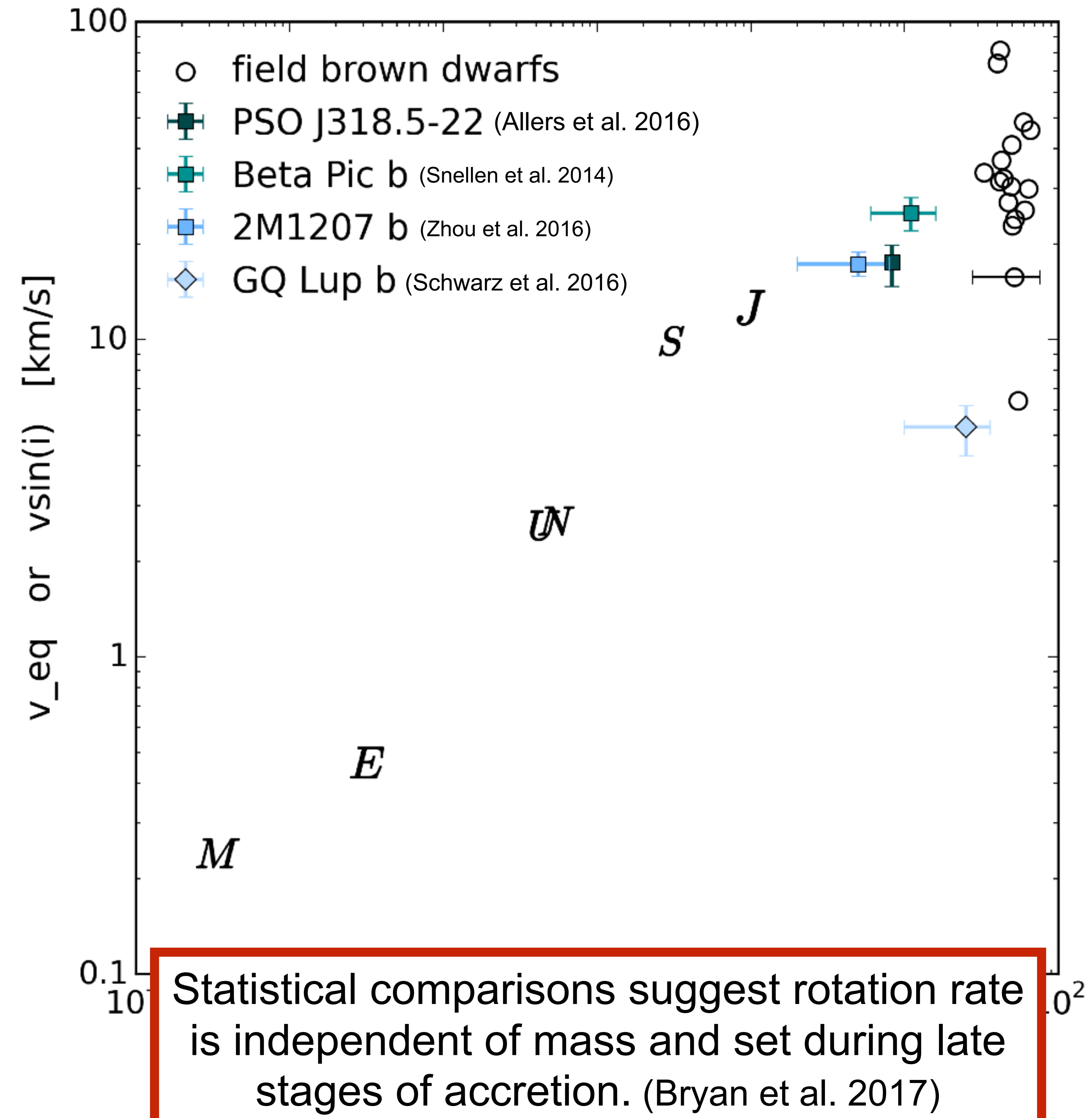
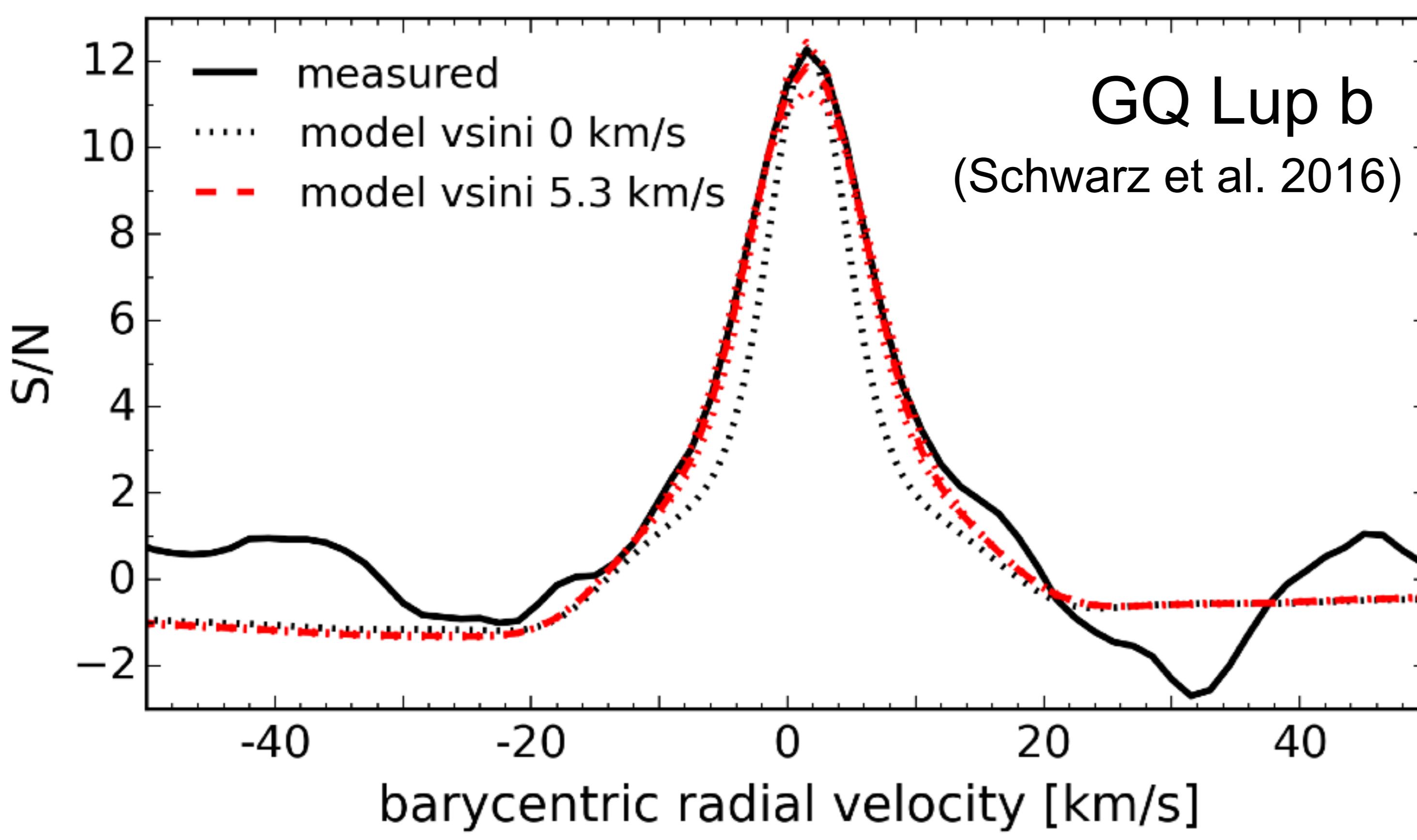
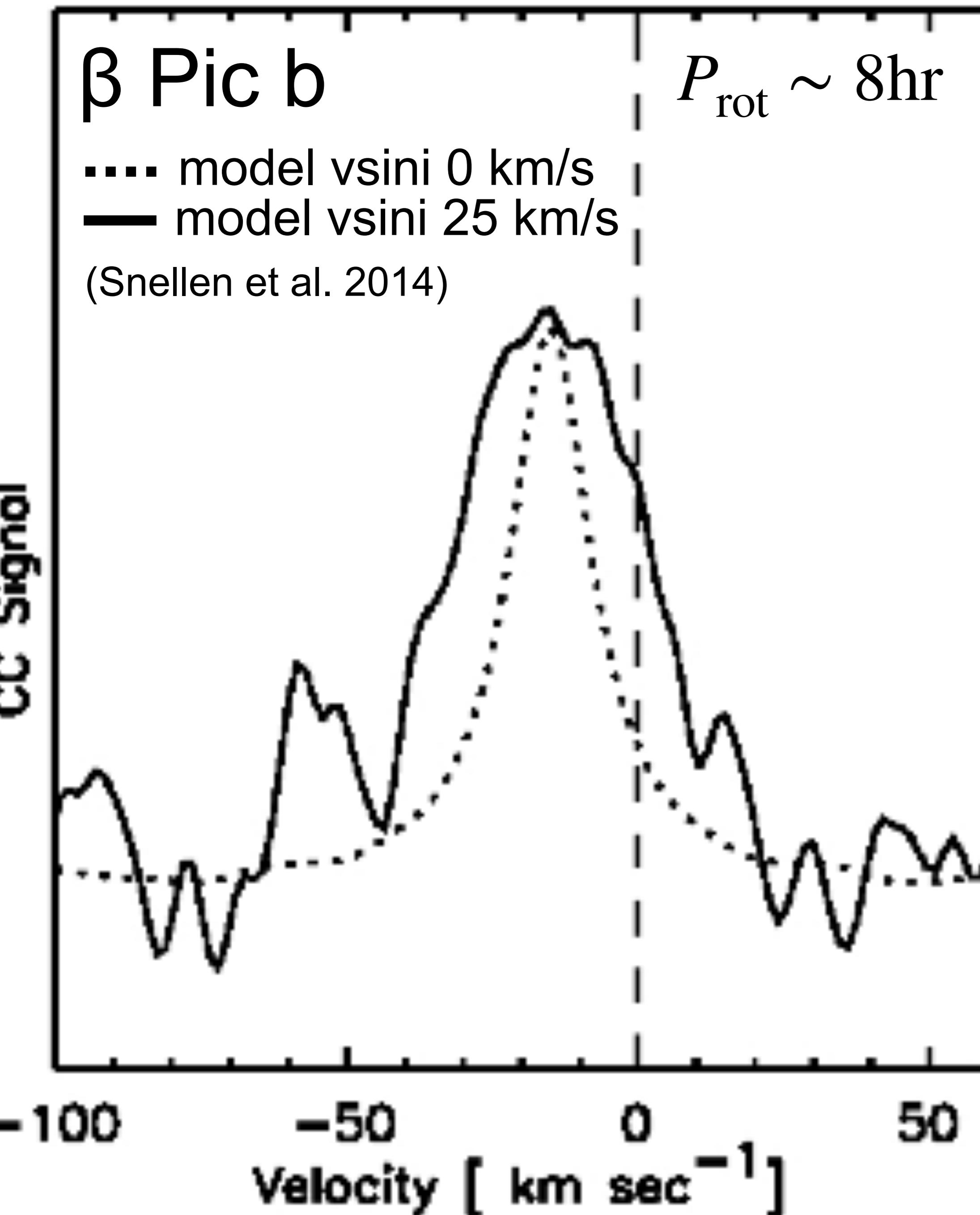
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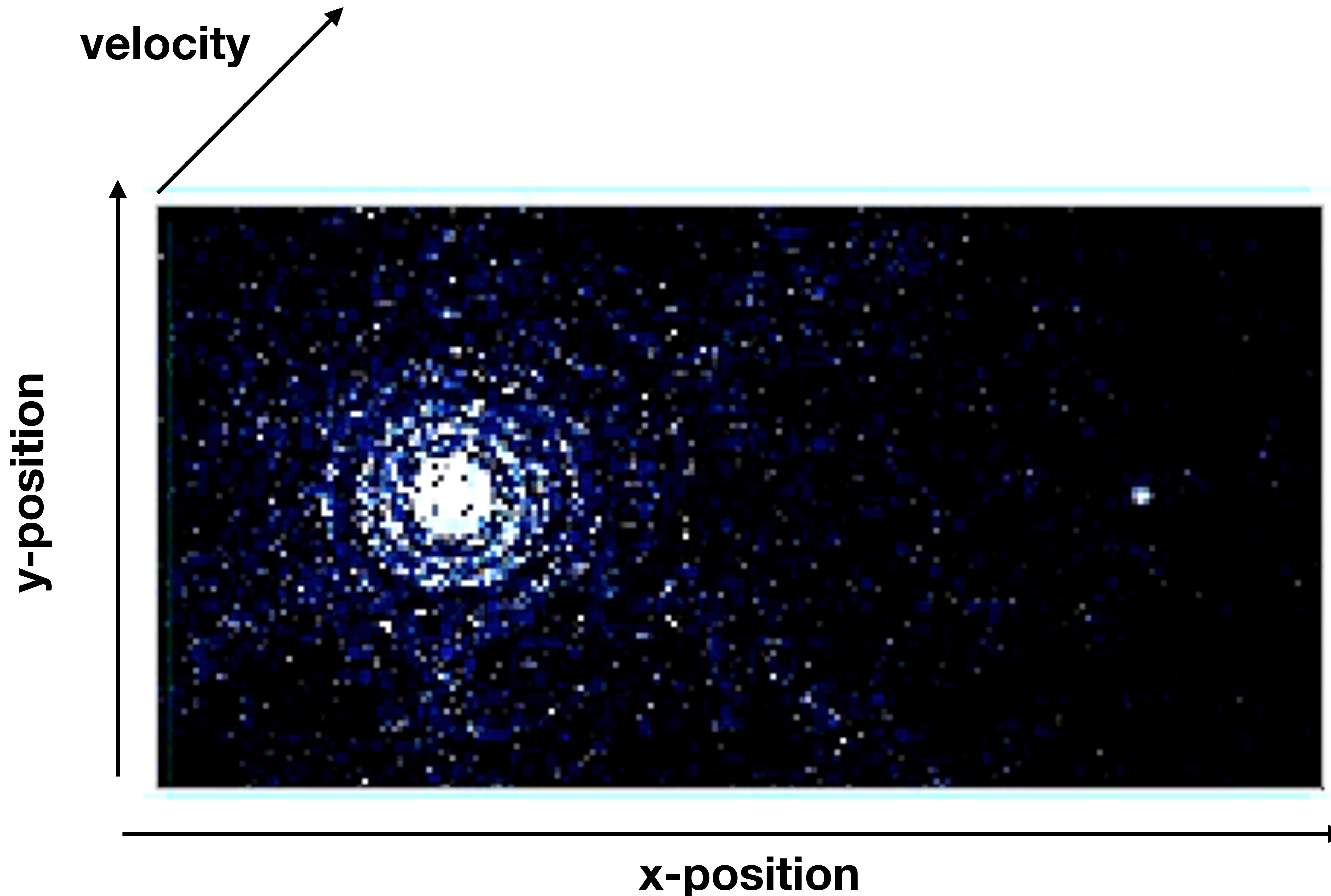


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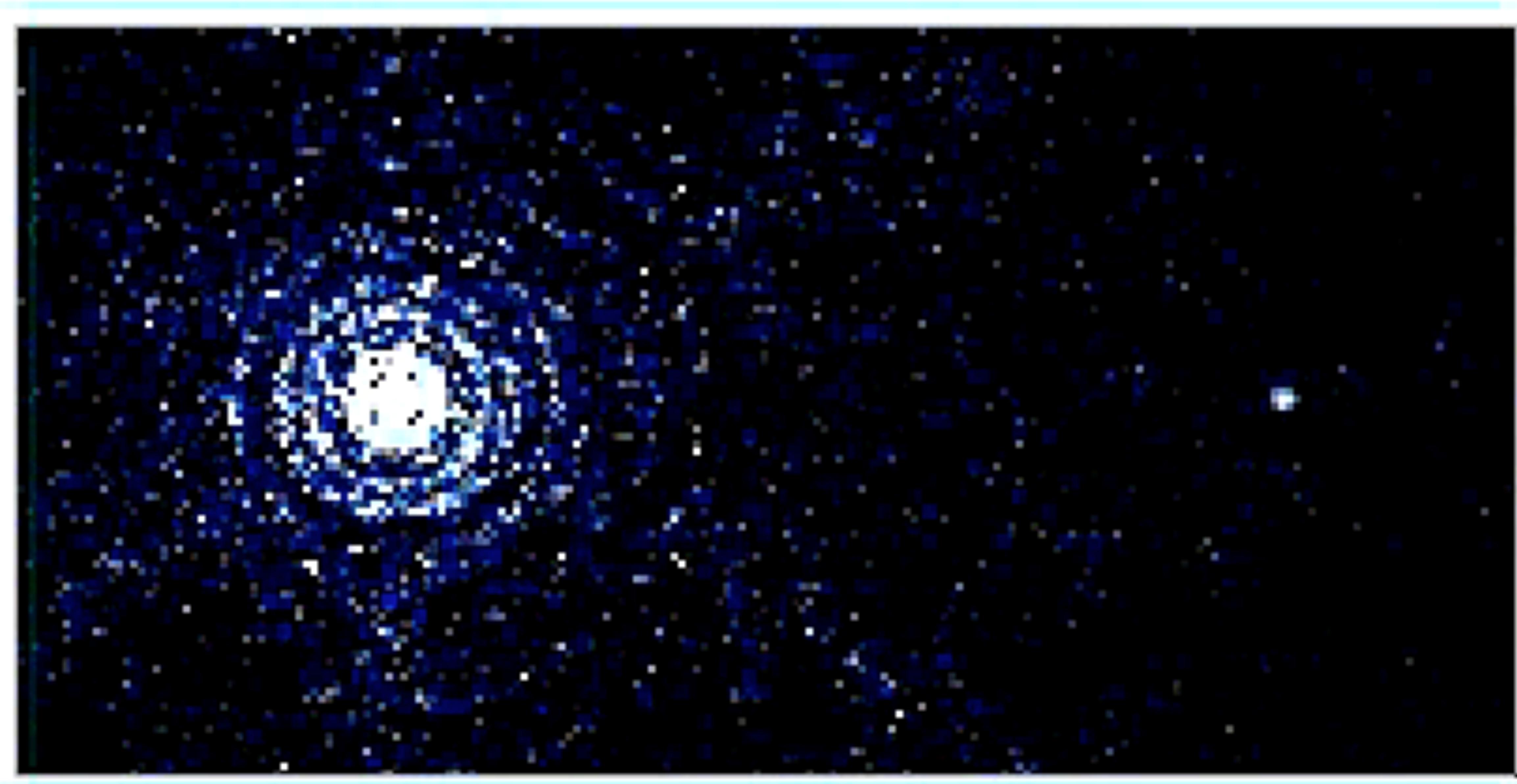
# ELT molecule map for Proxima b

(simulated for METIS)

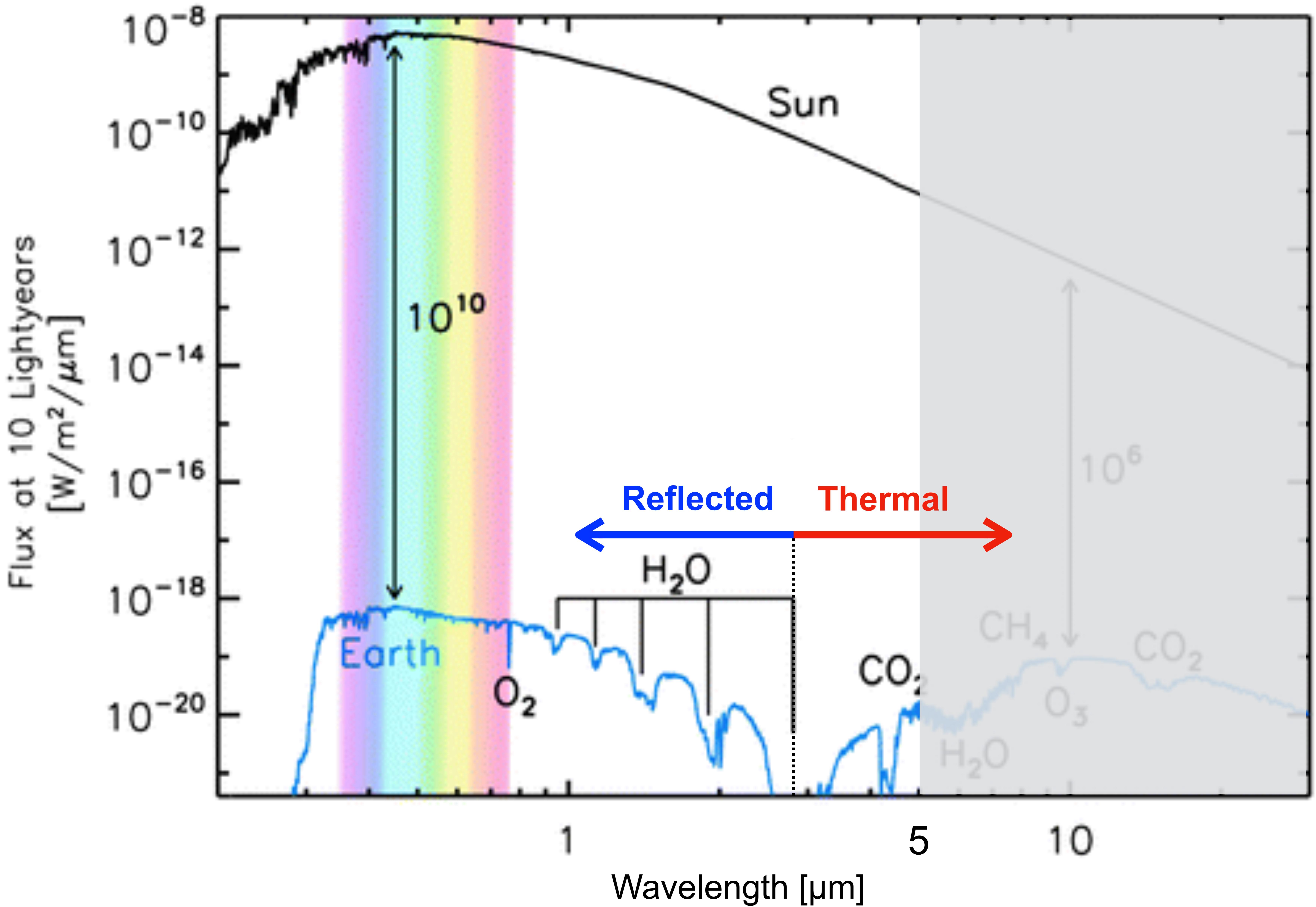


# ELT molecule map for Proxima b

(simulated for METIS)



# Key O<sub>2</sub> biomarker in the optical where planets reflect light



# Key O<sub>2</sub> biomarker in the optical where planets reflect light

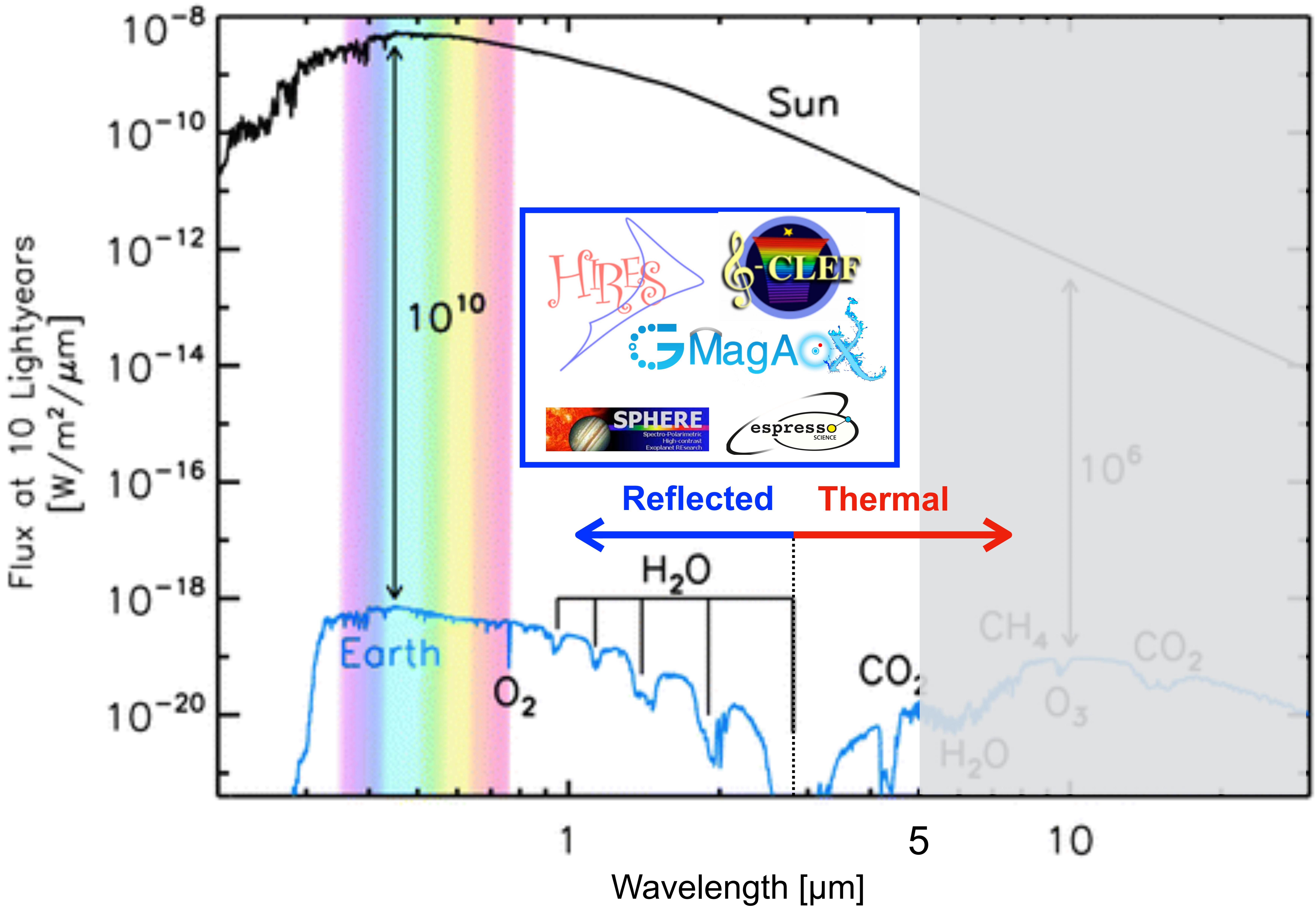
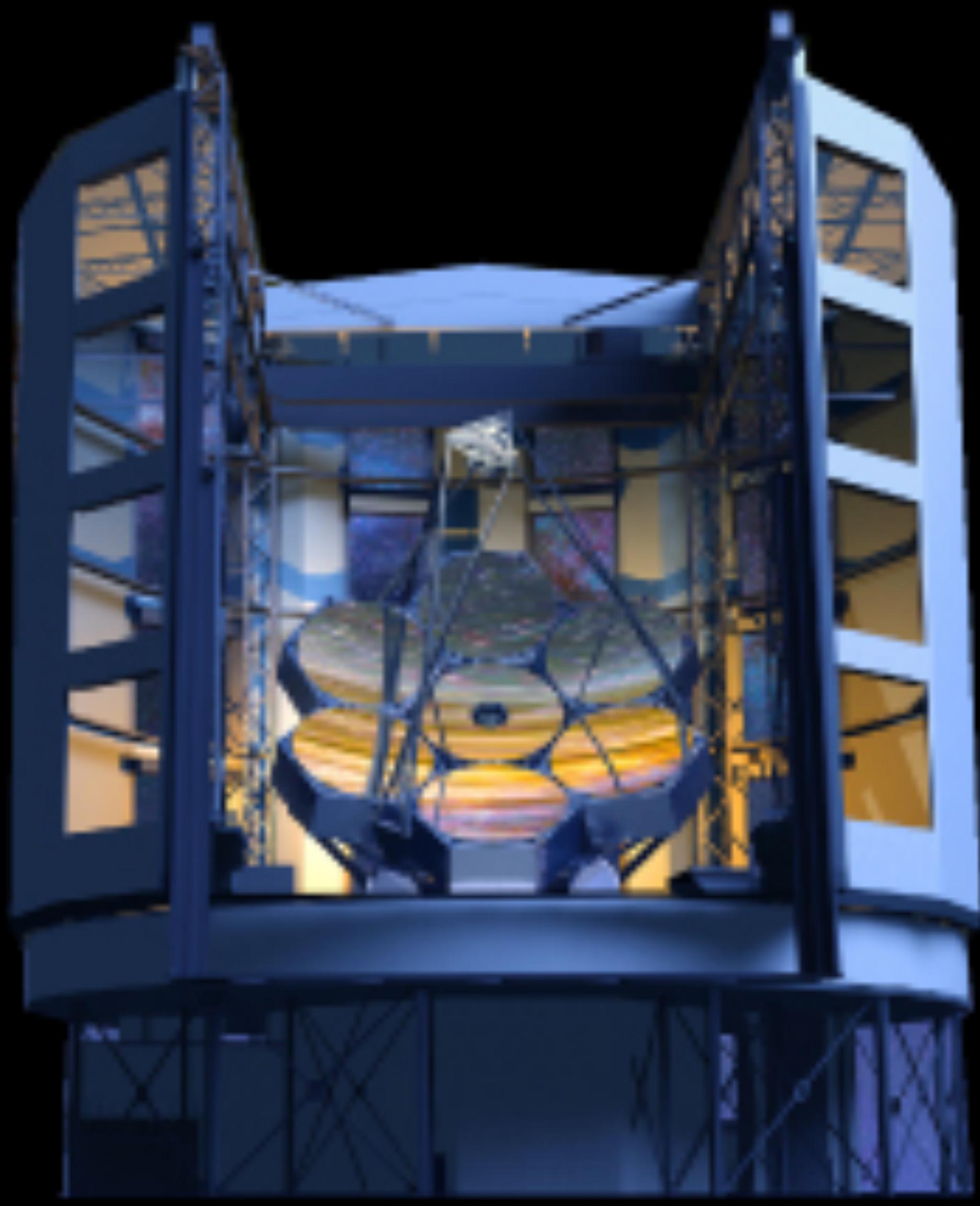


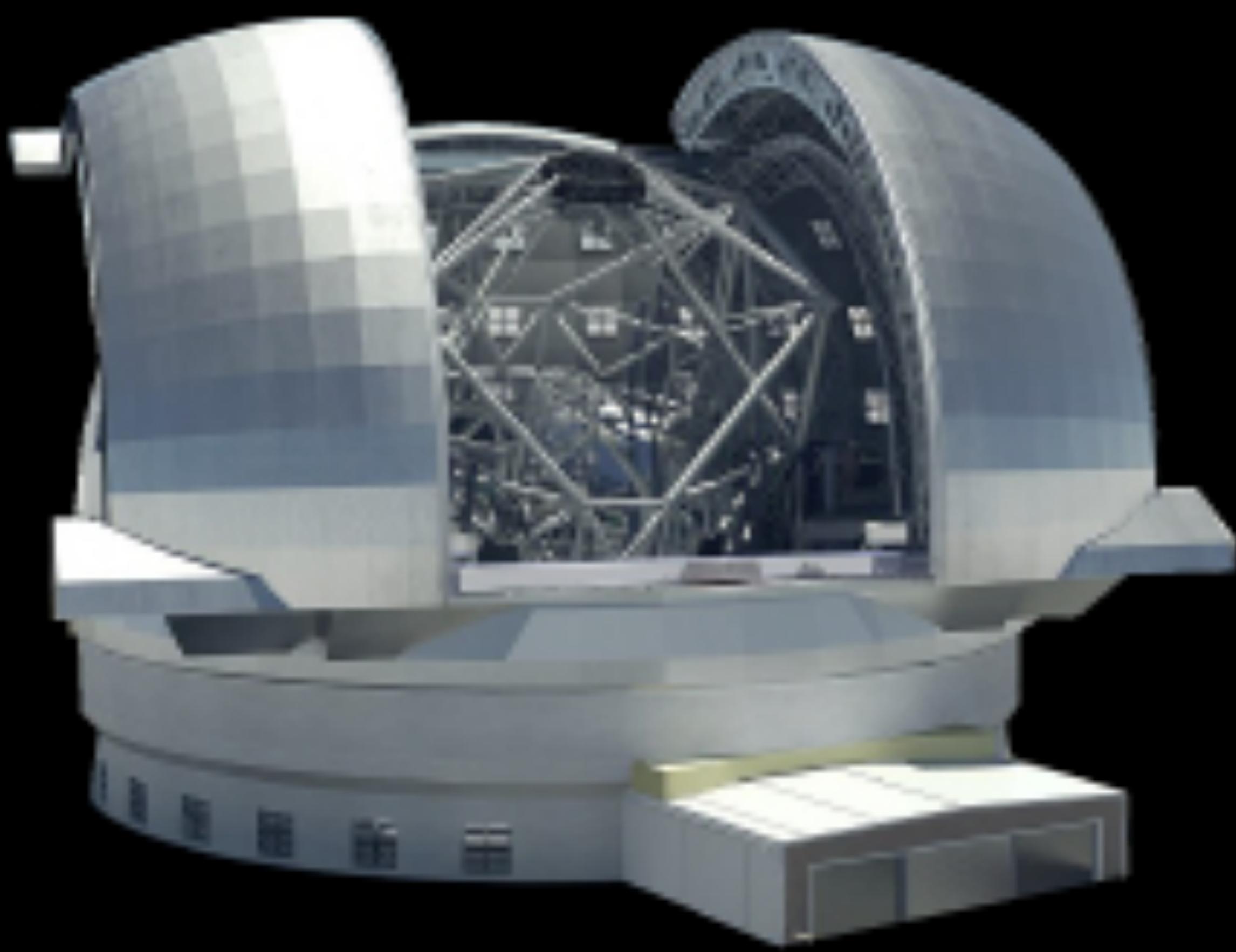
Figure credit: Sarah Rugheimer & Tyler Robinson in Domagal-Goldman et al. 2016



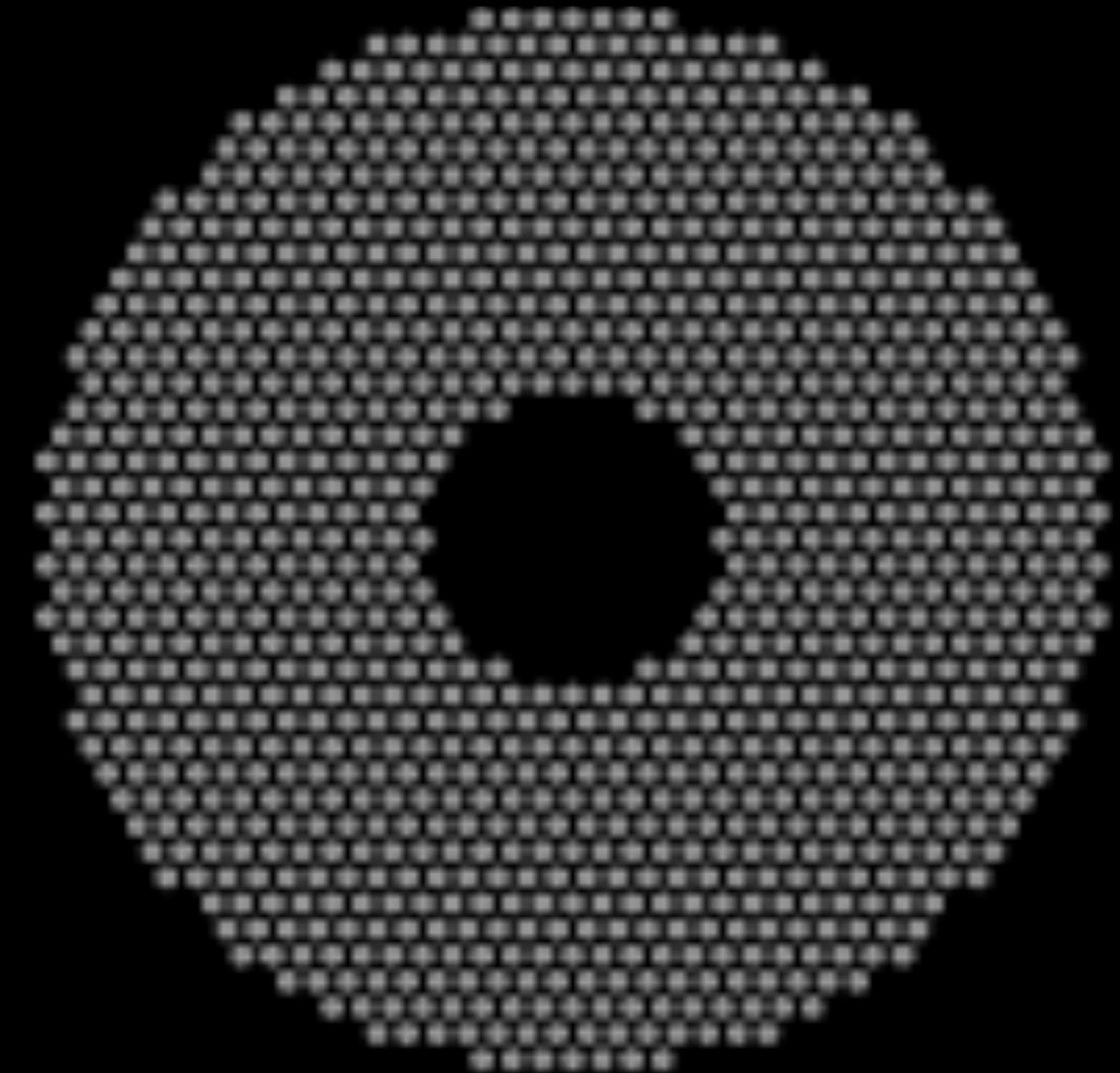
**GIANT  
MAGELLAN  
TELESCOPE**



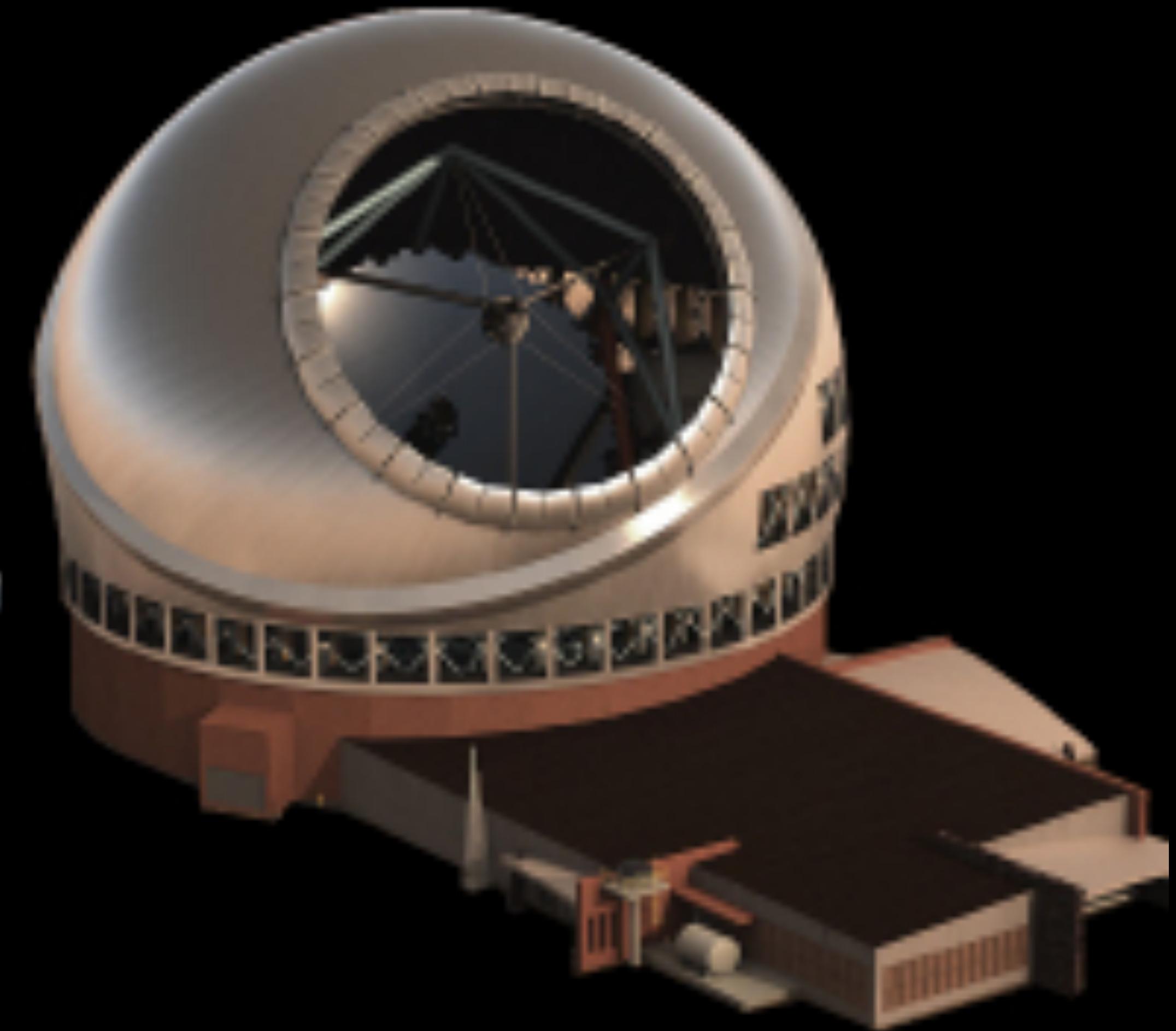
24.5 m, early-2020s



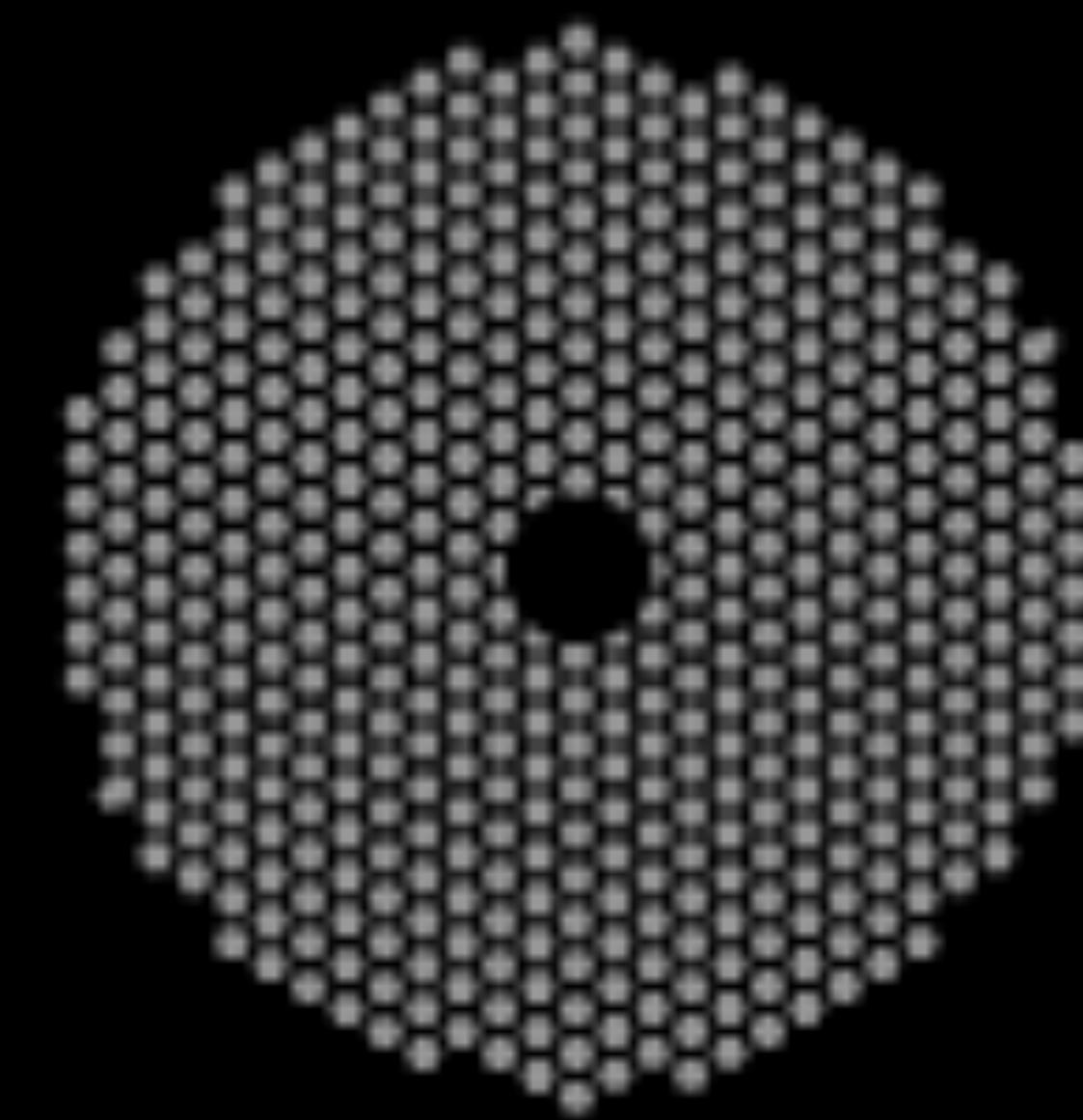
**EUROPEAN  
EXTREMELY LARGE  
TELESCOPE**



39 m, mid-2020s (2024)



**THIRTY  
METER  
TELESCOPE**



30 m, late-2020s

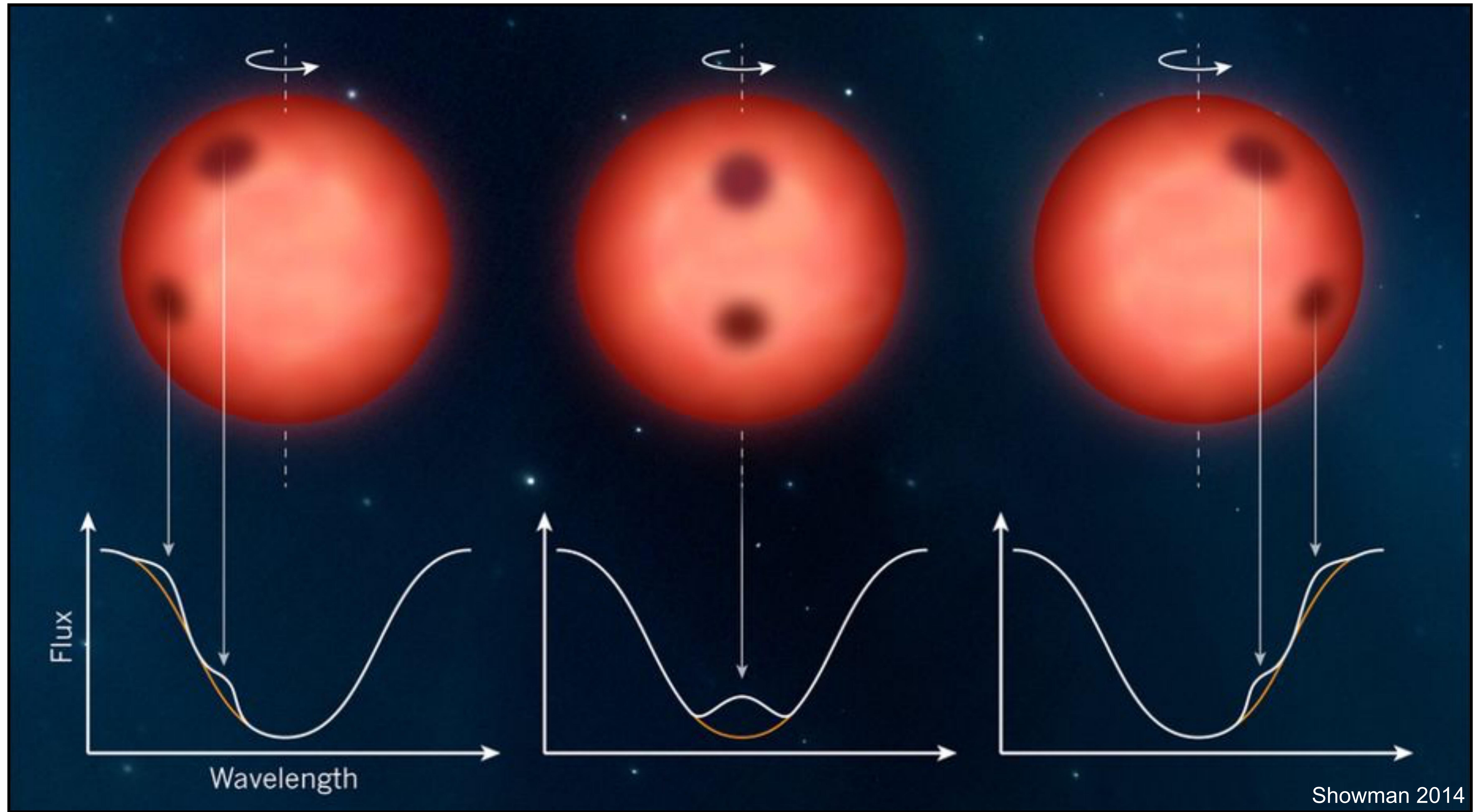
**Estimated time needed with optical IFU:**

**~100 hours (10 nights)**

**~40 hours (4 nights)**

**~60 hours (6 nights)**

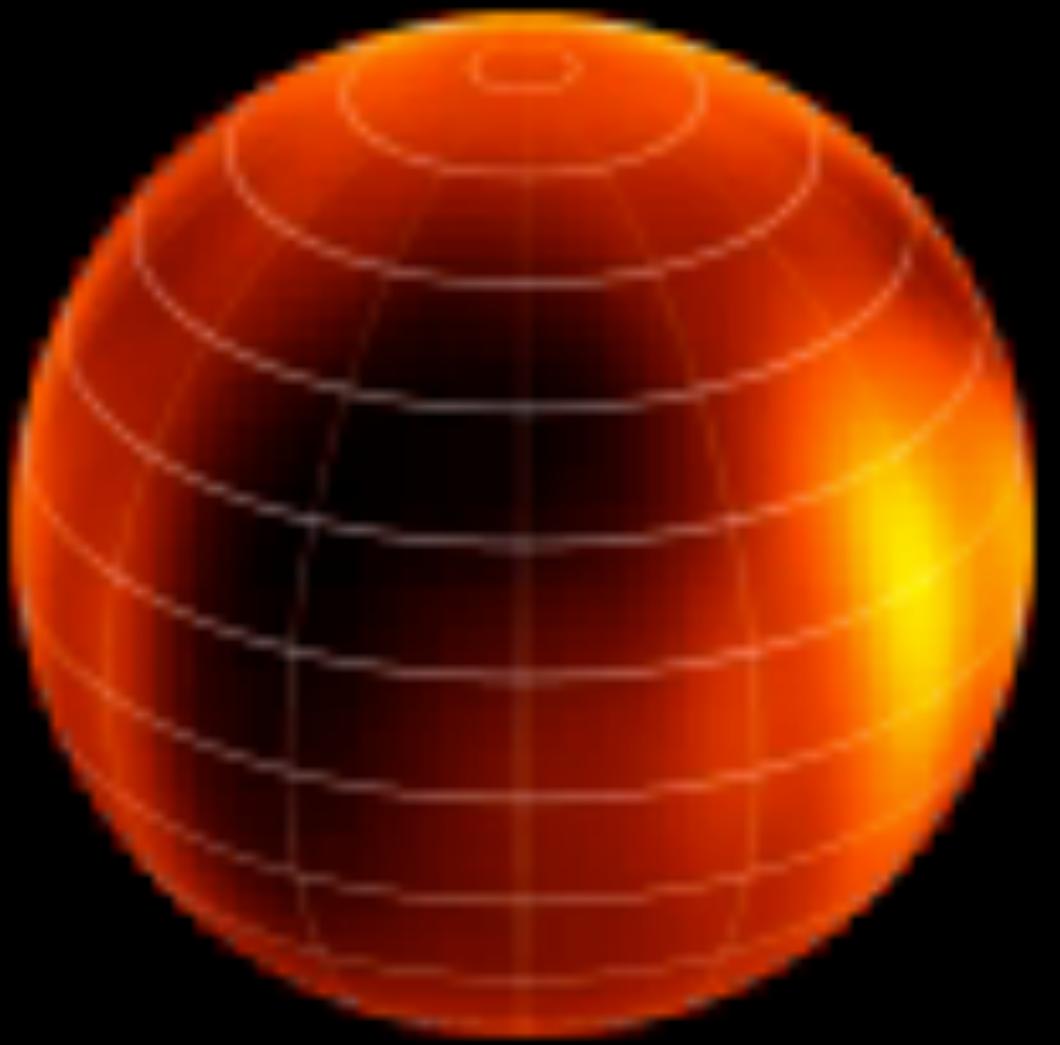
# Potential to map exoplanet features with Doppler imaging



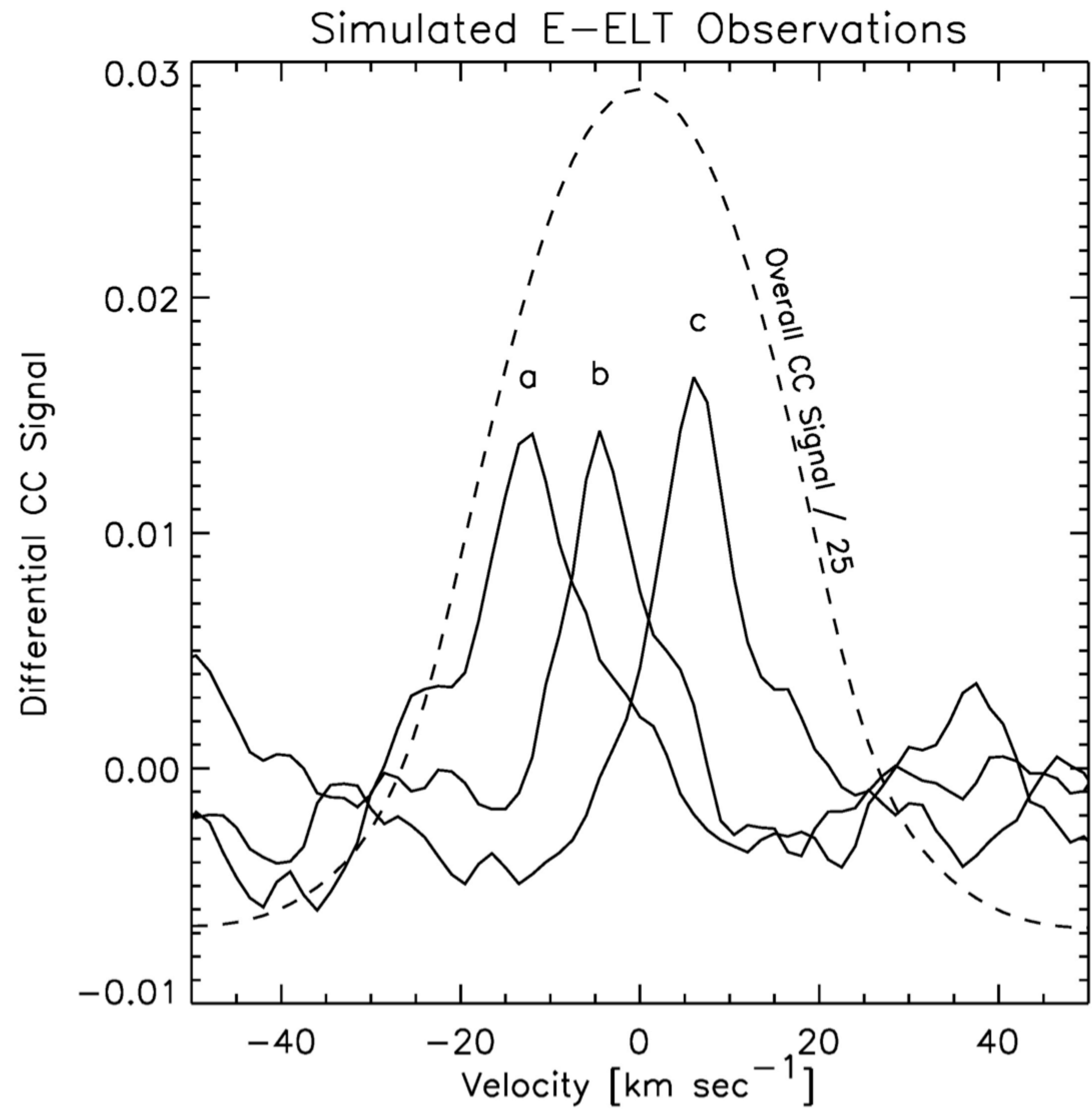
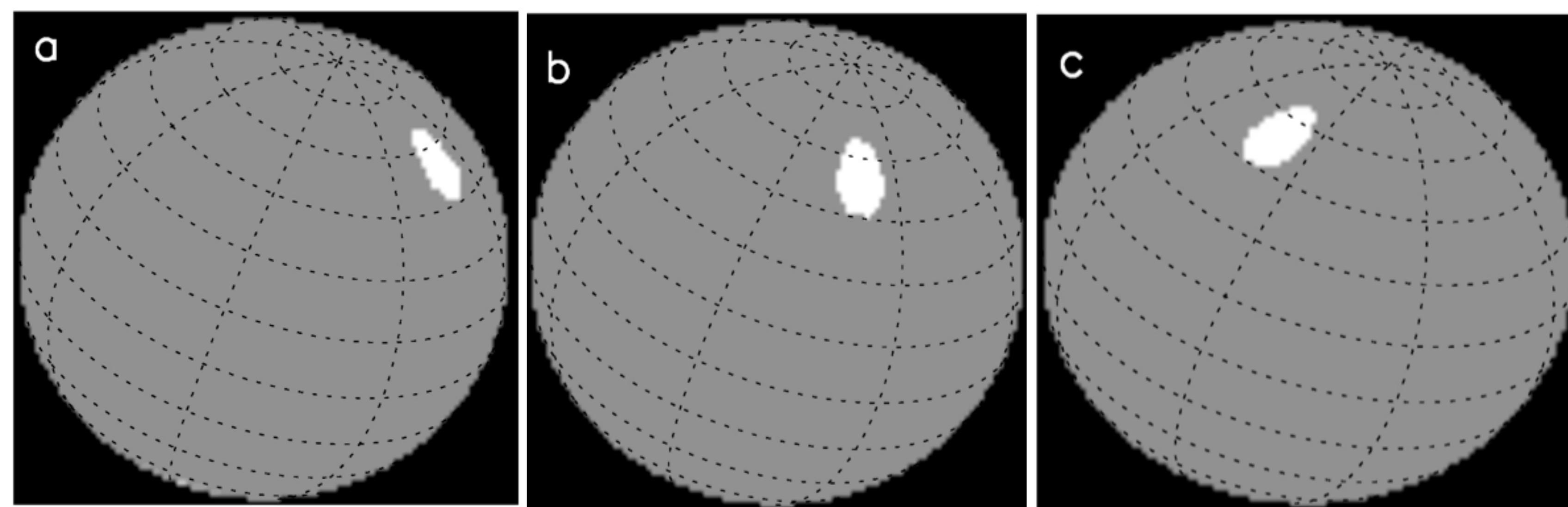
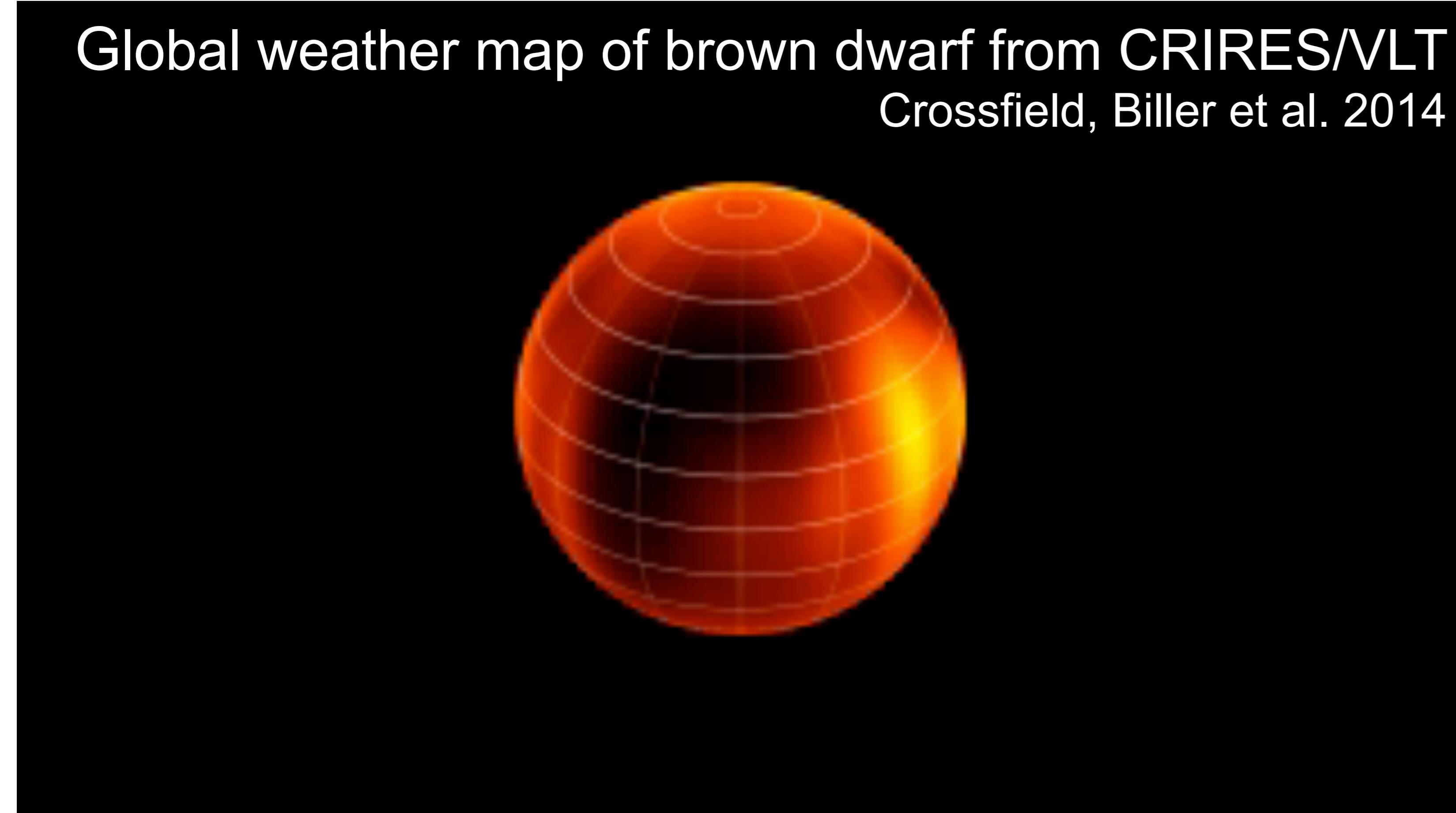
# Exocartography possible with ELTs

# Exocartography possible with ELTs

Global weather map of brown dwarf from CRIRES/VLT  
Crossfield, Biller et al. 2014



# Exocartography possible with ELTs



Mapping surface of  $\beta$  Pic b with E-ELT  
(twice as efficient as VLT BDs)  
Snellen et al. 2014

# Take home messages



- High resolution spectroscopy is a powerful and robust method to study exoplanet atmospheres that uses the **stability** and **resolution** of EPRV instruments.
- It can measure atmospheric **composition**, **structure**, **winds** and **rotation**, for **mature** and **young** systems, across a **range** of **orbital separation**.
- **ELTs** with **HRS+HCl** may be our **only avenue forward** in the coming decades to characterize the **nearest temperate worlds** and **map** giant exoplanets.